

1. Agenda

Documents:

[2020-11-10 CITY COUNCIL - PUBLIC AGENDA-1587.PDF](#)

2. Meeting Materials

Documents:

[2020-11-10 CITY COUNCIL - FULL AGENDA-1587.PDF](#)

AGENDA

Regular Meeting of the
Nichols Hills City Council
Tuesday, November 10, 2020 at 5:30 PM
City Hall, 6407 Avondale Drive
Nichols Hills, OK 73116

If special assistance is needed for this meeting by any person wishing to attend, please contact the City Clerk's office no later than 48 hours prior to the meeting, and such assistance will be provided.

Official action may be taken by the City Council only on items that appear on this Agenda. The City Council may dispose of the business set out on this Agenda by adopting, approving, denying, continuing, or taking other action as to each item, as determined by the City Council.

1. Call to Order and Pledge of Allegiance
2. Roll Call
3. Minutes
 - a. October 13, 2020 City Council Minutes - Special Meeting
 - b. October 13, 2020 City Council Minutes
4. Departmental Reports

Discussion, consideration, and possible approval or acceptance of the following:

- a. Police Department
- b. Municipal Court
- c. Fire Department
- d. Public Works
- e. Risk Manager
- f. New Resident List
- g. City Treasurer
- h. Finance Director
- i. Information System Manager
- j. Engineer

k. ACOG

5. Total Warrants & Claims \$751,677.00
- a. Discussion, consideration, and possible approval of the following:
Claims List for 2020-2021

a. General Fund	\$134,287.23
b. Designated Funds - Police	102.00
c. Nichols Hills Municipal Authority	107,700.18
d. Municipal Authority – CIP	15,108.88
e. General Obligation Bond Funds	494,478.71

6. Consent Docket - Construction

Items on Consent Dockets are usually approved as a group upon a motion by one of the City Council members. However, members of the City Council may request discussion or separate action on any item on the Consent Dockets.

Discussion, consideration, and possible approval or action with respect to the following:

- a. Amendment No. 1 for Project No. WW-1703 with Layne Christiansen Co, for Water Well #10, in the amount of \$(5,621.00).

BACKGROUND: The amendment decreases the contract amount to \$717,524.00. The original contract amount was \$719,527.00.

- b. Pay Estimate No. 10 (Final) for Project No. WW-1703 with Layne Christensen Co., for Water Well #10 in the amount of \$39,313.30, and place maintenance bonds into effect.

BACKGROUND: City Engineer has verified quantities and recommends approval, to be paid from 2019 GO Bonds and other funds.

- c. Pay Estimate No. 8 for Project No. WW-1804 with Layne Christensen Co. for Water Well #17 in the amount of \$38,400.90.

BACKGROUND: City Engineer has verified quantities and recommends approval, to be paid from 2019 GO Bonds and other funds.

- d. Pay Estimate No. 5 for Project No. PC-2001 with Crossland Heavy Contractors, Inc. for Paving Improvements to the 1800 Block of Devonshire Boulevard and 1700 Block of Kingsbury Lane in the amount of \$292,500.75.

BACKGROUND: City Engineer has verified quantities and recommends approval, to be paid from 2020 GO Bonds and other funds.

- e. Pay Estimate No. 6 for Project No. FC-1901 with Grounds Guys of Edmond for Irrigation Improvements in the amount of \$12,265.12.

BACKGROUND: City Landscape Architect has verified quantities and recommends approval, to be paid from 2016 GO Bonds and other funds.

- f. Change Order No. 1 for Project No. FC-1901 Irrigation Improvements with Grounds Guys of Edmond in the amount of \$11,558.16.

BACKGROUND: The amendment increases the contract amount to \$252,114.81. The original contract amount was \$240,556.65.

- g. Pay invoice 13813 for Project No. FC-1901 with Grounds Guys of Edmond for Irrigation Improvements in the amount of \$11,558.16.

BACKGROUND: City Landscape Architect has verified quantities and recommends approval, to be paid from 2016 GO Bonds and other funds.

- h. Pay Final retainage invoice for Project No. FC-1901 with Grounds Guys of Edmond for Irrigation Improvements in the amount of \$10,598.68, and place maintenance bonds into effect.

BACKGROUND: City Landscape Architect has verified quantities and recommends approval, to be paid from 2016 GO Bonds and other funds.

7. Consent Docket - General

Items on Consent Dockets are usually approved as a group upon a motion by one of the City Council members. However, members of the City Council may request discussion or separate action on any item on the Consent Dockets.

Discussion, consideration, and possible approval or action with respect to the following:

- a. A resolution authorizing the disposal and destruction of certain original records and papers of records prior to the retention period for such records established in the Revised Records Retention Manual, 2015; and directing the City Manager to take all actions necessary to provide for the disposal and destruction of such records.
- b. Updated Schedule of Regular Meetings for the Nichols Hills City Council 2021.
- c. Request from Public Works Director Randy Lawrence for maintenance on Water Well #14, not to exceed \$22,000. To be paid from 2020 GO Bonds and other funds.
- d. Request from Public Works Director Randy Lawrence for pump replacement on Water Well #14, not to exceed \$18,000. To be paid from 2020 GO Bonds and other funds.
- e. Request from Public Works Director Randy Lawrence to engage a contractor to replace the Public Works Facility gutters, not to exceed \$3,200. To be paid from Nichols Hills Municipal Authority-CIP and other funds.

8. Citizens desiring to be heard

The purpose of this time is to allow members of the public to speak to the City Council on any matter that is not otherwise set for consideration on this Agenda.

9. Items for Separate Vote - Ordinances, Resolutions, and Other Matters

Items listed in this section of this Agenda are usually discussed, considered, and voted on individually by the City Council.

Discussion, consideration, and possible approval or action with respect to the following:

- a. A resolution authorizing the sale of \$7,800,000 of General Obligation Bonds, Series 2021 of the City of Nichols Hills, Oklahoma; fixing the amount of bonds to mature each year; fixing the manner, time and place the bonds are to be sold; authorizing the City Clerk to give notice of said sale as required by law and to publish notice of sale; approving and authorizing official statements; authorizing and approving contracts for bond counsel, financial advisor and paying agent-registrar services and amendments thereto, and waiving selection pursuant to a competitive process; and, authorizing executions and actions necessary for issuance and delivery of the bonds, and providing for other aspects of the bonds.
- b. PUBLIC HEARING: An affidavit combining two lots generally located at 6820 NW Grand Boulevard in Nichols Hills, Oklahoma.
- c. A resolution declaring an emergency to exist in Nichols Hills; ratifying and approving the City Manager's October 27, 2020 declaration of an emergency; ratifying and approving purchases made and contracts entered into by the City Manager pursuant to the City Manager's declaration; and authorizing and directing the City Manager to make additional emergency purchases related to disaster relief and preparedness.
- d. An ordinance amending Chapter 36 of the Nichols Hills City Code to address the collection and disposal of tree limbs; repealing all conflicting ordinances or parts of ordinances; providing for severability; and declaring an emergency.
 - i. Consider, discuss, and approve, or disapprove the emergency section of the foregoing ordinance.
- e. A resolution adopting the City of Nichols Hills, Oklahoma - City Council Handbook as the City Council's Best Practices Handbook.
- f. A resolution awarding contract to the lowest responsible bidder for WC-1902, WC-1903, WC-2001 Waterline projects 2019-2020 GO Bond Issue Bedford Drive 12" water line, Wilshire Boulevard 12" tower line, and Greystone Avenue tower 8" blow off, and authorizing execution of the contract, bonds, and related instruments; or alternatively reject all bids and request to seek new bids.

- g. A resolution awarding contract to the lowest responsible bidder for PC-2002 Paving Improvements 2020 GO Bond Issue North Pennsylvania Avenue from Wilshire Boulevard to Westminster Place and the 1600 Block of Drury Lane, and authorizing execution of the contract, bonds, and related instruments.
- h. Christmas Bonuses for City Employees.
- i. An ordinance amending the Nichols Hills City Code to add an additional special speed limit on Bedford Drive between Trenton Road and Western Avenue; repealing all conflicting ordinances or parts of ordinances; providing for severability; and declaring an emergency.
- i. Consider, discuss, and approve, or disapprove the emergency section of the foregoing ordinance.

10. Adjournment

I certify that the forgoing was filed in the Office of the City Clerk at 3:25 P.M. on the 6th day of November, 2020 and posted in prominent view on the window at City Hall, 6407 Avondale Drive, at 4:00 P.M. on the 6th day of November, 2020; posted to the City of Nichols Hills website at <http://www.nicholshills.net> at 5:00 P.M. on the 6th day of November, 2020; and transmitted by email at 5:00 P.M. on the 6th day of November, 2020 to those persons who have requested to be included on such notices pursuant to the Open Meeting Act and to those who have requested such notice.



City Clerk
City of Nichols Hills, Oklahoma

AGENDA

Regular Meeting of the
Nichols Hills City Council
Tuesday, November 10, 2020 at 5:30 PM
City Hall, 6407 Avondale Drive
Nichols Hills, OK 73116

If special assistance is needed for this meeting by any person wishing to attend, please contact the City Clerk's office no later than 48 hours prior to the meeting, and such assistance will be provided.

Official action may be taken by the City Council only on items that appear on this Agenda. The City Council may dispose of the business set out on this Agenda by adopting, approving, denying, continuing, or taking other action as to each item, as determined by the City Council.

1. Call to Order and Pledge of Allegiance
2. Roll Call
3. Minutes
 - a. October 13, 2020 City Council Minutes - Special Meeting
 - b. October 13, 2020 City Council Minutes
4. Departmental Reports

Discussion, consideration, and possible approval or acceptance of the following:

- a. Police Department
- b. Municipal Court
- c. Fire Department
- d. Public Works
- e. Risk Manager
- f. New Resident List
- g. City Treasurer
- h. Finance Director
- i. Information System Manager
- j. Engineer

k. ACOG

5. Total Warrants & Claims \$751,677.00
- a. Discussion, consideration, and possible approval of the following:
Claims List for 2020-2021

a. General Fund	\$134,287.23
b. Designated Funds - Police	102.00
c. Nichols Hills Municipal Authority	107,700.18
d. Municipal Authority – CIP	15,108.88
e. General Obligation Bond Funds	494,478.71

6. Consent Docket - Construction

Items on Consent Dockets are usually approved as a group upon a motion by one of the City Council members. However, members of the City Council may request discussion or separate action on any item on the Consent Dockets.

Discussion, consideration, and possible approval or action with respect to the following:

- a. Amendment No. 1 for Project No. WW-1703 with Layne Christiansen Co, for Water Well #10, in the amount of \$(5,621.00).

BACKGROUND: The amendment decreases the contract amount to \$717,524.00. The original contract amount was \$719,527.00.

- b. Pay Estimate No. 10 (Final) for Project No. WW-1703 with Layne Christensen Co., for Water Well #10 in the amount of \$39,313.30, and place maintenance bonds into effect.

BACKGROUND: City Engineer has verified quantities and recommends approval, to be paid from 2019 GO Bonds and other funds.

- c. Pay Estimate No. 8 for Project No. WW-1804 with Layne Christensen Co. for Water Well #17 in the amount of \$38,400.90.

BACKGROUND: City Engineer has verified quantities and recommends approval, to be paid from 2019 GO Bonds and other funds.

- d. Pay Estimate No. 5 for Project No. PC-2001 with Crossland Heavy Contractors, Inc. for Paving Improvements to the 1800 Block of Devonshire Boulevard and 1700 Block of Kingsbury Lane in the amount of \$292,500.75.

BACKGROUND: City Engineer has verified quantities and recommends approval, to be paid from 2020 GO Bonds and other funds.

- e. Pay Estimate No. 6 for Project No. FC-1901 with Grounds Guys of Edmond for Irrigation Improvements in the amount of \$12,265.12.

BACKGROUND: City Landscape Architect has verified quantities and recommends approval, to be paid from 2016 GO Bonds and other funds.

- f. Change Order No. 1 for Project No. FC-1901 Irrigation Improvements with Grounds Guys of Edmond in the amount of \$11,558.16.

BACKGROUND: The amendment increases the contract amount to \$252,114.81. The original contract amount was \$240,556.65.

- g. Pay invoice 13813 for Project No. FC-1901 with Grounds Guys of Edmond for Irrigation Improvements in the amount of \$11,558.16.

BACKGROUND: City Landscape Architect has verified quantities and recommends approval, to be paid from 2016 GO Bonds and other funds.

- h. Pay Final retainage invoice for Project No. FC-1901 with Grounds Guys of Edmond for Irrigation Improvements in the amount of \$10,598.68, and place maintenance bonds into effect.

BACKGROUND: City Landscape Architect has verified quantities and recommends approval, to be paid from 2016 GO Bonds and other funds.

7. Consent Docket - General

Items on Consent Dockets are usually approved as a group upon a motion by one of the City Council members. However, members of the City Council may request discussion or separate action on any item on the Consent Dockets.

Discussion, consideration, and possible approval or action with respect to the following:

- a. A resolution authorizing the disposal and destruction of certain original records and papers of records prior to the retention period for such records established in the Revised Records Retention Manual, 2015; and directing the City Manager to take all actions necessary to provide for the disposal and destruction of such records.
- b. Updated Schedule of Regular Meetings for the Nichols Hills City Council 2021.
- c. Request from Public Works Director Randy Lawrence for maintenance on Water Well #14, not to exceed \$22,000. To be paid from 2020 GO Bonds and other funds.
- d. Request from Public Works Director Randy Lawrence for pump replacement on Water Well #14, not to exceed \$18,000. To be paid from 2020 GO Bonds and other funds.
- e. Request from Public Works Director Randy Lawrence to engage a contractor to replace the Public Works Facility gutters, not to exceed \$3,200. To be paid from Nichols Hills Municipal Authority-CIP and other funds.

8. Citizens desiring to be heard

The purpose of this time is to allow members of the public to speak to the City Council on any matter that is not otherwise set for consideration on this Agenda.

9. Items for Separate Vote - Ordinances, Resolutions, and Other Matters

Items listed in this section of this Agenda are usually discussed, considered, and voted on individually by the City Council.

Discussion, consideration, and possible approval or action with respect to the following:

- a. A resolution authorizing the sale of \$7,800,000 of General Obligation Bonds, Series 2021 of the City of Nichols Hills, Oklahoma; fixing the amount of bonds to mature each year; fixing the manner, time and place the bonds are to be sold; authorizing the City Clerk to give notice of said sale as required by law and to publish notice of sale; approving and authorizing official statements; authorizing and approving contracts for bond counsel, financial advisor and paying agent-registrar services and amendments thereto, and waiving selection pursuant to a competitive process; and, authorizing executions and actions necessary for issuance and delivery of the bonds, and providing for other aspects of the bonds.
- b. PUBLIC HEARING: An affidavit combining two lots generally located at 6820 NW Grand Boulevard in Nichols Hills, Oklahoma.
- c. A resolution declaring an emergency to exist in Nichols Hills; ratifying and approving the City Manager's October 27, 2020 declaration of an emergency; ratifying and approving purchases made and contracts entered into by the City Manager pursuant to the City Manager's declaration; and authorizing and directing the City Manager to make additional emergency purchases related to disaster relief and preparedness.
- d. An ordinance amending Chapter 36 of the Nichols Hills City Code to address the collection and disposal of tree limbs; repealing all conflicting ordinances or parts of ordinances; providing for severability; and declaring an emergency.
 - i. Consider, discuss, and approve, or disapprove the emergency section of the foregoing ordinance.
- e. A resolution adopting the City of Nichols Hills, Oklahoma - City Council Handbook as the City Council's Best Practices Handbook.
- f. A resolution awarding contract to the lowest responsible bidder for WC-1902, WC-1903, WC-2001 Waterline projects 2019-2020 GO Bond Issue Bedford Drive 12" water line, Wilshire Boulevard 12" tower line, and Greystone Avenue tower 8" blow off, and authorizing execution of the contract, bonds, and related instruments; or alternatively reject all bids and request to seek new bids.

- g. A resolution awarding contract to the lowest responsible bidder for PC-2002 Paving Improvements 2020 GO Bond Issue North Pennsylvania Avenue from Wilshire Boulevard to Westminster Place and the 1600 Block of Drury Lane, and authorizing execution of the contract, bonds, and related instruments.
- h. Christmas Bonuses for City Employees.
- i. An ordinance amending the Nichols Hills City Code to add an additional special speed limit on Bedford Drive between Trenton Road and Western Avenue; repealing all conflicting ordinances or parts of ordinances; providing for severability; and declaring an emergency.
- i. Consider, discuss, and approve, or disapprove the emergency section of the foregoing ordinance.

10. Adjournment

I certify that the forgoing was filed in the Office of the City Clerk at 3:25 P.M. on the 6th day of November, 2020 and posted in prominent view on the window at City Hall, 6407 Avondale Drive, at 4:00 P.M. on the 6th day of November, 2020; posted to the City of Nichols Hills website at <http://www.nicholshills.net> at 5:00 P.M. on the 6th day of November, 2020; and transmitted by email at 5:00 P.M. on the 6th day of November, 2020 to those persons who have requested to be included on such notices pursuant to the Open Meeting Act and to those who have requested such notice.



City Clerk
City of Nichols Hills, Oklahoma

MINUTES

Special Meeting of the
Nichols Hills City Council
Tuesday, October 13, 2020 at 4:00 PM
City Hall, 6407 Avondale Drive
Nichols Hills, OK 73116

**Original agenda filed in the Office of the City Clerk at 2:03 PM on
the 7th day of October, 2020.**

1. Call to Order
2. Roll Call

Attendee Name	Title	Status	Arrived
Sody Clements		Present	
Steven J. Goetzinger		Present	
E. Peter Hoffman		Present	

3. Citizens Desiring to be heard

The purpose of this time is to allow members of the public to speak to the City Council on any matter that is not otherwise set for consideration on this Agenda.

No one expressed a desire to be heard.

4. Items for Separate Vote - General

Items listed in this section of this Agenda are usually discussed, considered, and voted on individually by the City Council.

Discussion, consideration and possible approval of the following:

- a. Receive Governing Board Training from Oklahoma Municipal Assurance Group (OMAG).

Bill Tackett, Director of Risk Management with Oklahoma Municipal Assurance Group, presented training to the City Council members and staff. The training covered the different types of insurance available to municipalities, appropriate agenda items, and the importance of looking to the future for the community.

5. Adjournment

MOTION: There being no further business, Hoffman moved to adjourn the meeting. Goetzinger seconded the motion.

RESULT:	APPROVED [UNANIMOUS]
MOVER:	E. Peter Hoffman
SECONDER:	Steven J. Goetzinger
AYES:	Clements, Goetzinger, Hoffman

Mayor
City of Nichols Hills, Oklahoma

City Manager
City of Nichols Hills, Oklahoma

City Clerk
City of Nichols Hills, Oklahoma

MINUTES

Regular Meeting of the
Nichols Hills City Council
Tuesday, October 13, 2020 at 5:30 PM
City Hall, 6407 Avondale Drive
Nichols Hills, OK 73116

**Original agenda filed in the Office of the City Clerk at 1:48 PM on
the 9th day of October, 2020.**

1. Call to Order and Pledge of Allegiance
2. Roll Call

Attendee Name	Title	Status	Arrived
Sody Clements		Present	
Steven J. Goetzinger		Present	
E. Peter Hoffman		Present	

3. Minutes
 - a. September 8, 2020 City Council Minutes
 - b. October 2, 2020 City Council Minutes

MOTION: Goetzinger moved to approve the September 8, 2020 and October 2, 2020 minutes as presented. Hoffman seconded the motion.

RESULT:	APPROVED [UNANIMOUS]
MOVER:	Steven J. Goetzinger
SECONDER:	E. Peter Hoffman
AYES:	Clements, Goetzinger, Hoffman

4. Departmental Reports

Discussion, consideration, and possible approval or acceptance of the following:

- a. Police Department Report
- b. Municipal Court
- c. Fire Department

- d. Public Works
- e. Risk Manager
- f. New Resident List
- g. City Treasurer
- h. Finance Director
- i. Information System Manager
- j. Engineers Report
- k. ACOG

Vice Mayor Hoffman reported that the City did not receive the Pandemic and Disaster Relief grant, however the City learned a lot to help with the construction of the Fire Department addition. Councilman Goetzinger thanked Vice Mayor Hoffman and City Manager Shane Pate for all the hard work they had put into the application.

MOTION: Hoffman moved to approve the September 2020 departmental reports as presented. Goetzinger seconded the motion.

RESULT:	APPROVED [UNANIMOUS]
MOVER:	E. Peter Hoffman
SECONDER:	Steven J. Goetzinger
AYES:	Clements, Goetzinger, Hoffman

5. Total Warrants & Claims \$837,225.19

- a. Discussion, consideration, and possible approval of the following:
Claims List for 2020-2021

a. General Fund	\$145,804.75
b. Designated Funds – Police	\$110.00
c. Nichols Hills Municipal Authority	\$98,879.64
d. General Obligation Bond Funds	\$592,430.80

MOTION: Goetzinger moved to approve the total warrants and claims as presented. Hoffman seconded the motion.

RESULT:	APPROVED [UNANIMOUS]
MOVER:	Steven J. Goetzinger
SECONDER:	E. Peter Hoffman
AYES:	Clements, Goetzinger, Hoffman

6. Consent Docket - Construction

Discussion, consideration, and possible approval or action with respect to the following:

- a. Amendment No. 1 for Project No. SC-2001 with Urban Contractors, LLC for City-Wide Sanitary Sewer Rehabilitation, in the amount of \$54,037.60

BACKGROUND: The amendment increases the contract amount to \$209,865.60. The original contract amount was \$155,828.00.

- b. Pay Estimate No. 1 (Final) for Project No. SC-2001 with Urban Contractors, LLC for City-Wide Sanitary Sewer Rehabilitation in the amount of \$209,865.60, and place maintenance bonds into effect.

BACKGROUND: City Engineer has verified quantities and recommends approval, to be paid from 2020 GO Bonds and other funds.

- c. Change Order No. 2 for Project No. PC-2001 with Crossland Heavy Contractors, Inc, for Paving Improvements to the 1800 Block of Devonshire Boulevard and 1700 Block of Kingsbury Lane in the amount of \$4,800.00.

BACKGROUND: The change order increases the contract amount to \$1,651,919.49. The original contract amount was \$1,619,763.46.

- d. Pay Estimate No. 4 for Project No. PC-2001 with Crossland Heavy Contractors, Inc. for Paving Improvements to the 1800 Block of Devonshire Boulevard and 1700 Block of Kingsbury Lane in the amount of \$160,320.16.

BACKGROUND: City Engineer has verified quantities and recommends approval, to be paid from 2020 GO Bonds and other funds.

- e. Change Order No. 1 for Project No. WW-1804 Water Well #17 with Layne Christensen Co. in the amount of \$2,750.00.

BACKGROUND: The change order increases the contract amount to \$860,803.90. The original contract was \$848,678.90.

- f. Pay Estimate No. 7 for Project No. WW-1804 with Layne Christensen Co. for Water Well #17 in the amount of \$118,718.69.

BACKGROUND: City Engineer has verified quantities and recommends approval, to be paid from 2018 GO Bonds, 2019 GO Bonds, and other funds.

- g. Pay Estimate No. 9 for Project No. WW-1703 with Layne Christensen Co., for Water Well #10 in the amount of \$13,128.62.

BACKGROUND: City Engineer has verified quantities and recommends approval, to be paid from 2018 GO Bonds, 2019 GO Bonds, and other funds.

- h. Change Order No. 3 for Project No. PC-2001 with Crossland Heavy Contractors, Inc., for Paving Improvements to the 1800 Block of Devonshire Boulevard and 1700 Block of Kingsbury Lane in the amount of \$13,639.39.

BACKGROUND: The change order increases the contract amount to \$1,665,558.88. The original contract amount was \$1,619,763.46.

- i. Pay Estimate No. 5 for Project No. FC-1901 with Grounds Guys of Edmond for Irrigation Improvements in the amount of \$10,809.54.

BACKGROUND: City Landscape Architect has verified quantities and recommends approval, to be paid from 2016 GO Bonds and other funds.

MOTION: Hoffman moved to approve item 6a - 6i Consent Docket Construction as presented. Goetzinger seconded the motion.

RESULT:	APPROVED [UNANIMOUS]
MOVER:	E. Peter Hoffman
SECONDER:	Steven J. Goetzinger
AYES:	Clements, Goetzinger, Hoffman

7. Consent Docket - General

Discussion, consideration, and possible approval or action with respect to the following:

- a. A resolution authorizing the disposal and destruction of certain original records and papers of records prior to the retention period for such records established in the Revised Records Retention Manual, 2015; and directing the City Manager to take all actions necessary to provide for the disposal and destruction of such records.
- b. Request from Allied Arts to install 100 banners in recognition of Allied Arts Month. Banners will be displayed within the City of Nichols Hills between February 1, 2021 and February 14, 2021.

Allied Arts representative, Manikandan Arumugam, made a presentation with respect to the banner application for their upcoming event in February 2021 to recognize Allied Arts individual donors and answered questions from the City Council.

MOTION: Hoffman moved to approve agenda item 7b as presented. Goetzinger seconded the motion.

RESULT:	APPROVED [UNANIMOUS]
MOVER:	E. Peter Hoffman
SECONDER:	Steven J. Goetzinger
AYES:	Clements, Goetzinger, Hoffman

- c. Resolution approving the June 28, 2018 Weighted Voting Amendment to agreement creating the 9-1-1 Association of Central Oklahoma Governments.
- d. A Second Amendment to Lease Agreement with New Cingular Wireless PCS, LLC regarding lease of space on the water tower located at 1009 NW 75th Street, Nichols Hills, Oklahoma, for the purpose of upgrading existing AT&T facilities and equipment, and installation of new AT&T facilities and equipment referred to as antennas, radios, TMA, RRUS, power lines, and fiber.
- e. Updated Schedule of Regular Meetings and Application Deadlines for Public Hearings before the Nichols Hills City Council 2021.

MOTION: Goetzinger moved to approve item 7a, 7c, 7d, and 7e Consent Docket General as presented. Hoffman seconded the motion.

RESULT:	APPROVED [UNANIMOUS]
MOVER:	Steven J. Goetzinger
SECONDER:	E. Peter Hoffman
AYES:	Clements, Goetzinger, Hoffman

8. Citizens desiring to be heard

The purpose of this time is to allow members of the public to speak to the City Council on any matter that is not otherwise set for consideration on this Agenda.

Nancy Pellow, 1717 Coventry Lane, asked the City Council for a time on the agenda to discuss her outstanding citations.

9. Items for Separate Vote - Ordinances, Resolutions, and Other Matters

Items listed in this section of this Agenda are usually discussed, considered, and voted on individually by the City Council.

Discussion, consideration, and possible approval or action with respect to the following:

- a. Request to seek bids for Project No. WC-1902, WC-1903, WC-2001 Waterline Improvements Bedford Drive 12" Water Line, Wilshire Boulevard 12" Tower Line, and Greystone Avenue Tower 8" Blow Off and receive and approve plans for the same.

MOTION: Goetzinger moved to approve agenda item 9a as presented. Hoffman seconded the motion.

RESULT:	APPROVED [UNANIMOUS]
MOVER:	Steven J. Goetzinger
SECONDER:	E. Peter Hoffman
AYES:	Clements, Goetzinger, Hoffman

- b. Request to seek bids for Project No. PC-2002 Paving Improvements for N. Pennsylvania Avenue from Wilshire Boulevard to Westminster Place, and 1600 Block of Drury Lane and receive and approve plans for the same.

MOTION: Hoffman moved to approve agenda item 9b as presented. Goetzinger seconded the motion.

RESULT:	APPROVED [UNANIMOUS]
MOVER:	E. Peter Hoffman
SECONDER:	Steven J. Goetzinger
AYES:	Clements, Goetzinger, Hoffman

- c. Concerning request for only one side of street parking on the 1700 block of Guilford Lane.

Police Chief Steven Cox stated that the Police Department have been called out on parking issues for the address. Chief Cox stated that without an in-depth study being done over a period of time he couldn't advise on the issue. City Council directed that staff perform the study for several weeks at different times of the day to determine how to resolve the issue.

10. Adjournment

MOTION: There being no further business, Goetzinger moved to adjourn the meeting. Hoffman seconded the motion.

RESULT:	APPROVED [UNANIMOUS]
MOVER:	Steven J. Goetzinger
SECONDER:	E. Peter Hoffman
AYES:	Clements, Goetzinger, Hoffman

Mayor
City of Nichols Hills, Oklahoma

City Manager
City of Nichols Hills, Oklahoma

City Clerk
City of Nichols Hills, Oklahoma

**NICHOLS HILLS
POLICE DEPARTMENT
MONTHLY REPORT
OCTOBER 2020**

On October 2nd at 0559 hours, dispatch received a 9-1-1 call from a resident in the 7300 block of Lancet Lane. The caller advised a male subject was walking around outside her house. She stated the subject also had a bicycle. Corporal Greenwood arrived in the area within two minutes of the call. He observed a subject on a bike and initiated contact. When the subject noticed the officer, he dropped a loaded firearm to the ground. Officers placed the subject in handcuffs and searched his backpack where they located a flashlight, headlamp, gloves, pliers, screwdriver sized pry bar, and a multi-tool. Dispatch was able to confirm the subject had prior felony convictions including burglary. Jason Meyer (D.O.B. 07/04/84) was transported to Oklahoma County and booked on Possession of firearm after felony conviction and Possession of burglary tools. A few hours after the arrest, officers learned the bicycle the suspect was riding was stolen from another Nichols Hills resident. Detective Ridgeway also learned the firearm was reported stolen from an Oklahoma City resident.

During the month of October, officers received multiple reports of vehicles that were broken into. The majority of those vehicles were left unlocked. However, a few cars had windows broken out so the suspect could gain access to valuables left in plain sight. The suspect used a firearm to shoot out the glass in those cases. We also received two stolen vehicle reports. In both cases, the victims advised the keys were left in the vehicle and the vehicle left unlocked. One of the vehicles was located by Oklahoma City Police Department in the southwest side of Oklahoma City completely burned.

So far this year we have filed burglary and/or larceny charges on 13 individuals.

In the month of October officers made 1 District Court level arrest.

Officers were dispatched to 68 residential alarm calls and 4 business alarm calls in October. The department responded to 23 suspicious vehicle calls, 15 suspicious subject calls, and 16 suspicious activity calls. Officers responded to 9 motorist assist calls and 13 citizen assist calls. Officers conducted 77 business checks and 13 check the welfare calls. Officers were requested to assist other agencies a total of 19 times. Officers performed 84 house checks this month. Officers responded to 22 parking complaints. There were 39 traffic stops with 33 citations and 23 written warnings issued in October. Animal Control/Code Enforcement issued 7 citations. Officers worked 8 traffic accidents on city streets. Officers completed 25 offense reports.

Respectfully,

Chief Steven Cox

NICHOLS HILLS MUNICIPAL COURT Page: 1
 Report For October 1, 2020 Thru October 31, 2020 FILEDST

 Violations by Filed Date...

Code Enforcement	10	
Police Department	33	
MUNICIPAL COURT	3	
TRANSFERRED OUT	0	
Animal Control	0	
Total Filed Violations		46

 Completed Cases...

Paid Fine...

Code Enforcement	1	
Police Department	39	
MUNICIPAL COURT	3	
TRANSFERRED OUT	4	
Animal Control	2	
Total Paid Fines		49
Total Completed		49

 Other Completed...

Dismissed by Judge

Code Enforcement	0	
Police Department	2	
MUNICIPAL COURT	0	
TRANSFERRED OUT	0	
Animal Control	0	
Total		2

Dismissed - COMPLIANCE

Code Enforcement	0	
Police Department	1	
MUNICIPAL COURT	0	
TRANSFERRED OUT	0	
Animal Control	0	
Total		1

Dismissed by Prosecutor

Code Enforcement	0	
Police Department	0	
MUNICIPAL COURT	1	
TRANSFERRED OUT	0	
Animal Control	0	
Total		1

Voided Docket

Code Enforcement	1	
Police Department	0	

NICHOLS HILLS MUNICIPAL COURT Page: 2
 Report For October 1, 2020 Thru October 31, 2020 FILEDST

MUNICIPAL COURT	1	
TRANSFERRED OUT	0	
Animal Control	0	
Total		2
Total Other Completed		6
Grand Total Completed		55
Net Difference Filed/Complete		9-

 Warrants...

Issued...		
Code Enforcement	0	
Police Department	15	
MUNICIPAL COURT	1	
TRANSFERRED OUT	0	
Animal Control	0	
Total Violations		16
Total Warrants Issued		16

Cleared...		
Code Enforcement	0	
Police Department	20	
MUNICIPAL COURT	4	
TRANSFERRED OUT	4	
Animal Control	0	
Total Violations		28
Total Warrants Cleared		28
Change in Total Warrants		12-

FINE FINE	\$4,466.50
LATE LATE FEE	\$270.00
CLEET4 CLEET STATE OF OKLAHOMA	\$54.00
FP2 AFIS	\$30.00
FS FORENSIC SCIENCE IMPROVE FEE	\$30.00
AMS COLLECTION AGENCY	\$527.10
CLEET7 CLEET STATE OF OK. 2017	\$240.00
AFIS17 AFIS 2017	\$245.75
FS17 FORENSIC SCIENCE 2017	\$250.00
FTA FAILURE TO APPEAR	\$1,021.50
Total Fees/Fines Paid	\$7,134.85

Nichols Hills Fire Department October 2020 Monthly Report

The memories of October 2020 will be of the ice storm that ravaged Central Oklahoma. Before that hit though, our crews were taking advantage of the nice fall weather by completing their annual hydrant testing. This project includes opening and flowing water from every hydrant in the City to make sure that the pressure is good and to take care of any potential issues that may hinder water flow in the event of an emergency. Some routine maintenance around the station and on our apparatus was performed. The blue shift took part in the Community Event on October 17th over in the Plaza area. We also participated in a drive by birthday parade for a lady celebrating her 100th birthday. One child safety seat was installed this past month.

In October, the fire department responded to 147 calls for service. Call breakdown by type and location are as follows:

Fire	- 4	Ward 1	- 33%
Emergency Medical	- 20	Ward 2	- 37%
Hazardous Condition	- 64	Ward 3	- 14%
Service / Good Intent	- 32	Ward 4 (The Village)	- 16%
False	- 25	Ward 5 (OKC)	- 0%
Storm Weather / Other	- 2		

Training for the month of October included an in-house Auto Extrication class with The Village Fire Department. Thanks goes out to Public Works Director Randy Lawrence for providing space up at Public Works to be able to have the hands-on portion of the class. Also, to Cavin Wrecker Service in El Reno for providing the cars to cut up. Driver / Operator Sanderson attended a weeklong Fire Officer I class at Del City Fire Department. Other training included fire apparatus familiarization, pump operations, Blue Card Command and various EMS topics.

Kenny Reyes, Deputy Fire Chief

Nichols Hills Fire Department



DEPARTMENT OF ENVIRONMENTAL QUALITY

MONTHLY OPERATIONAL REPORT

GROUND WATER SYSTEMS

City of Nichols Hills

1009 N.W. 75th street

Nichols Hills

OK
STATE73116
ZIP

SYSTEM NAME

ADDRESS

CITY

PWS ID 2005501

POPULATION SERVED 4020

MONTH Sept 21 - Oct 20

2020

MONTH Sept 21 - Oct 20																2020	
DATE	WATER PUMPED 1,000 GALLONS PER DAY	CHEMICALS USED						NO. WELLS IN SYSTEM 23						TOTAL ALK.	Ph	STAB- ILITY	Fluoride
		CHLORINE AND RESIDUALS						NO. WELLS IN USE	TOTAL HOURS IN USE	STATIC LEVEL		PUMPING LEVEL					
		lbs	SERIES 1		SERIES 2		WELL NO.			FT.	WELL NO.	FT.					
			PLT	DIST	PLT	DIST											
21	1,918,200	7	0.31	0.32	0.33	0.32	10	226.2									
22	1,960,660	7	0.39	0.21	0.41	0.21	10	225.9									
23	1,835,974	7	0.50	1.06	0.79	1.06	9	212.4									
24	1,343,992	7	0.43	0.51	0.38	0.51	8	177.9									
25	929,828	7	0.28	0.39	0.39	0.39	6	135.6									
26	1,122,648	7	0.43	0.54	0.37	0.54	7	125.4									
27	1,449,740	7	0.38	0.49	0.32	0.49	8	192.0									
28	1,595,412	7	0.32	0.33	0.32	0.33	9	179.8									
29	1,844,940	7	0.63	0.56	0.27	0.56	9	216.0									
30	1,968,336	7	0.46	0.34	0.32	0.34	10	230.9									
1	1,671,980	7	0.25	0.38	0.20	0.38	8	192.0									
2	1,665,580	7	0.28	0.35	0.29	0.35	8	192.0									
3	1,664,580	7	0.83	0.91	0.67	0.91	8	192.0									
4	1,665,680	7	0.83	0.99	0.71	0.99	8	192.0									
5	1,667,680	7	0.32	0.30	0.32	0.30	8	192.0									
6	1,684,460	7	0.28	0.25	0.41	0.25	9	194.0									
7	1,781,840	7	0.55	0.75	0.48	0.75	9	216.0									
8	1,909,271	7	0.37	0.62	0.52	0.62	10	230.7									
9	1,943,420	7	0.35	0.64	0.52	0.64	10	240.0									
10	1,942,920	7	0.24	0.22	0.29	0.22	10	240.0									
11	1,942,020	7	0.33	0.26	0.47	0.26	10	240.0					194	8.08	1	0.26	
12	1,884,127	7	0.23	0.51	0.47	0.51	11	235.4									
13	1,867,380	7	0.26	0.34	0.37	0.34	9	216.0									
14	1,752,300	7	0.33	0.34	0.47	0.34	9	216.0									
15	1,697,900	7	0.36	0.43	0.55	0.43	9	205.3									
16	1,341,200	7	0.31	0.47	0.83	0.47	7	168.0									
17	1,442,000	7	0.39	0.68	0.41	0.68	7	168.0									
18	1,635,900	7	0.43	0.78	0.32	0.78	9	191.3									
19	1,750,900	7	0.24	0.24	0.56	0.24	9	216.0									
20	1,607,700	7	0.33	0.40	0.48	0.40	9	216.0									
TOTAL	50,488,568	210															
AVG.	1,682,952		0.39			0.49	9	6075									
POWER COST			\$20,646.80														
LABOR COST																	
BACT SAMPLES SUBMITTED DURING THE MO																	

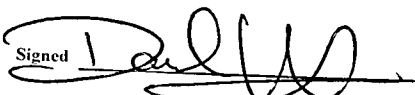
POWER COST	\$20,646.80
LABOR COST	\$10,187.62
CHEMICAL COST	\$201.81
REPAIR COST	\$0.00
WATER COST	\$0.00
TOTAL COST	\$31,036.23
COST/MILLION GAL.	614.72

BACT SAMPLES SUBMITTED DURING THE MO.

(1) NUMBER SAFE	4
(2) NUMBER UNSAFE	0
(3) NUMBER CHECK SAMPLES	4

Attachment: Public Works (4885 : 4d Public Works Monthly Reports)

I hereby certify the above to be correct
to the best of my knowledge.

Signed 
Title Chief well operator

Mail original before the 10th of the following mon
to:
Environmental Quality Department o
Quality Division Water
PO Box 1677
Oklahoma City, OK 73101-1677

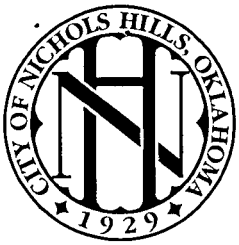


PUBLIC WORKS **WATER PRODUCTION REPORT**

October-20



<u>Water Produced</u>	<u>50,488,568</u>
<u>Water sold</u>	<u>48,681,028</u>
<u>Water used in the City Parks</u>	<u>1,301,897</u>
<u>Water flushed for Bond Projects & by Contractors</u>	<u>45,000</u>
<u>Fire Department usage - est.</u>	<u>88,000</u>
<u>City Facilities</u>	<u>71,847</u>
<u>Water unaccounted for</u>	<u>300,796</u>
<u>% water loss for the month</u>	<u>0.6%</u>
<u>Avg. water loss/ for the last 12 months</u>	<u>5.5%</u>



WATER PUMPED
Oct 2020



4.d.a

WELL	Analysis Date	Arsenic ppb	G.P.M.	Gallons Pumped	Electrical Cost Per Thousand Gallons
2		0.000	131	4,173,120	\$0.34
5		0.000	105	2,930,900	\$0.32
8		0.000	111	2,857,900	\$0.39
12		0.000	102	2,991,931	\$0.19
16		0.018	125	2,624,625	\$0.29
18		0.000	107	4,118,400	\$0.07
21		0.009	107	3,166,020	\$0.07
23		0.000	180	6,480,000	\$0.92
24		0.000	173	2,844,280	\$0.31
25		0.012	135	5,966,060	\$0.02
26		0.000	112	3,416,440	\$0.50
Total				42,195,198	

Blended arsneic level 0.00 ppb

Contractor Usage 45,000

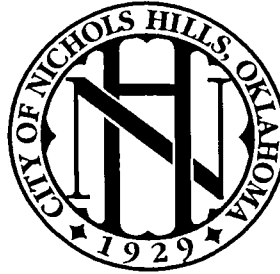
WATER PUMPED
LAST FIVE YEARS:

Oct-19	42,528,890
Oct-18	29,421,020
Oct-17	36,225,072
Oct-16	38,265,700
Oct-15	46,537,200



Sewer Main Blocks/ PM

	DATE	ADDRESS	MANHOURS		DATE	ADDRESS	Man hole number	MANHOURS	
	10/24/2020	6700Avondale	16.0						
		TOTAL hrs.	16.0				TOTAL hrs	0.0	



BUILDING PERMITS OCTOBER 2020

Project ID	Project Type	Name	Property	Issued Date	Square Footage	Valuation
155727	POOL	ALFARO'S POOLS AKA A&A POOLS	1201 GLENWOOD	10/2/2020		\$ 85,000.00
155728	POOL	JY'S POOLS & SPAS LLC	1508 GLENBROOK TERRACE	10/2/2020		\$ 100,000.00
155731	ROOF-RES	JENCO ROOFING COMPAY INC	1802 ELMHURST	10/6/2020		
155745	CURB	CREATIVE CONCRETE LLC	6607 HILLCREST	10/16/2020		
155748	BR-ACC	DAVID NEFF	6601 GRAND	10/19/2020		\$ 50,000.00
155750	BR-REM	SINE CONSTRUCTION LLP	1802 ELMHURST	10/21/2020		\$ 10,000.00
155752	BR-ACC	PRISTINE POOLS	1610 PENNINGTON	10/21/2020		\$ 49,982.00

Aaron Buckman

From: Cecilia Ortega <cortega@nicholshills.net>
Sent: Monday, November 2, 2020 10:13 AM
To: Aaron Buckman
Cc: 'Randell Lawrence'
Subject: October 2020 Consumption

Good morning,

The consumption for October was:

Residential-	46,050,000
Parks-	1,301,897
Commercial	2,631,028
City Buildings-	71,847

Total Consumption- 50,054,772

Thank you,

Cecilia Ortega
Utility Billing Clerk
City of Nichols Hills

Risk Manager Report October 2020

There were no injuries this month.

There were no drug screens this month.

Safety training for public works this month was postponed because of the scheduled flu shots.

Park inspections on Woods, Kite and Davis Park. We ordered a new “digger” for the playground at Kite Park. It should be delivered and installed in the next few weeks. The ice storm that came through at the end of October did some extensive damage to the trees in the parks. Cleanup is underway but until things are cleared out, we won’t know the amount of damage.

We have had Envirotype/OCD Specialists testing and spraying both city hall including police, fire, administration and the basement as well as public works since August. Testing results overall have been under the threshold of contamination except for a few areas. These areas are high traffic areas and used many times daily. We have purchased more hand sanitizing stations to help correct this issue and we continue to remind employees to wash hands on a regular basis. We did have them disinfect again in specific areas where an employee was present who later tested positive for COVID-19. Overall, employees are doing very well with keeping healthy both in and out of work. The City will continue to do our part to try to keep cases at a minimum.

Flu shots were scheduled for October 14th and October 16th at City Hall. We had a good turnout this year with employees and family members being offered the flu shot at no cost as well as a health incentive at the end of the year of \$25 for employees who participate.

We had several building maintenances issues this month. The breaker that controls the fire departments electricity is in the basement along with the main breaker switch. Both breakers repeatedly tripped causing power outages throughout the building. Stoops Electric responded several times attempting to fix the issue. We believe after several trips and phone calls to the manufacturer of the breaker box; the issue is repaired. We also began having issues with the hot water tank in the basement which controls the hot water in administration as well as the basement restrooms including showers. A plumber was called and informed us that the hot water tank was leaking and needed to be replaced. We also had them replace the men’s shower spindle that regulates the water temperature. The good news is that the plumber informed us that the hot water tank was still under warranty, so we only had to pay for parts and labor which cut down on the cost significantly.

I conducted a new-employee orientation for a public works employee. We went over the safety manual as well as PPE, Sexual Harassment Policy, Hazardous Communication policy (SDS sheets), first-aid procedures, Emergency Operation Plan (EOP), Bloodborne Pathogens and accident and incident reporting procedures.

I conducted an accident review board meeting for a police officer involved in a minor accident with another police vehicle. The board determined that the accident was 100% avoidable. The board did not recommend any disciplinary action at this time and left that up to the officer’s supervisor.

ACCOUNT NUMBER	NAME	CLASS	SERVICE ADDRESS	STATUS	DATE OF SERVICE	XFER?
02-00451-04	I - ALEXANDER, BOBBY	RES	1819 WILSHIRE	ACTIVE	10/16/2020	
02-00841-10	I - BAILEY, BA	RES	1803 WESTMINSTER	ACTIVE	10/07/2020	
01-00236-04	I - BOSWORTH, ROBERT	RES	1119 HEMSTEAD	ACTIVE	10/20/2020	
01-00029-21	I - CLEMENTS, ANDREW	RES	1108 FENWICK	ACTIVE	10/12/2020	
02-00834-00	I - COLCLASURE, KRISTI	RES	1723 WESTMINSTER	ACTIVE	10/01/2020	
02-00660-03	I - DAUGHERTY, TERESA	RES	1717 DORCHESTER PL	ACTIVE	10/20/2020	
01-00078-01	E - EXPRESS REALTY INC.	RES	1103 BEDFORD	ACTIVE	10/20/2020	
02-00051-02	I - GASBARRA, KATHRYN	RES	6615 HILLCREST	ACTIVE	10/08/2020	
01-00821-03	I - HAMMOCK, JASON	RES	1712 RANDEL	ACTIVE	10/15/2020	
01-00181-17	I - HOOPER, MELISSA	RES	1107 BELFORD	ACTIVE	10/15/2020	
01-00937-02	I - NICCUM, JONATHAN	RES	1402 KENILWORTH	ACTIVE	10/01/2020	
02-00720-06	I - PHELPS, COREY	RES	1901 DORCHESTER DR	ACTIVE	10/01/2020	
02-00528-05	I - RAMADAN, SIHAM	RES	1808 GUILFORD	ACTIVE	10/23/2020	
01-00377-02	I - RAMEY, CHERYL	RES	6640 AVONDALE	ACTIVE	10/09/2020	
02-00535-05	I - REBEIRO, RICKI	RES	1718 GUILFORD	ACTIVE	10/05/2020	
01-00132-05	I - RICHARDSON, DEBRA	RES	1113 TEDFORD	ACTIVE	10/23/2020	
01-00635-03	I - STEWART, ALYSSA	RES	1701 WILSHIRE	ACTIVE	10/22/2020	
01-00048-18	I - WILLIAMS, ELIZABETH	RES	1107 FENWICK	ACTIVE	10/23/2020	

** TOTAL PRINTED 18 **

STATUS: Active

CONFIDENTIALS PRINTED: NO

RANGE OF START DATES: 10/01/2020 THRU 10/31/2020

TRANSFERS INCLUDED: NO

LAST BILL DATE: 0/00/0000 THRU 99/99/9999

PRINT MAILING ADDR: NO

4.f.a

TOTALS BY CUSTOMER CLASS		
<u>CLASS</u>	<u>DESCRIPTION</u>	<u>TOTAL</u>
RES	RESIDENTIAL	18
	CLASS TOTAL:	18

TOTALS BY RATE TABLE			
<u>SERVICE</u>	<u>RATE</u>	<u>DESCRIPTION</u>	<u>TOTAL</u>

SELECTION CRITERIA

4.f.a

REPORT SELECTIONS:

REPORT SEQUENCE: Account Name
ACCOUNT STATUS: Active
CUSTOMER CLASS: All
SERV/TBL: All
INCLUDE TRANSFER: NO
INCLUDE CONFIDENTIAL: NO

INFORMATION INCLUDED:

MAILING ADDRESS: NO
COMMENT CODES: None

CUSTOMER DATES:

START DATE: 10/01/2020 THRU 10/31/2020
LAST BILL DATE: 0/00/0000 THRU 99/99/9999

** END OF REPORT **

CITY OF NICHOLS HILLS
TREASURER'S REPORT - INVESTMENT SCHEDULE
October 31, 2020

MATURITY DATE	DEPOSITORY BANK	COST BASIS	MATURITY VALUE	YIELD TO MATURITY	DAYS INVESTED
Operations					
Operating Acct (Avg Balance)	BancFirst	5,877,469.95	5,877,469.95		
NICHOLS HILLS GENERAL FUND					
12/10/20	Midfirst	500,000.00	500,149.61	0.12%	91
01/14/21	Midfirst	505,000.00	505,244.12	0.14%	126
04/15/21	Midfirst	750,000.00	750,411.48	0.11%	182
		<u>1,755,000.00</u>	<u>1,755,805.21</u>		
NICHOLS HILLS GENERAL FUND - CIP					
04/15/21	Treasury Bill	399,797.78	400,000.00	0.10%	182
01/14/21	Midfirst	425,000.00	425,205.45	0.14%	126
		<u>824,797.78</u>	<u>825,205.45</u>		
NICHOLS HILLS MUNICIPAL AUTHORITY					
12/10/20	Midfirst	650,000.00	650,194.49	0.12%	91
		<u>650,000.00</u>	<u>650,194.49</u>		
NICHOLS HILLS MUNICIPAL AUTHORITY - CIP					
04/15/21	Midfirst	700,000.00	700,384.05	0.11%	182
01/14/21	Midfirst	450,000.00	450,170.91	0.14%	126
		<u>1,150,000.00</u>	<u>1,150,554.96</u>		
SINKING FUND					
12/10/20	FNB of OK	825,000.00	827,961.29	0.71%	182
06/17/21	Treasury Bill	419,674.50	420,000.00	0.101%	279
		<u>1,244,674.50</u>	<u>1,247,961.29</u>		
GENERAL OBLIGATION BOND OF 2019					
12/10/20	Midfirst	680,000.00	680,203.47	0.12%	91
11/12/20	Midfirst	550,000.00	550,164.57	0.12%	91
		<u>1,230,000.00</u>	<u>1,230,368.04</u>		
GENERAL OBLIGATION BOND OF 2020					
06/10/21	Midfirst	975,000.00	976,021.48	0.14%	273
01/14/21	Midfirst	900,000.00	900,455.79	0.12%	154
01/14/21	Midfirst	700,000.00	700,354.50	0.12%	154
04/15/21	Midfirst	350,000.00	350,192.02	0.11%	182
11/10/20	Midfirst	950,000.00	950,633.20	0.16%	152
04/13/21	FNB of OK	1,150,000.00	1,156,492.71	0.75%	271
04/13/21	FNB of OK	1,050,000.00	1,055,928.13	0.75%	271
		<u>6,075,000.00</u>	<u>6,090,077.83</u>		
SOURCE					
BANCFIRST		5,877,469.95	5,877,469.95	31.25%	166.180%
MIDFIRST BANK		9,085,000.00	9,089,785.14	48.31%	110.017%
FIRST NATIONAL BANK OF OK		3,025,000.00	3,040,382.13	16.08%	126.490%
TREASURY BILL		819,472.28	820,000.00	4.36%	
TOTAL INVESTED		\$ 18,806,942.23	\$ 18,827,637.22	100.00%	

Attachment: Treasurers report October 2020 (4888 : 4 g City Treasurer's Report)

BALANCE SHEET

4.h.a

AS OF: OCTOBER 31ST, 2020

01 -General Fund

ACCOUNT #	ACCOUNT DESCRIPTION	BALANCE
ASSETS		
=====		
01-00-10050	Cash in Bank - Flex Account	31,002.03
01-00-10099	Claim on Cash	1,491,713.37
01-00-10100	Cash - Municipal Court	1,358.05
01-00-11000	T-Bills and CD's	1,755,000.00
01-00-11100	Interest Receivables	809.16
01-00-11600	Other Receivables	1,118,512.00
01-00-11650	Health Ins - Retirees & Cobra	(1,898.68)
01-00-11700	Beverage Tax Receivable	965.00
01-00-11800	Sales Tax Receivable	625,011.00
01-00-11900	Franchise Fee Receivable	49,130.00
01-00-12000	Utilities Receivable	85,524.81
01-00-13799	Due To/From Flex Spending	12,112.54
01-00-13800	Allowance for Court Receivable	(878,780.00)
01-00-14000	Deposit with Third Party Admin	6,748.00
01-00-24500	Prepaid Assets	<u>81,090.00</u>
		<u>4,378,297.28</u>
TOTAL ASSETS		4,378,297.28
		=====
LIABILITIES		
=====		
01-00-30050	Deposit - held for others	7,240.00
01-00-30090	Due to Collection agency	527.10
01-00-30099	A/P Due to Pooled Cash	221,747.87
01-00-30700	Deferred Interest Income	809.16
01-00-31700	Health/Life/Vision Insurance W	18.18
01-00-32050	Cleet Payable	830.95
01-00-33300	Deferred Fine Revenue	219,695.00
01-00-34300	Bonds held in reserve - Banner	11,670.00
01-00-34325	DEPOSIT-FIRE HYDRANT METERS	2,000.00
01-00-36000	Unapplied Credit Liab- A/R	<u>637.62</u>
TOTAL LIABILITIES		<u>465,175.88</u>
EQUITY		
=====		
01-00-59000	Unassigned Fund Balance	<u>3,614,724.08</u>
TOTAL BEGINNING EQUITY		3,614,724.08
TOTAL REVENUE		3,194,673.01
TOTAL EXPENDITURES		<u>2,896,275.69</u>
TOTAL REVENUE OVER/(UNDER) EXPENSES		298,397.32
TOTAL EQUITY & REV. OVER/(UNDER) EXP.		<u>3,913,121.40</u>
TOTAL LIABILITIES, EQUITY & REV.OVER/(UNDER) EXP.		4,378,297.28
		=====

Attachment: RG BALANCE SHEET - 5852 (4889 : 4 h Finance Director's Report)

BALANCE SHEET

AS OF: OCTOBER 31ST, 2020

02 -Street & Alley

ACCOUNT #	ACCOUNT DESCRIPTION	BALANCE
ASSETS		
=====		
02-00-10099	Claim on Cash	172,505.33
02-00-14900	Due from other Governments	<u>3,544.00</u>
		<u>176,049.33</u>
TOTAL ASSETS		176,049.33
=====		
LIABILITIES		
=====		
EQUITY		
=====		
02-00-51090	Restricted Fund Bal-Streets	160,167.26
02-00-57090	Assigned Fund Balance-Streets	<u>3,691.00</u>
TOTAL BEGINNING EQUITY		163,858.26
TOTAL REVENUE		<u>12,191.07</u>
TOTAL REVENUE OVER/(UNDER) EXPENSES		12,191.07
TOTAL EQUITY & REV. OVER/(UNDER) EXP.		<u>176,049.33</u>
TOTAL LIABILITIES, EQUITY & REV.OVER/(UNDER) EXP.		176,049.33
=====		

BALANCE SHEET

AS OF: OCTOBER 31ST, 2020

03 -Designated Fds-Fire & Gen

ACCOUNT #	ACCOUNT DESCRIPTION	BALANCE
ASSETS		
=====		
03-00-10099	Claim on Cash	<u>3,327.24</u>
		<u>3,327.24</u>
TOTAL ASSETS		3,327.24
		=====
LIABILITIES		
=====		
EQUITY		
=====		
03-00-51050	Restricted Fund Bal-Donations	<u>3,325.40</u>
TOTAL BEGINNING EQUITY		3,325.40
TOTAL REVENUE		<u>1.84</u>
TOTAL REVENUE OVER/(UNDER) EXPENSES		1.84
TOTAL EQUITY & REV. OVER/(UNDER) EXP.		<u>3,327.24</u>
TOTAL LIABILITIES, EQUITY & REV.OVER/(UNDER) EXP.		3,327.24
		=====

BALANCE SHEET

AS OF: OCTOBER 31ST, 2020

04 -Designated Funds-Police

ACCOUNT #	ACCOUNT DESCRIPTION	BALANCE
ASSETS		
=====		
04-00-10099	Claim on Cash	<u>62,372.42</u>
		<u>62,372.42</u>
	TOTAL ASSETS	62,372.42
		=====
LIABILITIES		
=====		
04-00-30099	A/P Due to Pooled Cash	<u>102.00</u>
	TOTAL LIABILITIES	<u>102.00</u>
EQUITY		
=====		
04-00-51050	Restricted Fund Bal-Donations	<u>62,447.86</u>
	TOTAL BEGINNING EQUITY	62,447.86
	TOTAL REVENUE	34.56
	TOTAL EXPENDITURES	<u>212.00</u>
	TOTAL REVENUE OVER/(UNDER) EXPENSES	(177.44)
	TOTAL EQUITY & REV. OVER/(UNDER) EXP.	<u>62,270.42</u>
	TOTAL LIABILITIES, EQUITY & REV.OVER/(UNDER) EXP.	62,372.42
		=====

BALANCE SHEET

AS OF: OCTOBER 31ST, 2020

05 -Designated Funds-PW

ACCOUNT #	ACCOUNT DESCRIPTION	BALANCE
ASSETS		
=====		
05-00-10099	Claim on Cash	<u>2,159.91</u>
		<u>2,159.91</u>
	TOTAL ASSETS	2,159.91
		=====
LIABILITIES		
=====		
EQUITY		
=====		
05-00-51050	Restricted Fund Bal-Donations	<u>2,158.71</u>
	TOTAL BEGINNING EQUITY	2,158.71
	TOTAL REVENUE	<u>1.20</u>
	TOTAL REVENUE OVER/(UNDER) EXPENSES	1.20
	TOTAL EQUITY & REV. OVER/(UNDER) EXP.	<u>2,159.91</u>
	TOTAL LIABILITIES, EQUITY & REV.OVER/(UNDER) EXP.	2,159.91
		=====

BALANCE SHEET

AS OF: OCTOBER 31ST, 2020

06 -Municipal Authority

ACCOUNT #	ACCOUNT DESCRIPTION	BALANCE	
ASSETS			
=====			
06-00-10099	Claim on Cash	1,518,572.48	
06-00-11000	T-Bills and CD's	650,000.00	
06-00-11100	Interest Receivable	192.58	
06-00-12150	Utility Receivable	566,114.72	
06-00-13900	Unbilled Receivable	169,849.00	
06-00-14800	Allowance for Doubtful Account	(21,954.93)	
06-00-14850	Bad Debt Receivable	24,970.38	
06-00-15000	Deferred outflow of resources	109,922.00	
06-00-15500	Deferred Outflow - OPEB	6,691.00	
06-00-20000	Fixed Assets	42,092,926.03	
06-00-20100	Accumulated Depreciation	(25,141,522.89)	
06-00-21000	Land	207,742.00	
06-00-21500	Construction in Progress	<u>1,315,676.06</u>	
		<u>21,499,178.43</u>	
	TOTAL ASSETS		21,499,178.43
			=====
LIABILITIES			
=====			
06-00-30050	Net pension asset	(161,640.00)	
06-00-30090	Payable - Collection AgencyFee	6,184.32	
06-00-30099	A/P Due to Pooled Cash	124,845.38	
06-00-31800	Comp Absent Payable	3,344.02	
06-00-31890	Compensated Absences - Long Te	30,099.00	
06-00-34000	City of NH - Garbage	85,524.81	
06-00-34100	Unearned Rev (Unapplied Credit	10,431.72	
06-00-34500	Due to Tyler Tech (Cr Cd Fees)	596.00	
06-00-34900	Notes Payable - Current Portio	777.00	
06-00-35000	NOTES PAYABLE	17,812.00	
06-00-38000	Deferred inflow of resources	42,175.00	
06-00-38500	Deferred Inflow - OPEB	8,703.00	
06-00-40100	OPEB Liability	<u>80,250.00</u>	
	TOTAL LIABILITIES		<u>249,102.25</u>
EQUITY			
=====			
06-00-50400	Net Investment-Capital Assets	18,462,601.00	
06-00-52090	Unrestricted Fund Balance	<u>2,227,825.93</u>	
	TOTAL BEGINNING EQUITY		20,690,426.93
	TOTAL REVENUE	2,002,674.20	
	TOTAL EXPENDITURES	<u>1,443,024.95</u>	
	TOTAL REVENUE OVER/(UNDER) EXPENSES		559,649.25
	TOTAL EQUITY & REV. OVER/(UNDER) EXP.		<u>21,250,076.18</u>
	TOTAL LIABILITIES, EQUITY & REV.OVER/(UNDER) EXP.		21,499,178.43
			=====

BALANCE SHEET

AS OF: OCTOBER 31ST, 2020

07 -General Fund - CIP

ACCOUNT #	ACCOUNT DESCRIPTION	BALANCE	
ASSETS			
=====			
07-00-10099	Claim on Cash	478,861.00	
07-00-11000	T-Bills and CD's	824,797.78	
07-00-11100	Interest Receivable	<u>149.89</u>	
			<u>1,303,808.67</u>
TOTAL ASSETS			1,303,808.67
=====			
LIABILITIES			
=====			
07-00-30700	Deferred Interest Income	<u>149.89</u>	
TOTAL LIABILITIES			<u>149.89</u>
EQUITY			
=====			
07-00-57100	Assigned Fund Bal-Capital Imps	82,422.33	
07-00-57102	Assigned FB-CIP-Admin	61,019.92	
07-00-57106	Assigned FB-CIP-Police	252,027.16	
07-00-57107	Assigned FB-CIP-Fire	289,063.57	
07-00-57109	Assigned FB-CIP-Street	103,651.75	
07-00-57110	Assigned FB-CIP-Sanitation	201,617.00	
07-00-57111	Assigned FB-CIP-Parks	145,863.00	
07-00-57114	Assigned FB-CIP-Code	16,360.00	
07-00-57115	Assigned FB-CIP-Risk	9,565.00	
07-00-57116	Assigned FB-CIP-ISM	<u>135,406.98</u>	
TOTAL BEGINNING EQUITY			1,296,996.71
TOTAL REVENUE		7,652.07	
TOTAL EXPENDITURES		<u>990.00</u>	
TOTAL REVENUE OVER/(UNDER) EXPENSES			6,662.07
TOTAL EQUITY & REV. OVER/(UNDER) EXP.			<u>1,303,658.78</u>
TOTAL LIABILITIES, EQUITY & REV.OVER/(UNDER) EXP.			1,303,808.67
=====			

BALANCE SHEET

AS OF: OCTOBER 31ST, 2020

08 -Sinking Fund

ACCOUNT #	ACCOUNT DESCRIPTION	BALANCE
ASSETS		
=====		
08-00-10000	Cash - Sinking Fund	40,916.46
08-00-11000	T-Bills and CD's	1,244,674.50
08-00-11100	Interest Receivable	1,029.49
08-00-14900	Due from other Governments	<u>128,117.00</u>
		<u>1,414,737.45</u>
TOTAL ASSETS		1,414,737.45
		=====
LIABILITIES		
=====		
08-00-30700	Deferred Interest Income	319.08
08-00-30701	Deferred Income	<u>108,311.00</u>
TOTAL LIABILITIES		<u>108,630.08</u>
EQUITY		
=====		
08-00-51030	Restricted Fund Bal-Debt Srv	1,527,388.23
08-00-57030	Assigned Fund Bal-Debt Service	<u>158,266.00</u>
TOTAL BEGINNING EQUITY		1,685,654.23
TOTAL REVENUE		105,486.61
TOTAL EXPENDITURES		<u>485,033.47</u>
TOTAL REVENUE OVER/(UNDER) EXPENSES		(379,546.86)
TOTAL EQUITY & REV. OVER/(UNDER) EXP.		<u>1,306,107.37</u>
TOTAL LIABILITIES, EQUITY & REV.OVER/(UNDER) EXP.		1,414,737.45
		=====

BALANCE SHEET

AS OF: OCTOBER 31ST, 2020

09 -Meter Deposits

ACCOUNT #	ACCOUNT DESCRIPTION	BALANCE
ASSETS		
=====		
09-00-10099	Claim on Cash	<u>28,010.00</u>
		<u>28,010.00</u>
	TOTAL ASSETS	28,010.00
		=====
LIABILITIES		
=====		
09-00-36100	Reserve for Meter Deposits	<u>28,010.00</u>
	TOTAL LIABILITIES	<u>28,010.00</u>
EQUITY		
=====		
	TOTAL LIABILITIES, EQUITY & REV.OVER/ (UNDER) EXP.	28,010.00
		=====

BALANCE SHEET

AS OF: OCTOBER 31ST, 2020

10 -911 Fund

ACCOUNT #	ACCOUNT DESCRIPTION	BALANCE
ASSETS		
=====		
10-00-10099	Claim on Cash	45,419.11
10-00-11600	Other Receivables	<u>696.00</u>
		<u>46,115.11</u>
TOTAL ASSETS		46,115.11
=====		
LIABILITIES		
=====		
EQUITY		
=====		
10-00-51070	Restricted Fd Bal-Public Safet	<u>43,244.52</u>
TOTAL BEGINNING EQUITY		43,244.52
TOTAL REVENUE		<u>2,870.59</u>
TOTAL REVENUE OVER/(UNDER) EXPENSES		2,870.59
TOTAL EQUITY & REV. OVER/(UNDER) EXP.		<u>46,115.11</u>
TOTAL LIABILITIES, EQUITY & REV.OVER/(UNDER) EXP.		46,115.11
=====		

BALANCE SHEET

AS OF: OCTOBER 31ST, 2020

11 -Impound Fee Police Fund

ACCOUNT #	ACCOUNT DESCRIPTION	BALANCE
ASSETS		
=====		
11-00-10099	Claim on Cash	<u>21,360.17</u>
		<u>21,360.17</u>
TOTAL ASSETS		21,360.17
		=====
LIABILITIES		
=====		
EQUITY		
=====		
11-00-51075	Restricted Fd Bal - Police Imp	<u>20,948.42</u>
TOTAL BEGINNING EQUITY		20,948.42
TOTAL REVENUE		<u>411.75</u>
TOTAL REVENUE OVER/(UNDER) EXPENSES		411.75
TOTAL EQUITY & REV. OVER/(UNDER) EXP.		<u>21,360.17</u>
TOTAL LIABILITIES, EQUITY & REV.OVER/(UNDER) EXP.		21,360.17
		=====

BALANCE SHEET

AS OF: OCTOBER 31ST, 2020

13 -Municipal Authority - CIP

ACCOUNT #	ACCOUNT DESCRIPTION	BALANCE	
ASSETS			
=====			
13-00-10099	Claim on Cash	414,731.83	
13-00-11000	T-Bills and CD's	1,150,000.00	
13-00-11100	Interest Receivable	49.99	
13-00-20000	Fixed Assets	<u>231,402.00</u>	
		<u>1,796,183.82</u>	
TOTAL ASSETS			1,796,183.82
			=====
LIABILITIES			
=====			
13-00-30099	A/P Due to Pooled Cash	<u>15,108.88</u>	
TOTAL LIABILITIES			<u>15,108.88</u>
EQUITY			
=====			
13-00-50400	Net Investment - Capital Asset	225,035.89	
13-00-57100	Fund Bal-Capital Improvements	<u>1,570,425.90</u>	
TOTAL BEGINNING EQUITY		1,795,461.79	
TOTAL REVENUE		722.03	
TOTAL EXPENDITURES		<u>15,108.88</u>	
TOTAL REVENUE OVER/(UNDER) EXPENSES		(14,386.85)	
TOTAL EQUITY & REV. OVER/(UNDER) EXP.		<u>1,781,074.94</u>	
TOTAL LIABILITIES, EQUITY & REV.OVER/(UNDER) EXP.			1,796,183.82
			=====

BALANCE SHEET

AS OF: OCTOBER 31ST, 2020

4.h.a

14 -Water Impact Fees Fund

ACCOUNT #	ACCOUNT DESCRIPTION	BALANCE
ASSETS		
=====		
14-00-10099	Claim on Cash	<u>103,339.04</u>
		<u>103,339.04</u>
	TOTAL ASSETS	103,339.04
		=====
LIABILITIES		
=====		
EQUITY		
=====		
14-00-50300	Restricted Fund Balance	<u>94,498.33</u>
	TOTAL BEGINNING EQUITY	94,498.33
	TOTAL REVENUE	<u>8,840.71</u>
	TOTAL REVENUE OVER/(UNDER) EXPENSES	8,840.71
	TOTAL EQUITY & REV. OVER/(UNDER) EXP.	<u>103,339.04</u>
	TOTAL LIABILITIES, EQUITY & REV.OVER/(UNDER) EXP.	103,339.04
		=====

BALANCE SHEET

4.h.a

AS OF: OCTOBER 31ST, 2020

15 -Sewer Impact Fee Fund

ACCOUNT #	ACCOUNT DESCRIPTION	BALANCE
ASSETS		
=====		
15-00-10099	Claim on Cash	<u>89,359.48</u>
		<u>89,359.48</u>
	TOTAL ASSETS	89,359.48
		=====
LIABILITIES		
=====		
EQUITY		
=====		
15-00-50300	Restricted Fund Balance	<u>81,023.07</u>
	TOTAL BEGINNING EQUITY	81,023.07
	TOTAL REVENUE	<u>8,336.41</u>
	TOTAL REVENUE OVER/(UNDER) EXPENSES	8,336.41
	TOTAL EQUITY & REV. OVER/(UNDER) EXP.	<u>89,359.48</u>
	TOTAL LIABILITIES, EQUITY & REV.OVER/(UNDER) EXP.	89,359.48
		=====

BALANCE SHEET

AS OF: OCTOBER 31ST, 2020

17 -Drainage Fee Fund

ACCOUNT #	ACCOUNT DESCRIPTION	BALANCE
ASSETS		
=====		
17-00-10099	Claim on Cash	43,571.67
17-00-12000	Utility Receivables	5,391.03
17-00-14850	Bad Debt Receivable	<u>6.00</u>
		<u>48,968.70</u>
TOTAL ASSETS		48,968.70
		=====
LIABILITIES		
=====		
EQUITY		
=====		
17-00-50300	Restricted Fund Balance	<u>27,202.16</u>
	TOTAL BEGINNING EQUITY	27,202.16
TOTAL REVENUE		<u>21,766.54</u>
	TOTAL REVENUE OVER/(UNDER) EXPENSES	21,766.54
TOTAL EQUITY & REV. OVER/(UNDER) EXP.		<u>48,968.70</u>
TOTAL LIABILITIES, EQUITY & REV.OVER/(UNDER) EXP.		48,968.70
		=====

BALANCE SHEET

AS OF: OCTOBER 31ST, 2020

18 -Health Insurance Fund

ACCOUNT #	ACCOUNT DESCRIPTION	BALANCE
ASSETS		
=====		
18-00-10050	Cash - Health Insurance	<u>128,249.20</u>
		<u>128,249.20</u>
TOTAL ASSETS		128,249.20
		=====
LIABILITIES		
=====		
EQUITY		
=====		
18-00-57090	Assigned Fund Balance	(<u>10.30</u>)
	TOTAL BEGINNING EQUITY	(10.30)
TOTAL REVENUE		327,666.10
TOTAL EXPENDITURES		<u>199,406.60</u>
TOTAL REVENUE OVER/(UNDER) EXPENSES		128,259.50
TOTAL EQUITY & REV. OVER/(UNDER) EXP.		<u>128,249.20</u>
TOTAL LIABILITIES, EQUITY & REV.OVER/(UNDER) EXP.		128,249.20
		=====

BALANCE SHEET

AS OF: OCTOBER 31ST, 2020

80 -General Obligation Bonds

ACCOUNT #	ACCOUNT DESCRIPTION	BALANCE
ASSETS		
=====		
80-00-10860	Cash - 2016 GO Bd Series	205,785.32
80-00-10870	Cash - 2017 GO Bd Series	9,768.85
80-00-10880	Cash - 2018 GO Bd Series	135,534.54
80-00-10890	Cash - 2019 GO Bd Series	435,406.44
80-00-10891	Cash - 2020 GO Bd Series	338,734.90
80-00-11089	T-Bills and CD's - 2019	1,230,000.00
80-00-11091	T-Bills and CD's - 2020	6,075,000.00
80-00-11189	Interest Rec - 2019 GO Bd Seri	1,460.40
80-00-11190	Interest Rec - 2020 GO Bd Seri	<u>38,657.16</u>
		<u>8,470,347.61</u>
TOTAL ASSETS		8,470,347.61
		=====
LIABILITIES		
=====		
80-00-30099	A/P Due to Pooled Cash	494,478.71
80-00-30789	Deferred Int Income - 2019	199.99
80-00-30790	Deferred Int Income - 2020	10,658.01
80-00-37176	Retainage Payable	<u>49,127.00</u>
TOTAL LIABILITIES		<u>554,463.71</u>
EQUITY		
=====		
80-00-50100	FUND BALANCE	2,281,060.31
80-00-50186	Fund Balance 2016 GO Bd Series	674,041.64
80-00-50187	Fund Balance 2017 GO Bd Series	1,800,793.83
80-00-50188	Fund Balance 2018 GO Bond Seri	2,295,921.45
80-00-50189	Fund Balance 2019 GO Bd Series	<u>2,986,673.53</u>
TOTAL BEGINNING EQUITY		10,038,490.76
TOTAL REVENUE		51,080.57
TOTAL EXPENDITURES		<u>2,173,687.43</u>
TOTAL REVENUE OVER/(UNDER) EXPENSES		(2,122,606.86)
TOTAL EQUITY & REV. OVER/(UNDER) EXP.		<u>7,915,883.90</u>
TOTAL LIABILITIES, EQUITY & REV.OVER/(UNDER) EXP.		8,470,347.61
		=====

BALANCE SHEET

AS OF: OCTOBER 31ST, 2020

4.h.a

99 -Pooled Cash

ACCOUNT #	ACCOUNT DESCRIPTION	BALANCE
ASSETS		
=====		
99-00-10099	Pooled Cash	4,475,303.05
99-00-12001	Due from General Fund	221,747.87
99-00-12004	Due from Designated Fds-Police	102.00
99-00-12006	Due from Municipal Authority	124,845.38
99-00-12013	Due from NHMA - CIP	15,108.88
99-00-12080	Due from GO Bonds	<u>494,478.71</u>
		<u>5,331,585.89</u>
TOTAL ASSETS		5,331,585.89
		=====
LIABILITIES		
=====		
99-00-30099	Accounts Payable	856,282.84
99-00-37099	Due to other Funds	<u>4,475,303.05</u>
	TOTAL LIABILITIES	<u>5,331,585.89</u>
EQUITY		
=====		
TOTAL LIABILITIES, EQUITY & REV.OVER/(UNDER) EXP.		5,331,585.89
		=====

CITY OF NICHOLS HILLS
REVENUE & EXPENSE REPORT (UNAUDITED)

PAGE: 1

4.h.b

AS OF: OCTOBER 31ST, 2020

01 -General Fund

FINANCIAL SUMMARY

% OF YEAR COMPLETED: 33.33

	CURRENT BUDGET	CURRENT PERIOD	YEAR TO DATE ACTUAL	TOTAL ENCUMBERED	BUDGET BALANCE	% BUDGET REMAINING
<u>REVENUE SUMMARY</u>						
Revenues	<u>8,215,178</u>	<u>752,709.25</u>	<u>3,194,673.01</u>	<u>0.00</u>	<u>5,020,504.70</u>	<u>61.11</u>
TOTAL REVENUES	8,215,178	752,709.25	3,194,673.01	0.00	5,020,504.70	61.11
<u>EXPENDITURE SUMMARY</u>						
City Council	790	66.39	261.96	0.00	528.04	66.84
Administration	595,160	42,216.66	220,789.71	0.00	374,370.49	62.90
City Treasurer	1,320	110.65	436.60	0.00	883.40	66.92
City Attorney	215,000	17,208.50	88,324.11	0.00	126,675.89	58.92
Municipal Court	109,405	7,634.89	43,565.58	0.00	65,839.86	60.18
Police Department	2,538,520	187,720.27	889,168.32	4,726.95	1,644,624.65	64.79
Fire Department	1,801,855	145,673.99	651,698.96	0.00	1,150,155.64	63.83
City Engineer	80,000	4,907.41	30,170.42	0.00	49,829.58	62.29
Street Department	305,773	20,593.37	94,614.65	2,149.19	209,008.97	68.35
Sanitation	812,954	57,287.15	269,788.69	710.00	542,454.91	66.73
Parks Department	262,990	21,185.52	93,823.17	6,409.27	162,757.24	61.89
Public Works Admin	214,391	18,417.79	70,330.07	0.00	144,061.22	67.20
General Government	500,684	46,558.58	169,336.05	7,349.00	323,998.95	64.71
Code Department	273,688	20,742.99	95,301.66	179.67	178,206.60	65.11
Risk Manager	159,752	13,579.65	56,028.42	0.00	103,723.37	64.93
Information Systems Mgr	<u>342,894</u>	<u>24,531.06</u>	<u>122,637.32</u>	<u>31,392.30</u>	<u>188,864.83</u>	<u>55.08</u>
TOTAL EXPENDITURES	8,215,176	628,434.87	2,896,275.69	52,916.38	5,265,983.64	64.10
REVENUE OVER/(UNDER) EXPENDITURES	2	124,274.38	298,397.32 (	52,916.38) (	245,478.94)	3,947.00-

Attachment: RG REV & EXP W-ENC (ROLL) - 5856 (4889 : 4 h Finance Director's Report)

CITY OF NICHOLS HILLS
REVENUE & EXPENSE REPORT (UNAUDITED)
AS OF: OCTOBER 31ST, 2020

01 -General Fund

% OF YEAR COMPLETED: 33.33

REVENUES	CURRENT BUDGET	CURRENT PERIOD	YEAR TO DATE ACTUAL	TOTAL ENCUMBERED	BUDGET BALANCE	% BUDGET REMAINING
<u>Revenues</u>						
=====						
<u>General Sales Tax</u>						
01-00-70250 Sales Tax	3,095,357	358,208.75	1,355,435.36	0.00	1,739,921.64	56.21
01-00-70350 Use Tax Revenue	524,214	59,229.30	248,062.62	0.00	276,151.38	52.68
01-00-70450 Tobacco Tax Revenue	<u>36,984</u>	<u>3,247.91</u>	<u>12,089.84</u>	<u>0.00</u>	<u>24,894.16</u>	<u>67.31</u>
TOTAL General Sales Tax	3,656,555	420,685.96	1,615,587.82	0.00	2,040,967.18	55.82
<u>Miscellaneous Taxes</u>						
01-00-71550 Franchise Tax	<u>324,835</u>	<u>24,256.29</u>	<u>121,852.08</u>	<u>0.00</u>	<u>202,982.92</u>	<u>62.49</u>
TOTAL Miscellaneous Taxes	324,835	24,256.29	121,852.08	0.00	202,982.92	62.49
<u>Licenses and Permits</u>						
01-00-72050 Building Permits	77,797	2,821.50	45,417.25	0.00	32,379.75	41.62
01-00-72075 Plumbing Permits	23,314	1,411.00	9,500.00	0.00	13,814.00	59.25
01-00-72100 Electrical Permits	15,790	645.00	7,095.00	0.00	8,695.00	55.07
01-00-72125 Roof Permits	1,283	130.00	605.00	0.00	678.00	52.84
01-00-72150 Drive/Drain Permits - Tree	8,468	255.00	3,020.00	0.00	5,448.00	64.34
01-00-72175 Food Vendor Permits	60	0.00	110.00	0.00 (	50.00)	83.33-
01-00-72200 Garage Sale Permits	100	80.00	300.00	0.00 (	200.00)	200.00-
01-00-72300 Plumbing Licenses	23,750	1,425.00	8,975.00	0.00	14,775.00	62.21
01-00-72325 Electric Licenses	12,192	300.00	4,575.00	0.00	7,617.00	62.48
01-00-72350 General Contractor Registra	14,630	750.00	4,650.00	0.00	9,980.00	68.22
01-00-72355 SUB-CONTRACTOR REGISTRATION	0	0.00	150.00	0.00 (	150.00)	0.00
01-00-72400 Alcohol Licenses	21,199	3,500.00	6,000.00	0.00	15,199.00	71.70
01-00-72800 Dog/Cat Licenses	<u>462</u>	<u>105.00</u>	<u>430.00</u>	<u>0.00</u>	<u>32.00</u>	<u>6.93</u>
TOTAL Licenses and Permits	199,045	11,422.50	90,827.25	0.00	108,217.75	54.37
<u>Intergovernmental</u>						
01-00-73250 Alcohol Tax	<u>9,502</u>	<u>949.27</u>	<u>3,754.07</u>	<u>0.00</u>	<u>5,747.93</u>	<u>60.49</u>
TOTAL Intergovernmental	9,502	949.27	3,754.07	0.00	5,747.93	60.49
<u>Charges for Services</u>						
01-00-74200 Garbage	885,878	79,321.41	317,049.04	0.00	568,828.96	64.21
01-00-74500 Garbage - Commercial	106,122	8,347.04	33,412.78	0.00	72,709.22	68.51
01-00-74700 Solid Waste Fee	5,070	446.00	1,782.00	0.00	3,288.00	64.85
01-00-74850 Ambulance Fees	57,729	5,109.40	20,393.35	0.00	37,335.65	64.67
01-00-74950 Life and Safety	<u>1,437</u>	<u>190.00</u>	<u>568.02</u>	<u>0.00</u>	<u>868.98</u>	<u>60.47</u>
TOTAL Charges for Services	1,056,236	93,413.85	373,205.19	0.00	683,030.81	64.67
<u>Fines & Forfeits</u>						
01-00-76300 Police Fines	<u>87,083</u>	<u>5,809.80</u>	<u>24,483.05</u>	<u>0.00</u>	<u>62,599.95</u>	<u>71.89</u>
TOTAL Fines & Forfeits	87,083	5,809.80	24,483.05	0.00	62,599.95	71.89
<u>Investment Earnings</u>						
01-00-78500 Interest Income	<u>34,410</u>	<u>383.02</u>	<u>3,098.69</u>	<u>0.00</u>	<u>31,311.31</u>	<u>90.99</u>
TOTAL Investment Earnings	34,410	383.02	3,098.69	0.00	31,311.31	90.99

CITY OF NICHOLS HILLS
REVENUE & EXPENSE REPORT (UNAUDITED)

AS OF: OCTOBER 31ST, 2020

01 -General Fund

% OF YEAR COMPLETED: 33.33

REVENUES	CURRENT BUDGET	CURRENT PERIOD	YEAR TO DATE ACTUAL	TOTAL ENCUMBERED	BUDGET BALANCE	% BUDGET REMAINING
<u>Miscellaneous Revenue</u>						
01-00-79500 Leases	298,355	0.00	177,462.68	0.00	120,892.32	40.52
01-00-79550 Misc. Income	39,798	3,530.22	15,228.96	0.00	24,569.04	61.73
01-00-79575 Royalty Revenue	<u>0</u>	<u>0.00</u>	<u>139.86</u>	<u>0.00</u>	(<u>139.86</u>)	<u>0.00</u>
TOTAL Miscellaneous Revenue	338,153	3,530.22	192,831.50	0.00	145,321.50	42.98
<u>Fund Balance Carryover</u>						
01-00-79800 Carryover	<u>202,259</u>	<u>0.00</u>	<u>0.00</u>	<u>0.00</u>	<u>202,258.71</u>	<u>100.00</u>
TOTAL Fund Balance Carryover	202,259	0.00	0.00	0.00	202,258.71	100.00
<u>Transfers</u>						
01-00-79900 Leasehold Transfer	<u>2,307,100</u>	<u>192,258.34</u>	<u>769,033.36</u>	<u>0.00</u>	<u>1,538,066.64</u>	<u>66.67</u>
TOTAL Transfers	<u>2,307,100</u>	<u>192,258.34</u>	<u>769,033.36</u>	<u>0.00</u>	<u>1,538,066.64</u>	<u>66.67</u>
TOTAL Revenues	8,215,178	752,709.25	3,194,673.01	0.00	5,020,504.70	61.11
TOTAL REVENUE	8,215,178	752,709.25	3,194,673.01	0.00	5,020,504.70	61.11

AS OF: OCTOBER 31ST, 2020

01 -General Fund

% OF YEAR COMPLETED: 33.33

	CURRENT BUDGET	CURRENT PERIOD	YEAR TO DATE ACTUAL	TOTAL ENCUMBERED	BUDGET BALANCE	% BUDGET REMAINING
--	-------------------	-------------------	------------------------	---------------------	-------------------	-----------------------

City Council
=====Personnel Services

01-01-80100 Salary	720	60.00	240.00	0.00	480.00	66.67
01-01-80300 FICA/Medicare	60	4.59	18.36	0.00	41.64	69.40
01-01-80700 Unemployment	<u>10</u>	<u>1.80</u>	<u>3.60</u>	<u>0.00</u>	<u>6.40</u>	<u>64.00</u>
TOTAL Personnel Services	790	66.39	261.96	0.00	528.04	66.84

TOTAL City Council	790	66.39	261.96	0.00	528.04	66.84
--------------------	-----	-------	--------	------	--------	-------

Administration
=====Personnel Services

01-02-80100 Salary	421,292	31,836.19	155,170.64	0.00	266,120.87	63.17
01-02-80200 Overtime	500	0.00	0.00	0.00	500.00	100.00
01-02-80300 FICA/Medicare	33,607	1,635.03	10,803.89	0.00	22,803.44	67.85
01-02-80400 Dental Insurance	2,067	129.18	516.72	0.00	1,550.28	75.00
01-02-80500 Health Insurance	44,073	3,076.91	12,332.64	0.00	31,740.36	72.02
01-02-80600 Worker's Comp	9,140	0.00	4,783.22	0.00	4,356.78	47.67
01-02-80700 Unemployment	800	0.00	0.00	0.00	800.00	100.00
01-02-80800 OMRP Pension	35,081	2,660.23	12,867.02	0.00	22,214.34	63.32
01-02-81400 Car Allowance	<u>17,000</u>	<u>1,416.67</u>	<u>5,666.68</u>	<u>0.00</u>	<u>11,333.32</u>	<u>66.67</u>
TOTAL Personnel Services	563,560	40,754.21	202,140.81	0.00	361,419.39	64.13

Material and Supplies

01-02-83000 Material & Supplies	<u>700</u>	<u>0.00</u>	<u>192.43</u>	<u>0.00</u>	<u>507.57</u>	<u>72.51</u>
TOTAL Material and Supplies	700	0.00	192.43	0.00	507.57	72.51

Other Services

01-02-84000 Equipment Maintenance	500	438.16	438.16	0.00	61.84	12.37
01-02-84300 Training & Membership	7,000	275.00	1,110.00	0.00	5,890.00	84.14
01-02-84400 Software Agreements	19,000	385.87	15,473.32	0.00	3,526.68	18.56
01-02-84700 Telephone	<u>4,400</u>	<u>363.42</u>	<u>1,434.99</u>	<u>0.00</u>	<u>2,965.01</u>	<u>67.39</u>
TOTAL Other Services	30,900	1,462.45	18,456.47	0.00	12,443.53	40.27

Capital ProjectsTransfers Out

TOTAL Administration	595,160	42,216.66	220,789.71	0.00	374,370.49	62.90
----------------------	---------	-----------	------------	------	------------	-------

AS OF: OCTOBER 31ST, 2020

01 -General Fund

% OF YEAR COMPLETED: 33.33

DEPARTMENTAL EXPENDITURES	CURRENT BUDGET	CURRENT PERIOD	YEAR TO DATE ACTUAL	TOTAL ENCUMBERED	BUDGET BALANCE	% BUDGET REMAINING
City Treasurer =====						
<u>Personnel Services</u>						
01-03-80100 Salary	1,200	100.00	400.00	0.00	800.00	66.67
01-03-80300 FICA/Medicare	100	7.65	30.60	0.00	69.40	69.40
01-03-80700 Unemployment	<u>20</u>	<u>3.00</u>	<u>6.00</u>	<u>0.00</u>	<u>14.00</u>	<u>70.00</u>
TOTAL Personnel Services	1,320	110.65	436.60	0.00	883.40	66.92
TOTAL City Treasurer	1,320	110.65	436.60	0.00	883.40	66.92
City Attorney =====						
<u>Other Services</u>						
01-04-87100 Legal Services	<u>215,000</u>	<u>17,208.50</u>	<u>88,324.11</u>	<u>0.00</u>	<u>126,675.89</u>	<u>58.92</u>
TOTAL Other Services	215,000	17,208.50	88,324.11	0.00	126,675.89	58.92
TOTAL City Attorney	215,000	17,208.50	88,324.11	0.00	126,675.89	58.92
Municipal Court =====						
<u>Personnel Services</u>						
01-05-80100 Salary	74,244	5,962.50	26,543.85	0.00	47,700.00	64.25
01-05-80200 Overtime	500	0.00	0.00	0.00	500.00	100.00
01-05-80300 FICA/Medicare	5,758	456.15	2,030.68	0.00	3,727.40	64.73
01-05-80400 Dental Insurance	517	43.06	172.24	0.00	344.76	66.68
01-05-80500 Health Insurance	7,155	595.87	2,383.48	0.00	4,771.52	66.69
01-05-80600 Worker's Comp	2,285	0.00	1,195.80	0.00	1,089.20	47.67
01-05-80700 Unemployment	250	37.50	116.18	0.00	133.82	53.53
01-05-80800 OMRP Pension	<u>4,822</u>	<u>377.00</u>	<u>1,723.51</u>	<u>0.00</u>	<u>3,098.00</u>	<u>64.25</u>
TOTAL Personnel Services	95,530	7,472.08	34,165.74	0.00	61,364.70	64.24
<u>Material and Supplies</u>						
01-05-83000 Material & Supplies	<u>1,225</u>	<u>0.00</u>	<u>65.72</u>	<u>0.00</u>	<u>1,159.28</u>	<u>94.64</u>
TOTAL Material and Supplies	1,225	0.00	65.72	0.00	1,159.28	94.64
<u>Other Services</u>						
01-05-84000 Equipment Maintenance	250	0.00	0.00	0.00	250.00	100.00
01-05-84300 Training & Membership	700	0.00	0.00	0.00	700.00	100.00
01-05-84400 Software Agreement	11,000	0.00	8,869.67	0.00	2,130.33	19.37
01-05-84700 Telephone	<u>700</u>	<u>162.81</u>	<u>464.45</u>	<u>0.00</u>	<u>235.55</u>	<u>33.65</u>
TOTAL Other Services	12,650	162.81	9,334.12	0.00	3,315.88	26.21

CITY OF NICHOLS HILLS
REVENUE & EXPENSE REPORT (UNAUDITED)
AS OF: OCTOBER 31ST, 2020

01 -General Fund

% OF YEAR COMPLETED: 33.33

	CURRENT BUDGET	CURRENT PERIOD	YEAR TO DATE ACTUAL	TOTAL ENCUMBERED	BUDGET BALANCE	% BUDGET REMAINING
DEPARTMENTAL EXPENDITURES						
<u>Transfers Out</u>						
TOTAL Municipal Court	109,405	7,634.89	43,565.58	0.00	65,839.86	60.18
Police Department						
=====						
<u>Personnel Services</u>						
01-06-80100 Salary	1,640,475	130,204.28	588,224.26	0.00	1,052,250.76	64.14
01-06-80200 Overtime	8,500	223.15	423.99	0.00	8,076.01	95.01
01-06-80300 FICA/Medicare	148,267	10,052.28	45,880.83	0.00	102,385.90	69.06
01-06-80400 Dental Insurance	12,401	947.32	3,746.22	0.00	8,654.78	69.79
01-06-80500 Health Insurance	286,440	23,370.04	92,834.42	0.00	193,605.58	67.59
01-06-80600 Worker's Comp	57,110	0.00	29,887.28	0.00	27,222.72	47.67
01-06-80700 Unemployment	3,500	99.18	1,000.20	0.00	2,499.80	71.42
01-06-80800 OMRP Pension	28,933	2,297.82	10,039.99	0.00	18,893.18	65.30
01-06-81050 Police Pension	171,394	13,192.62	52,620.86	0.00	118,773.14	69.30
01-06-81100 Uniform Allowance	25,000	52.84	8,200.78	0.00	16,799.22	67.20
01-06-81200 Medical Exams	<u>1,500</u>	<u>48.00</u>	<u>144.00</u>	<u>0.00</u>	<u>1,356.00</u>	<u>90.40</u>
TOTAL Personnel Services	2,383,520	180,487.53	833,002.83	0.00	1,550,517.09	65.05
<u>Material and Supplies</u>						
01-06-83000 Material & Supplies	<u>7,000</u>	<u>338.61</u>	<u>1,051.47</u>	<u>0.00</u>	<u>5,948.53</u>	<u>84.98</u>
TOTAL Material and Supplies	7,000	338.61	1,051.47	0.00	5,948.53	84.98
<u>Other Services</u>						
01-06-84000 Equipment Maintenance	38,000	911.99	25,479.40	3,429.39	9,091.21	23.92
01-06-84100 Vehicle Maintenance	32,000	1,291.14	7,019.10	1,222.56	23,758.34	74.24
01-06-84200 Building Maintenance	3,000	0.00	607.95	0.00	2,392.05	79.74
01-06-84300 Training & Membership	7,000	0.00	369.14	75.00	6,555.86	93.66
01-06-84600 Lease/Rental	6,500	0.00	4,050.00	0.00	2,450.00	37.69
01-06-84700 Telephone	15,000	1,631.63	6,460.47	0.00	8,539.53	56.93
01-06-84800 Utilities	0	35.57	136.69	0.00	(136.69)	0.00
01-06-84900 Fuel	25,000	1,681.00	5,635.97	0.00	19,364.03	77.46
01-06-85000 Janitorial Services	19,000	1,262.50	5,050.00	0.00	13,950.00	73.42
01-06-85300 Animal Control	<u>2,500</u>	<u>80.30</u>	<u>305.30</u>	<u>0.00</u>	<u>2,194.70</u>	<u>87.79</u>
TOTAL Other Services	148,000	6,894.13	55,114.02	4,726.95	88,159.03	59.57
<u>Transfers Out</u>						
TOTAL Police Department	2,538,520	187,720.27	889,168.32	4,726.95	1,644,624.65	64.79

Attachment: RG REV & EXP W-ENC (ROLL) - 5856 (4889 : 4 h Finance Director's Report)

AS OF: OCTOBER 31ST, 2020

01 -General Fund

% OF YEAR COMPLETED: 33.33

DEPARTMENTAL EXPENDITURES	CURRENT BUDGET	CURRENT PERIOD	YEAR TO DATE ACTUAL	TOTAL ENCUMBERED	BUDGET BALANCE	% BUDGET REMAINING
Fire Department =====						
<u>Personnel Services</u>						
01-07-80100 Salary	1,227,606	96,759.73	442,504.98	0.00	785,101.33	63.95
01-07-80200 Overtime	22,000	9,053.77	12,523.84	0.00	9,476.16	43.07
01-07-80300 FICA/Medicare	18,248	1,534.30	6,689.34	0.00	11,558.67	63.34
01-07-80400 Dental Insurance	7,234	602.84	2,411.36	0.00	4,822.64	66.67
01-07-80500 Health Insurance	179,687	15,208.69	59,829.88	0.00	119,857.12	66.70
01-07-80600 Worker's Comp	35,600	0.00	18,630.48	0.00	16,969.52	47.67
01-07-80700 Unemployment	2,800	0.00	189.44	0.00	2,610.56	93.23
01-07-81000 Fire Pension	171,865	13,546.35	61,950.61	0.00	109,914.67	63.95
01-07-81100 Uniform Allowance	17,000	0.00	8,108.26	0.00	8,891.74	52.30
01-07-81200 Medical Exams	<u>8,400</u>	<u>500.00</u>	<u>737.00</u>	<u>0.00</u>	<u>7,663.00</u>	<u>91.23</u>
TOTAL Personnel Services	1,690,441	137,205.68	613,575.19	0.00	1,076,865.41	63.70
<u>Material and Supplies</u>						
01-07-83000 Material & Supplies	<u>8,000</u>	<u>561.19</u>	<u>2,636.98</u>	<u>0.00</u>	<u>5,363.02</u>	<u>67.04</u>
TOTAL Material and Supplies	8,000	561.19	2,636.98	0.00	5,363.02	67.04
<u>Other Services</u>						
01-07-84000 Equipment Maintenance	10,000	970.99	5,351.98	0.00	4,648.02	46.48
01-07-84100 Vehicle Maintenance	12,500	134.09	1,438.41	0.00	11,061.59	88.49
01-07-84200 Building Maintenance	4,000	0.00	1,483.69	0.00	2,516.31	62.91
01-07-84300 Training & Membership	13,000	714.95	3,752.20	0.00	9,247.80	71.14
01-07-84400 Membership	1,500	0.00	72.00	0.00	1,428.00	95.20
01-07-84500 Fire Department Publication	1,000	0.00	0.00	0.00	1,000.00	100.00
01-07-84600 Lease/Rental	1,500	0.00	4,050.00	0.00	(2,550.00)	170.00-
01-07-84700 Telephone	7,100	913.19	3,402.85	0.00	3,697.15	52.07
01-07-84800 Utilities	2,600	35.58	136.70	0.00	2,463.30	94.74
01-07-84900 Fuel	7,650	521.17	1,206.43	0.00	6,443.57	84.23
01-07-85200 EMSA Subsidy	29,464	2,506.68	12,482.06	0.00	16,981.94	57.64
01-07-86850 Software Maintenance	<u>13,100</u>	<u>2,110.47</u>	<u>2,110.47</u>	<u>0.00</u>	<u>10,989.53</u>	<u>83.89</u>
TOTAL Other Services	103,414	7,907.12	35,486.79	0.00	67,927.21	65.68
<u>Transfers Out</u>						
TOTAL Fire Department	1,801,855	145,673.99	651,698.96	0.00	1,150,155.64	63.83
City Engineer =====						
<u>Personnel Services</u>						
<u>Other Services</u>						
01-08-86075 Engineering Fees	<u>80,000</u>	<u>4,907.41</u>	<u>30,170.42</u>	<u>0.00</u>	<u>49,829.58</u>	<u>62.29</u>
TOTAL Other Services	80,000	4,907.41	30,170.42	0.00	49,829.58	62.29
TOTAL City Engineer	80,000	4,907.41	30,170.42	0.00	49,829.58	62.29

Attachment: RG REV & EXP W-ENC (ROLL) - 5856 (4889 : 4 h Finance Director's Report)

CITY OF NICHOLS HILLS
REVENUE & EXPENSE REPORT (UNAUDITED)
AS OF: OCTOBER 31ST, 2020

01 -General Fund

% OF YEAR COMPLETED: 33.33

DEPARTMENTAL EXPENDITURES	CURRENT BUDGET	CURRENT PERIOD	YEAR TO DATE ACTUAL	TOTAL ENCUMBERED	BUDGET BALANCE	% BUDGET REMAINING
---------------------------	-------------------	-------------------	------------------------	---------------------	-------------------	-----------------------

Street Department

=====

Personnel Services

01-09-80100 Salary	126,987	8,767.78	36,780.23	0.00	90,206.41	71.04
01-09-80200 Overtime	1,500	440.52	440.52	0.00	1,059.48	70.63
01-09-80300 FICA/Medicare	9,715	715.91	2,897.10	0.00	6,817.58	70.18
01-09-80400 Dental Insurance	1,551	86.12	430.60	0.00	1,120.40	72.24
01-09-80500 Health Insurance	31,500	2,025.99	9,263.25	0.00	22,236.75	70.59
01-09-80600 Worker's Comp	6,855	0.00	3,587.42	0.00	3,267.58	47.67
01-09-80700 Unemployment	600	95.19	300.88	0.00	299.12	49.85
01-09-80800 OMRP Pension	10,438	748.66	2,918.08	0.00	7,520.41	72.04
01-09-80900 Stand By Pay	2,100	150.00	650.00	0.00	1,450.00	69.05
01-09-81100 Uniform Allowance	4,796	221.24	1,008.94	0.00	3,787.06	78.96
01-09-81200 Medical Exams	<u>360</u>	<u>0.00</u>	<u>0.00</u>	<u>0.00</u>	<u>360.00</u>	<u>100.00</u>
TOTAL Personnel Services	196,402	13,251.41	58,277.02	0.00	138,124.79	70.33

Material and Supplies

01-09-83000 Material & Supplies	9,000	249.29	2,245.72	1,560.87	5,193.41	57.70
01-09-83300 Minor Tools	1,000	0.00	59.50	40.50	900.00	90.00
01-09-83500 Safety Supplies	1,300	11.98	517.37	0.00	782.63	60.20
01-09-83700 Misc. Supplies	<u>250</u>	<u>0.00</u>	<u>0.00</u>	<u>0.00</u>	<u>250.00</u>	<u>100.00</u>
TOTAL Material and Supplies	11,550	261.27	2,822.59	1,601.37	7,126.04	61.70

Other Services

01-09-84000 Equipment Maintenance	3,000	0.00	716.53	400.00	1,883.47	62.78
01-09-84100 Vehicle Maintenance	4,000	89.85	619.85	147.82	3,232.33	80.81
01-09-84600 Lease/Rental	800	0.00	0.00	0.00	800.00	100.00
01-09-84700 Telephone	1,400	107.08	451.29	0.00	948.71	67.77
01-09-84800 Utilities	500	23.63	80.75	0.00	419.25	83.85
01-09-84900 Fuel	7,121	455.23	1,643.04	0.00	5,477.96	76.93
01-09-85500 Street Lighting	<u>81,000</u>	<u>6,404.90</u>	<u>30,003.58</u>	<u>0.00</u>	<u>50,996.42</u>	<u>62.96</u>
TOTAL Other Services	97,821	7,080.69	33,515.04	547.82	63,758.14	65.18

Transfers Out

TOTAL Street Department	305,773	20,593.37	94,614.65	2,149.19	209,008.97	68.35
-------------------------	---------	-----------	-----------	----------	------------	-------

Sanitation

=====

Personnel Services

01-10-80100 Salary	421,878	29,747.52	145,326.33	0.00	276,551.97	65.55
01-10-80300 FICA/Medicare	32,274	2,275.69	11,117.45	0.00	21,156.11	65.55
01-10-80400 Dental Insurance	5,168	387.54	1,657.81	0.00	3,510.19	67.92
01-10-80500 Health Insurance	90,026	6,841.78	28,565.11	0.00	61,460.89	68.27
01-10-80600 Worker's Comp	22,845	0.00	11,955.44	0.00	10,889.56	47.67
01-10-80700 Unemployment	2,000	98.02	891.34	0.00	1,108.66	55.43

AS OF: OCTOBER 31ST, 2020

01 -General Fund

% OF YEAR COMPLETED: 33.33

DEPARTMENTAL EXPENDITURES	CURRENT BUDGET	CURRENT PERIOD	YEAR TO DATE ACTUAL	TOTAL ENCUMBERED	BUDGET BALANCE	% BUDGET REMAINING
01-10-80800 OMRF Pension	33,751	2,379.80	11,626.13	0.00	22,124.61	65.55
01-10-81100 Uniform Allowance	7,812	585.49	2,796.32	0.00	5,015.68	64.20
01-10-81200 Medical Exams	<u>500</u>	<u>213.00</u>	<u>261.00</u>	<u>0.00</u>	<u>239.00</u>	<u>47.80</u>
TOTAL Personnel Services	616,254	42,528.84	214,196.93	0.00	402,056.67	65.24
<u>Material and Supplies</u>						
01-10-83000 Material & Supplies	1,500	0.00	454.14	0.00	1,045.86	69.72
01-10-83500 Safety Supplies	2,000	141.98	629.57	0.00	1,370.43	68.52
01-10-83700 Misc. Supplies	<u>100</u>	<u>0.00</u>	<u>0.00</u>	<u>0.00</u>	<u>100.00</u>	<u>100.00</u>
TOTAL Material and Supplies	3,600	141.98	1,083.71	0.00	2,516.29	69.90
<u>Other Services</u>						
01-10-84000 Equipment Maintenance	500	279.89	279.89	410.00 (	189.89)	37.98-
01-10-84100 Vehicle Maintenance	20,000	1,338.72	3,341.18	300.00	16,358.82	81.79
01-10-84800 Utilities	600	23.63	80.75	0.00	519.25	86.54
01-10-84900 Fuel	12,000	1,276.81	3,159.29	0.00	8,840.71	73.67
01-10-85800 Landfill Disposal	60,000	3,631.85	16,802.96	0.00	43,197.04	72.00
01-10-85825 Commercial Garbage Disposal	<u>100,000</u>	<u>8,065.43</u>	<u>30,843.98</u>	<u>0.00</u>	<u>69,156.02</u>	<u>69.16</u>
TOTAL Other Services	193,100	14,616.33	54,508.05	710.00	137,881.95	71.40
<u>Capital Projects</u>						
<u>Transfers Out</u>						
TOTAL Sanitation	812,954	57,287.15	269,788.69	710.00	542,454.91	66.73
Parks Department						
=====						
<u>Personnel Services</u>						
01-11-80100 Salary	85,684	6,614.58	30,290.29	0.00	55,393.68	64.65
01-11-80300 FICA/Medicare	6,555	506.02	2,317.23	0.00	4,237.92	64.65
01-11-80400 Dental Insurance	1,034	86.12	344.48	0.00	689.52	66.68
01-11-80500 Health Insurance	14,500	1,185.50	4,742.00	0.00	9,758.00	67.30
01-11-80600 Worker's Comp	4,570	0.00	2,391.60	0.00	2,178.40	47.67
01-11-80700 Unemployment	400	0.00	176.67	0.00	223.33	55.83
01-11-80800 OMRF Pension	6,855	529.16	2,423.20	0.00	4,431.36	64.65
01-11-81100 Uniform Allowance	<u>2,892</u>	<u>225.21</u>	<u>1,033.63</u>	<u>0.00</u>	<u>1,858.37</u>	<u>64.26</u>
TOTAL Personnel Services	122,490	9,146.59	43,719.10	0.00	78,770.58	64.31
<u>Material and Supplies</u>						
01-11-83000 Material & Supplies	500	0.00	0.00	0.00	500.00	100.00
01-11-83500 Safety Supplies	<u>400</u>	<u>141.98</u>	<u>267.43</u>	<u>0.00</u>	<u>132.57</u>	<u>33.14</u>
TOTAL Material and Supplies	900	141.98	267.43	0.00	632.57	70.29
<u>Other Services</u>						
01-11-84000 Equipment Maintenance	0	0.00	0.00	3,807.00 (	3,807.00)	0.00
01-11-84100 Vehicle Maintenance	600	0.00	53.87	46.13	500.00	83.33
01-11-84900 Fuel	500	0.00	0.00	0.00	500.00	100.00

AS OF: OCTOBER 31ST, 2020

01 -General Fund

% OF YEAR COMPLETED: 33.33

DEPARTMENTAL EXPENDITURES	CURRENT BUDGET	CURRENT PERIOD	YEAR TO DATE ACTUAL	TOTAL ENCUMBERED	BUDGET BALANCE	% BUDGET REMAINING
01-11-85700 Parks Maintenance	13,500	1,294.45	7,372.77	2,556.14	3,571.09	26.45
01-11-85900 Park Maintenance Contract	<u>125,000</u>	<u>10,602.50</u>	<u>42,410.00</u>	<u>0.00</u>	<u>82,590.00</u>	<u>66.07</u>
TOTAL Other Services	139,600	11,896.95	49,836.64	6,409.27	83,354.09	59.71
<u>Transfers Out</u>	<u> </u>	<u> </u>	<u> </u>	<u> </u>	<u> </u>	<u> </u>
TOTAL Parks Department	262,990	21,185.52	93,823.17	6,409.27	162,757.24	61.89
Public Works Admin						
=====						
<u>Personnel Services</u>						
01-12-80100 Salary	134,235	11,103.98	46,095.41	0.00	88,139.78	65.66
01-12-80300 FICA/Medicare	10,362	849.44	3,526.25	0.00	6,836.15	65.97
01-12-80400 Dental Insurance	1,034	86.12	344.48	0.00	689.52	66.68
01-12-80500 Health Insurance	18,500	1,514.09	5,970.09	0.00	12,529.91	67.73
01-12-80600 Worker's Comp	2,400	0.00	1,269.08	0.00	1,130.92	47.12
01-12-80700 Unemployment	400	0.00	150.21	0.00	249.79	62.45
01-12-80800 OMRP Pension	10,820	888.32	3,687.63	0.00	7,132.07	65.92
01-12-81200 Medical Exams	<u>500</u>	<u>0.00</u>	<u>0.00</u>	<u>0.00</u>	<u>500.00</u>	<u>100.00</u>
TOTAL Personnel Services	178,251	14,441.95	61,043.15	0.00	117,208.14	65.75
<u>Material and Supplies</u>						
01-12-83000 Material & Supplies	1,500	11.98	433.36	0.00	1,066.64	71.11
01-12-83200 Office Supplies	3,000	243.98	262.79	0.00	2,737.21	91.24
01-12-83700 Misc. Supplies	<u>500</u>	<u>0.00</u>	<u>0.00</u>	<u>0.00</u>	<u>500.00</u>	<u>100.00</u>
TOTAL Material and Supplies	5,000	255.96	696.15	0.00	4,303.85	86.08
<u>Other Services</u>						
01-12-84000 Equipment Maintenance	3,000	866.00	1,065.65	0.00	1,934.35	64.48
01-12-84100 Vehicle Maintenance	1,500	0.00	267.24	0.00	1,232.76	82.18
01-12-84200 Building Maintenance	4,000	1,394.00	1,552.51	0.00	2,447.49	61.19
01-12-84250 Fueling Station Maintenance	2,000	0.00	0.00	0.00	2,000.00	100.00
01-12-84300 Training & Membership	1,500	0.00	0.00	0.00	1,500.00	100.00
01-12-84600 Lease/Rental	1,500	0.00	0.00	0.00	1,500.00	100.00
01-12-84700 Telephone	4,000	187.91	1,254.81	0.00	2,745.19	68.63
01-12-84800 Utilities	1,000	23.63	80.75	0.00	919.25	91.93
01-12-84900 Fuel	2,000	503.34	1,384.81	0.00	615.19	30.76
01-12-85000 Janitorial Services	10,140	745.00	2,985.00	0.00	7,155.00	70.56
01-12-86300 Publications	<u>500</u>	<u>0.00</u>	<u>0.00</u>	<u>0.00</u>	<u>500.00</u>	<u>100.00</u>
TOTAL Other Services	31,140	3,719.88	8,590.77	0.00	22,549.23	72.41
<u>Capital Projects</u>	<u> </u>	<u> </u>	<u> </u>	<u> </u>	<u> </u>	<u> </u>
<u>Transfers Out</u>	<u> </u>	<u> </u>	<u> </u>	<u> </u>	<u> </u>	<u> </u>
TOTAL Public Works Admin	214,391	18,417.79	70,330.07	0.00	144,061.22	67.20

AS OF: OCTOBER 31ST, 2020

01 -General Fund

% OF YEAR COMPLETED: 33.33

DEPARTMENTAL EXPENDITURES	CURRENT BUDGET	CURRENT PERIOD	YEAR TO DATE ACTUAL	TOTAL ENCUMBERED	BUDGET BALANCE	% BUDGET REMAINING
---------------------------	-------------------	-------------------	------------------------	---------------------	-------------------	-----------------------

General Government

=====

Material and Supplies

01-13-83000 Material & Supplies	20,000	1,461.38	5,302.31	0.00	14,697.69	73.49
TOTAL Material and Supplies	20,000	1,461.38	5,302.31	0.00	14,697.69	73.49

Other Services

01-13-84000 Equipment Maintenance	27,000	0.00	11,593.30	7,349.00	8,057.70	29.84
01-13-84200 Building Maintenance	12,000	2,966.84	6,005.37	0.00	5,994.63	49.96
01-13-84300 Training & Membership	3,000	860.00	860.00	0.00	2,140.00	71.33
01-13-84600 Lease/Rental	500	0.00	99.00	0.00	401.00	80.20
01-13-84700 Telephone	6,284	265.92	2,489.38	0.00	3,794.62	60.39
01-13-84800 Utilities	12,000	1,834.00	1,935.13	0.00	10,064.87	83.87
01-13-85000 Janitorial Services	17,000	1,547.50	6,755.00	0.00	10,245.00	60.26
01-13-85400 Bank Charges	34,000	3,036.48	11,898.94	0.00	22,101.06	65.00
01-13-86100 Liability Insurance/Bonds	196,100	3,880.00	41,892.89	0.00	154,207.11	78.64
01-13-86300 Publications	35,000	959.68	6,159.21	0.00	28,840.79	82.40
01-13-86400 Auditing Fees	30,000	6,000.00	12,389.37	0.00	17,610.63	58.70
01-13-86500 Election Expenses	3,000	0.00	0.00	0.00	3,000.00	100.00
01-13-86600 ACOG Dues	3,000	0.00	2,902.00	0.00	98.00	3.27
01-13-86700 OML Dues	5,800	0.00	0.00	0.00	5,800.00	100.00
01-13-86800 Reassessment Fees	26,500	0.00	27,262.09	0.00	762.09	2.88
01-13-86900 Postage	4,500	1,000.00	3,000.00	0.00	1,500.00	33.33
01-13-87000 Misc. Expenses	30,000	19,583.78	21,658.19	0.00	8,341.81	27.81
01-13-87100 H.R.A.	25,000	3,163.00	5,347.00	0.00	19,653.00	78.61
01-13-87200 Education Scholarship	10,000	0.00	1,786.87	0.00	8,213.13	82.13
TOTAL Other Services	480,684	45,097.20	164,033.74	7,349.00	309,301.26	64.35

Capital ProjectsTransfers Out

TOTAL General Government	500,684	46,558.58	169,336.05	7,349.00	323,998.95	64.71
--------------------------	---------	-----------	------------	----------	------------	-------

Code Department

=====

Personnel Services

01-14-80100 Salary	191,266	15,280.76	66,940.27	0.00	124,326.20	65.00
01-14-80300 FICA/Medicare	14,632	1,168.98	5,120.95	0.00	9,511.43	65.00
01-14-80400 Dental Insurance	1,551	129.18	516.72	0.00	1,034.28	66.68
01-14-80500 Health Insurance	25,400	2,109.96	8,439.84	0.00	16,960.16	66.77
01-14-80600 Worker's Comp	5,330	0.00	2,789.34	0.00	2,540.66	47.67
01-14-80700 Unemployment	600	0.00	111.30	0.00	488.70	81.45
01-14-80800 OMRP Pension	15,294	1,222.45	5,355.17	0.00	9,938.91	64.99
01-14-81100 Uniform Rental	571	32.84	147.78	0.00	423.22	74.12
TOTAL Personnel Services	254,645	19,944.17	89,421.37	0.00	165,223.56	64.88

AS OF: OCTOBER 31ST, 2020

01 -General Fund

% OF YEAR COMPLETED: 33.33

DEPARTMENTAL EXPENDITURES	CURRENT BUDGET	CURRENT PERIOD	YEAR TO DATE ACTUAL	TOTAL ENCUMBERED	BUDGET BALANCE	% BUDGET REMAINING
---------------------------	-------------------	-------------------	------------------------	---------------------	-------------------	-----------------------

Material and Supplies

01-14-83000 Material & Supplies	1,100	0.00	101.20	0.00	998.80	90.80
01-14-83200 Office Supplies	<u>200</u>	<u>0.00</u>	<u>0.00</u>	<u>0.00</u>	<u>200.00</u>	<u>100.00</u>
TOTAL Material and Supplies	1,300	0.00	101.20	0.00	1,198.80	92.22

Other Services

01-14-84100 Vehicle Maintenance	1,500	151.20	603.58	179.67	716.75	47.78
01-14-84300 Training & Membership	1,500	0.00	0.00	0.00	1,500.00	100.00
01-14-84400 Software Agreement	5,443	0.00	2,767.53	0.00	2,675.47	49.15
01-14-84700 Telephone	2,500	373.47	1,563.98	0.00	936.02	37.44
01-14-84800 Utilities	1,000	23.63	80.75	0.00	919.25	91.93
01-14-84900 Fuel	1,300	93.02	289.74	0.00	1,010.26	77.71
01-14-86010 Abatement Cost	<u>0</u>	<u>0.00</u>	<u>152.00</u>	<u>0.00</u>	<u>(152.00)</u>	<u>0.00</u>
TOTAL Other Services	13,243	641.32	5,457.58	179.67	7,605.75	57.43

Capital Projects

01-14-88850 Life and Safety	<u>4,500</u>	<u>157.50</u>	<u>321.51</u>	<u>0.00</u>	<u>4,178.49</u>	<u>92.86</u>
TOTAL Capital Projects	4,500	157.50	321.51	0.00	4,178.49	92.86

Transfers Out

TOTAL Code Department	273,688	20,742.99	95,301.66	179.67	178,206.60	65.11
-----------------------	---------	-----------	-----------	--------	------------	-------

Risk Manager

=====

Personnel Services

01-15-80100 Salary	110,058	8,737.66	39,956.95	0.00	70,101.36	63.69
01-15-80200 Overtime	1,020	0.00	0.00	0.00	1,020.00	100.00
01-15-80300 FICA/Medicare	8,482	673.40	3,076.58	0.00	5,405.40	63.73
01-15-80400 Dental Insurance	517	43.06	172.24	0.00	344.76	66.68
01-15-80500 Health Insurance	11,019	1,430.12	5,720.48	0.00	5,298.52	48.09
01-15-80600 Worker's Comp	2,285	0.00	1,195.80	0.00	1,089.20	47.67
01-15-80700 Unemployment	200	0.00	0.00	0.00	200.00	100.00
01-15-80800 OMRP Pension	8,871	699.02	3,196.58	0.00	5,673.92	63.96
01-15-81200 Medical Exams	<u>100</u>	<u>0.00</u>	<u>0.00</u>	<u>0.00</u>	<u>100.00</u>	<u>100.00</u>
TOTAL Personnel Services	142,552	11,583.26	53,318.63	0.00	89,233.16	62.60

Material and Supplies

01-15-83000 Material & Supplies	<u>5,500</u>	<u>1,274.71</u>	<u>1,274.71</u>	<u>0.00</u>	<u>4,225.29</u>	<u>76.82</u>
TOTAL Material and Supplies	5,500	1,274.71	1,274.71	0.00	4,225.29	76.82

Other Services

01-15-84000 Equipment Maintenance	100	0.00	0.00	0.00	100.00	100.00
01-15-84100 Vehicle Maintenance	1,000	0.00	686.80	0.00	313.20	31.32
01-15-84300 Training & Membership	5,000	520.56	180.43	0.00	4,819.57	96.39
01-15-84700 Telephone	1,800	122.70	399.92	0.00	1,400.08	77.78
01-15-84850 Wellness Program	3,000	0.00	0.00	0.00	3,000.00	100.00

CITY OF NICHOLS HILLS
REVENUE & EXPENSE REPORT (UNAUDITED)
AS OF: OCTOBER 31ST, 2020

01 -General Fund

% OF YEAR COMPLETED: 33.33

DEPARTMENTAL EXPENDITURES	CURRENT BUDGET	CURRENT PERIOD	YEAR TO DATE ACTUAL	TOTAL ENCUMBERED	BUDGET BALANCE	% BUDGET REMAINING
01-15-84900 Fuel	800	78.42	167.93	0.00	632.07	79.01
TOTAL Other Services	11,700	721.68	1,435.08	0.00	10,264.92	87.73
<u>Transfers Out</u>						
TOTAL Risk Manager	159,752	13,579.65	56,028.42	0.00	103,723.37	64.93
Information Systems Mgr						
=====						
<u>Personnel Services</u>						
01-16-80100 Salary	176,101	13,746.70	63,087.49	0.00	113,013.20	64.18
01-16-80200 Overtime	500	0.00	0.00	0.00	500.00	100.00
01-16-80300 FICA/Medicare	14,120	1,066.92	4,887.38	0.00	9,232.32	65.39
01-16-80400 Dental Insurance	1,034	86.12	344.48	0.00	689.52	66.68
01-16-80500 Health Insurance	29,922	2,481.04	9,924.16	0.00	19,997.84	66.83
01-16-80600 Worker's Comp	4,570	0.00	2,391.60	0.00	2,178.40	47.67
01-16-80700 Unemployment	400	0.00	34.48	0.00	365.52	91.38
01-16-80800 OMRP Pension	14,648	1,115.74	5,111.01	0.00	9,537.05	65.11
01-16-81400 Car Allowance	2,400	200.00	800.00	0.00	1,600.00	66.67
TOTAL Personnel Services	243,694	18,696.52	86,580.60	0.00	157,113.85	64.47
<u>Material and Supplies</u>						
01-16-83000 Material Supplies	4,000	0.00	1,045.66	0.00	2,954.34	73.86
TOTAL Material and Supplies	4,000	0.00	1,045.66	0.00	2,954.34	73.86
<u>Other Services</u>						
01-16-84000 Equipment Maintenance	55,000	2,603.17	9,240.24	29,592.30	16,167.46	29.40
01-16-84200 Building Maintenance	1,200	0.00	0.00	0.00	1,200.00	100.00
01-16-84300 Training & Membership	11,000	2,072.64	9,030.59	0.00	1,969.41	17.90
01-16-84600 Lease/Rental	15,000	827.93	8,577.05	1,800.00	4,622.95	30.82
01-16-84700 Telephone	5,000	330.80	1,373.18	0.00	3,626.82	72.54
01-16-86050 Consulting Fees	8,000	0.00	6,790.00	0.00	1,210.00	15.13
TOTAL Other Services	95,200	5,834.54	35,011.06	31,392.30	28,796.64	30.25
<u>Transfers Out</u>						
TOTAL Information Systems Mgr	342,894	24,531.06	122,637.32	31,392.30	188,864.83	55.08
TOTAL EXPENDITURES	8,215,176	628,434.87	2,896,275.69	52,916.38	5,265,983.64	64.10
REVENUE OVER/ (UNDER) EXPENDITURES	2	124,274.38	298,397.32 (	52,916.38) (	245,478.94)	3,947.00-

CITY OF NICHOLS HILLS
REVENUE & EXPENSE REPORT (UNAUDITED)
AS OF: OCTOBER 31ST, 2020

02 -Street & Alley

FINANCIAL SUMMARY

% OF YEAR COMPLETED: 33.33

	CURRENT BUDGET	CURRENT PERIOD	YEAR TO DATE ACTUAL	TOTAL ENCUMBERED	BUDGET BALANCE	% BUDGET REMAINING
<u>REVENUE SUMMARY</u>						
Revenues	<u>197,863</u>	<u>2,895.23</u>	<u>12,191.07</u>	<u>0.00</u>	<u>185,671.93</u>	<u>93.84</u>
TOTAL REVENUES	197,863	2,895.23	12,191.07	0.00	185,671.93	93.84
<u>EXPENDITURE SUMMARY</u>						
Streets & Alley	<u>197,863</u>	<u>0.00</u>	<u>0.00</u>	<u>0.00</u>	<u>197,863.00</u>	<u>100.00</u>
TOTAL EXPENDITURES	197,863	0.00	0.00	0.00	197,863.00	100.00
REVENUE OVER/ (UNDER) EXPENDITURES	0	2,895.23	12,191.07	0.00 (	12,191.07)	0.00

CITY OF NICHOLS HILLS
REVENUE & EXPENSE REPORT (UNAUDITED)

PAGE: 2

4.h.b

AS OF: OCTOBER 31ST, 2020

02 -Street & Alley

% OF YEAR COMPLETED: 33.33

REVENUES	CURRENT BUDGET	CURRENT PERIOD	YEAR TO DATE ACTUAL	TOTAL ENCUMBERED	BUDGET BALANCE	% BUDGET REMAINING
Revenues						
=====						
<u>Intergovernmental</u>						
02-00-73400 Gasoline Tax	7,000	562.57	2,262.66	0.00	4,737.34	67.68
02-00-73450 Motor Vehicle License	<u>27,000</u>	<u>2,308.07</u>	<u>9,835.39</u>	<u>0.00</u>	<u>17,164.61</u>	<u>63.57</u>
TOTAL Intergovernmental	34,000	2,870.64	12,098.05	0.00	21,901.95	64.42
<u>Investment Earnings</u>						
02-00-78500 Interest Income	<u>2,000</u>	<u>24.59</u>	<u>93.02</u>	<u>0.00</u>	<u>1,906.98</u>	<u>95.35</u>
TOTAL Investment Earnings	2,000	24.59	93.02	0.00	1,906.98	95.35
<u>Fund Balance Carryover</u>						
02-00-79800 Carryover	<u>161,863</u>	<u>0.00</u>	<u>0.00</u>	<u>0.00</u>	<u>161,863.00</u>	<u>100.00</u>
TOTAL Fund Balance Carryover	<u>161,863</u>	<u>0.00</u>	<u>0.00</u>	<u>0.00</u>	<u>161,863.00</u>	<u>100.00</u>
TOTAL Revenues	197,863	2,895.23	12,191.07	0.00	185,671.93	93.84
TOTAL REVENUE	197,863	2,895.23	12,191.07	0.00	185,671.93	93.84

Attachment: RG REV & EXP W-ENC (ROLL) - 5856 (4889 : 4 h Finance Director's Report)

CITY OF NICHOLS HILLS
REVENUE & EXPENSE REPORT (UNAUDITED)
AS OF: OCTOBER 31ST, 2020

02 -Street & Alley

% OF YEAR COMPLETED: 33.33

DEPARTMENTAL EXPENDITURES	CURRENT BUDGET	CURRENT PERIOD	YEAR TO DATE ACTUAL	TOTAL ENCUMBERED	BUDGET BALANCE	% BUDGET REMAINING
Streets & Alley =====						
<u>Material and Supplies</u>						
02-09-83000 Material & Supplies	<u>45,000</u>	<u>0.00</u>	<u>0.00</u>	<u>0.00</u>	<u>45,000.00</u>	<u>100.00</u>
TOTAL Material and Supplies	45,000	0.00	0.00	0.00	45,000.00	100.00
<u>Other Services</u>						
02-09-84000 Equipment Maintenance	5,000	0.00	0.00	0.00	5,000.00	100.00
02-09-84100 Vehicle Maintenance	5,000	0.00	0.00	0.00	5,000.00	100.00
02-09-84600 Lease/Rental	5,000	0.00	0.00	0.00	5,000.00	100.00
02-09-85800 Contingency	<u>137,863</u>	<u>0.00</u>	<u>0.00</u>	<u>0.00</u>	<u>137,863.00</u>	<u>100.00</u>
TOTAL Other Services	152,863	0.00	0.00	0.00	152,863.00	100.00
TOTAL Streets & Alley	197,863	0.00	0.00	0.00	197,863.00	100.00
TOTAL EXPENDITURES	197,863	0.00	0.00	0.00	197,863.00	100.00
REVENUE OVER/(UNDER) EXPENDITURES	0	2,895.23	12,191.07	0.00 (	12,191.07)	0.00

CITY OF NICHOLS HILLS
REVENUE & EXPENSE REPORT (UNAUDITED)
AS OF: OCTOBER 31ST, 2020

03 -Designated Fds-Fire & Gen
FINANCIAL SUMMARY

% OF YEAR COMPLETED: 33.33

	CURRENT BUDGET	CURRENT PERIOD	YEAR TO DATE ACTUAL	TOTAL ENCUMBERED	BUDGET BALANCE	% BUDGET REMAINING
<u>REVENUE SUMMARY</u>						
Revenues	<u>3,322</u>	<u>0.47</u>	<u>1.84</u>	<u>0.00</u>	<u>3,320.16</u>	<u>99.94</u>
TOTAL REVENUES	3,322	0.47	1.84	0.00	3,320.16	99.94
<u>EXPENDITURE SUMMARY</u>						
Fire Department	<u>3,322</u>	<u>0.00</u>	<u>0.00</u>	<u>0.00</u>	<u>3,322.00</u>	<u>100.00</u>
TOTAL EXPENDITURES	3,322	0.00	0.00	0.00	3,322.00	100.00
REVENUE OVER/ (UNDER) EXPENDITURES	0	0.47	1.84	0.00 (	1.84)	0.00

CITY OF NICHOLS HILLS
REVENUE & EXPENSE REPORT (UNAUDITED)
AS OF: OCTOBER 31ST, 2020

03 -Designated Fds-Fire & Gen

% OF YEAR COMPLETED: 33.33

REVENUES	CURRENT BUDGET	CURRENT PERIOD	YEAR TO DATE ACTUAL	TOTAL ENCUMBERED	BUDGET BALANCE	% BUDGET REMAINING
Revenues						
=====						
<u>Investment Earnings</u>						
03-00-78500 Interest Income	<u>0</u>	<u>0.47</u>	<u>1.84</u>	<u>0.00</u>	(<u>1.84</u>)	<u>0.00</u>
TOTAL Investment Earnings	0	0.47	1.84	0.00	(1.84)	0.00
<u>Miscellaneous Revenue</u>	<u> </u>	<u> </u>	<u> </u>	<u> </u>	<u> </u>	<u> </u>
<u>Fund Balance Carryover</u>						
03-00-79807 Carryover	<u>3,322</u>	<u>0.00</u>	<u>0.00</u>	<u>0.00</u>	<u>3,322.00</u>	<u>100.00</u>
TOTAL Fund Balance Carryover	<u>3,322</u>	<u>0.00</u>	<u>0.00</u>	<u>0.00</u>	<u>3,322.00</u>	<u>100.00</u>
TOTAL Revenues	3,322	0.47	1.84	0.00	3,320.16	99.94
TOTAL REVENUE	3,322	0.47	1.84	0.00	3,320.16	99.94

CITY OF NICHOLS HILLS
REVENUE & EXPENSE REPORT (UNAUDITED)
AS OF: OCTOBER 31ST, 2020

03 -Designated Fds-Fire & Gen

% OF YEAR COMPLETED: 33.33

DEPARTMENTAL EXPENDITURES	CURRENT BUDGET	CURRENT PERIOD	YEAR TO DATE ACTUAL	TOTAL ENCUMBERED	BUDGET BALANCE	% BUDGET REMAINING
Police Department =====						
<u>Material and Supplies</u>						
<u>Other Services</u>						
Fire Department =====						
<u>Material and Supplies</u>						
03-07-83000 Material & Supplies	<u>3,322</u>	<u>0.00</u>	<u>0.00</u>	<u>0.00</u>	<u>3,322.00</u>	<u>100.00</u>
TOTAL Material and Supplies	3,322	0.00	0.00	0.00	3,322.00	100.00
<u>Other Services</u>						
<u>Capital Projects</u>						
TOTAL Fire Department	3,322	0.00	0.00	0.00	3,322.00	100.00
Public Works =====						
<u>Material and Supplies</u>						
<u>Other Services</u>						
General Government =====						
<u>Material and Supplies</u>						
TOTAL EXPENDITURES	3,322	0.00	0.00	0.00	3,322.00	100.00
REVENUE OVER/(UNDER) EXPENDITURES	0	0.47	1.84	0.00 (	1.84)	0.00

CITY OF NICHOLS HILLS
REVENUE & EXPENSE REPORT (UNAUDITED)
AS OF: OCTOBER 31ST, 2020

04 -Designated Funds-Police
FINANCIAL SUMMARY

% OF YEAR COMPLETED: 33.33

	CURRENT BUDGET	CURRENT PERIOD	YEAR TO DATE ACTUAL	TOTAL ENCUMBERED	BUDGET BALANCE	% BUDGET REMAINING
<u>REVENUE SUMMARY</u>						
Revenues	<u>63,626</u>	<u>8.89</u>	<u>34.56</u>	<u>0.00</u>	<u>63,591.44</u>	<u>99.95</u>
TOTAL REVENUES	63,626	8.89	34.56	0.00	63,591.44	99.95
<u>EXPENDITURE SUMMARY</u>						
Police Department	<u>63,626</u>	<u>102.00</u>	<u>212.00</u>	<u>0.00</u>	<u>63,414.00</u>	<u>99.67</u>
TOTAL EXPENDITURES	63,626	102.00	212.00	0.00	63,414.00	99.67
REVENUE OVER/ (UNDER) EXPENDITURES	0 (	93.11) (	177.44)	0.00	177.44	0.00

CITY OF NICHOLS HILLS
REVENUE & EXPENSE REPORT (UNAUDITED)
AS OF: OCTOBER 31ST, 2020

04 -Designated Funds-Police

% OF YEAR COMPLETED: 33.33

REVENUES	CURRENT BUDGET	CURRENT PERIOD	YEAR TO DATE ACTUAL	TOTAL ENCUMBERED	BUDGET BALANCE	% BUDGET REMAINING
Revenues						
=====						
<u>Investment Earnings</u>						
04-00-78500 Interest Income	<u>0</u>	<u>8.89</u>	<u>34.56</u>	<u>0.00</u>	(<u>34.56</u>)	<u>0.00</u>
TOTAL Investment Earnings	0	8.89	34.56	0.00	(34.56)	0.00
<u>Miscellaneous Revenue</u>						
04-00-79506 Revenue - Police	<u>750</u>	<u>0.00</u>	<u>0.00</u>	<u>0.00</u>	<u>750.00</u>	<u>100.00</u>
TOTAL Miscellaneous Revenue	750	0.00	0.00	0.00	750.00	100.00
<u>Fund Balance Carryover</u>						
04-00-79806 Carryover - Police Dept	<u>62,876</u>	<u>0.00</u>	<u>0.00</u>	<u>0.00</u>	<u>62,876.00</u>	<u>100.00</u>
TOTAL Fund Balance Carryover	<u>62,876</u>	<u>0.00</u>	<u>0.00</u>	<u>0.00</u>	<u>62,876.00</u>	<u>100.00</u>
TOTAL Revenues	63,626	8.89	34.56	0.00	63,591.44	99.95
TOTAL REVENUE	63,626	8.89	34.56	0.00	63,591.44	99.95

CITY OF NICHOLS HILLS
REVENUE & EXPENSE REPORT (UNAUDITED)
AS OF: OCTOBER 31ST, 2020

04 -Designated Funds-Police

% OF YEAR COMPLETED: 33.33

	CURRENT BUDGET	CURRENT PERIOD	YEAR TO DATE ACTUAL	TOTAL ENCUMBERED	BUDGET BALANCE	% BUDGET REMAINING
Police Department						
=====						
<u>Material and Supplies</u>						
04-06-83000 Material & Supplies	29,375	102.00	212.00	0.00	29,163.00	99.28
04-06-83300 Animal Control Supplies	<u>34,251</u>	<u>0.00</u>	<u>0.00</u>	<u>0.00</u>	<u>34,251.00</u>	<u>100.00</u>
TOTAL Material and Supplies	63,626	102.00	212.00	0.00	63,414.00	99.67
<u>Other Services</u>						
TOTAL Police Department	63,626	102.00	212.00	0.00	63,414.00	99.67
TOTAL EXPENDITURES	63,626	102.00	212.00	0.00	63,414.00	99.67
REVENUE OVER/(UNDER) EXPENDITURES	0 (	93.11) (	177.44)	0.00	177.44	0.00

CITY OF NICHOLS HILLS
REVENUE & EXPENSE REPORT (UNAUDITED)
AS OF: OCTOBER 31ST, 2020

05 -Designated Funds-PW

FINANCIAL SUMMARY

% OF YEAR COMPLETED: 33.33

	CURRENT BUDGET	CURRENT PERIOD	YEAR TO DATE ACTUAL	TOTAL ENCUMBERED	BUDGET BALANCE	% BUDGET REMAINING
<u>REVENUE SUMMARY</u>						
Revenues	<u>2,214</u>	<u>0.31</u>	<u>1.20</u>	<u>0.00</u>	<u>2,212.80</u>	<u>99.95</u>
TOTAL REVENUES	2,214	0.31	1.20	0.00	2,212.80	99.95
<u>EXPENDITURE SUMMARY</u>						
Public Works	<u>2,214</u>	<u>0.00</u>	<u>0.00</u>	<u>0.00</u>	<u>2,214.00</u>	<u>100.00</u>
TOTAL EXPENDITURES	2,214	0.00	0.00	0.00	2,214.00	100.00
REVENUE OVER/ (UNDER) EXPENDITURES	0	0.31	1.20	0.00 (	1.20)	0.00

CITY OF NICHOLS HILLS
REVENUE & EXPENSE REPORT (UNAUDITED)
AS OF: OCTOBER 31ST, 2020

05 -Designated Funds-PW

% OF YEAR COMPLETED: 33.33

REVENUES	CURRENT BUDGET	CURRENT PERIOD	YEAR TO DATE ACTUAL	TOTAL ENCUMBERED	BUDGET BALANCE	% BUDGET REMAINING
Revenues						
=====						
<u>Investment Earnings</u>						
05-00-78500 Interest Income	0	0.31	1.20	0.00	(1.20)	0.00
TOTAL Investment Earnings	0	0.31	1.20	0.00	(1.20)	0.00
<u>Miscellaneous Revenue</u>						
05-00-79512 Revenue - Public Works	150	0.00	0.00	0.00	150.00	100.00
TOTAL Miscellaneous Revenue	150	0.00	0.00	0.00	150.00	100.00
<u>Fund Balance Carryover</u>						
05-00-79812 Carryover	2,064	0.00	0.00	0.00	2,064.00	100.00
TOTAL Fund Balance Carryover	2,064	0.00	0.00	0.00	2,064.00	100.00
TOTAL Revenues	2,214	0.31	1.20	0.00	2,212.80	99.95
TOTAL REVENUE	2,214	0.31	1.20	0.00	2,212.80	99.95

CITY OF NICHOLS HILLS
REVENUE & EXPENSE REPORT (UNAUDITED)
AS OF: OCTOBER 31ST, 2020

05 -Designated Funds-PW

% OF YEAR COMPLETED: 33.33

DEPARTMENTAL EXPENDITURES	CURRENT BUDGET	CURRENT PERIOD	YEAR TO DATE ACTUAL	TOTAL ENCUMBERED	BUDGET BALANCE	% BUDGET REMAINING
Public Works						
=====						
<u>Material and Supplies</u>						
05-12-83000 Material & Supplies	<u>2,214</u>	<u>0.00</u>	<u>0.00</u>	<u>0.00</u>	<u>2,214.00</u>	<u>100.00</u>
TOTAL Material and Supplies	2,214	0.00	0.00	0.00	2,214.00	100.00
TOTAL Public Works	2,214	0.00	0.00	0.00	2,214.00	100.00
TOTAL EXPENDITURES	2,214	0.00	0.00	0.00	2,214.00	100.00
REVENUE OVER/(UNDER) EXPENDITURES	0	0.31	1.20	0.00 (	1.20)	0.00

CITY OF NICHOLS HILLS
REVENUE & EXPENSE REPORT (UNAUDITED)
AS OF: OCTOBER 31ST, 2020

06 -Municipal Authority

FINANCIAL SUMMARY

% OF YEAR COMPLETED: 33.33

	CURRENT BUDGET	CURRENT PERIOD	YEAR TO DATE ACTUAL	TOTAL ENCUMBERED	BUDGET BALANCE	% BUDGET REMAINING
<u>REVENUE SUMMARY</u>						
Municipal Auth Revenue	<u>4,007,643</u>	<u>468,669.74</u>	<u>2,002,674.20</u>	<u>0.00</u>	<u>2,004,969.25</u>	<u>50.03</u>
TOTAL REVENUES	4,007,643	468,669.74	2,002,674.20	0.00	2,004,969.25	50.03
<u>EXPENDITURE SUMMARY</u>						
Municipal Authority	<u>4,007,643</u>	<u>356,821.64</u>	<u>1,443,024.95</u>	<u>12,834.87</u>	<u>2,551,783.63</u>	<u>63.67</u>
TOTAL EXPENDITURES	4,007,643	356,821.64	1,443,024.95	12,834.87	2,551,783.63	63.67
REVENUE OVER/(UNDER) EXPENDITURES	0	111,848.10	559,649.25 (	12,834.87) (	546,814.38)	0.00

CITY OF NICHOLS HILLS
REVENUE & EXPENSE REPORT (UNAUDITED)
AS OF: OCTOBER 31ST, 2020

06 -Municipal Authority

% OF YEAR COMPLETED: 33.33

REVENUES	CURRENT BUDGET	CURRENT PERIOD	YEAR TO DATE ACTUAL	TOTAL ENCUMBERED	BUDGET BALANCE	% BUDGET REMAINING
<u>Municipal Auth Revenue</u>						
=====						
<u>Water</u>						
06-00-75300 Water Revenue	<u>2,716,937</u>	<u>338,829.12</u>	<u>1,448,179.49</u>	<u>0.00</u>	<u>1,268,757.51</u>	<u>46.70</u>
TOTAL Water	2,716,937	338,829.12	1,448,179.49	0.00	1,268,757.51	46.70
<u>Wastewater</u>						
06-00-75700 Sewer Revenue	324,520	32,333.47	132,983.56	0.00	191,536.44	59.02
06-00-75800 OKC Sewer Charges Revenue	<u>868,606</u>	<u>96,040.70</u>	<u>404,344.21</u>	<u>0.00</u>	<u>464,261.79</u>	<u>53.45</u>
TOTAL Wastewater	1,193,126	128,374.17	537,327.77	0.00	655,798.23	54.96
<u>Water Taps</u>						
06-00-75900 Water Tap Revenue	<u>27,578</u>	<u>1,250.00</u>	<u>5,343.74</u>	<u>0.00</u>	<u>22,234.26</u>	<u>80.62</u>
TOTAL Water Taps	27,578	1,250.00	5,343.74	0.00	22,234.26	80.62
<u>Fines & Forfeits</u>						
06-00-76000 MXU Installation	<u>320</u>	<u>0.00</u>	<u>165.00</u>	<u>0.00</u>	<u>155.00</u>	<u>48.44</u>
TOTAL Fines & Forfeits	320	0.00	165.00	0.00	155.00	48.44
<u>Penalties</u>						
06-00-77750 Penalty Charges	<u>17,632</u>	<u>0.00</u>	<u>0.00</u>	<u>0.00</u>	<u>17,632.00</u>	<u>100.00</u>
TOTAL Penalties	17,632	0.00	0.00	0.00	17,632.00	100.00
<u>Investment Earnings</u>						
06-00-78200 Interest Income	<u>22,064</u>	<u>216.45</u>	<u>1,205.73</u>	<u>0.00</u>	<u>20,858.27</u>	<u>94.54</u>
TOTAL Investment Earnings	22,064	216.45	1,205.73	0.00	20,858.27	94.54
<u>Miscellaneous Revenue</u>						
06-00-79100 Misc Income	<u>5,691</u>	<u>0.00</u>	<u>10,452.47</u>	<u>0.00</u>	<u>(4,761.47)</u>	<u>83.67-</u>
TOTAL Miscellaneous Revenue	5,691	0.00	10,452.47	0.00	(4,761.47)	83.67-
<u>Fund Balance Carryover</u>						
06-00-79800 Carryover	<u>24,295</u>	<u>0.00</u>	<u>0.00</u>	<u>0.00</u>	<u>24,295.45</u>	<u>100.00</u>
TOTAL Fund Balance Carryover	<u>24,295</u>	<u>0.00</u>	<u>0.00</u>	<u>0.00</u>	<u>24,295.45</u>	<u>100.00</u>
TOTAL Municipal Auth Revenue	4,007,643	468,669.74	2,002,674.20	0.00	2,004,969.25	50.03
TOTAL REVENUE	4,007,643	468,669.74	2,002,674.20	0.00	2,004,969.25	50.03

AS OF: OCTOBER 31ST, 2020

06 -Municipal Authority

% OF YEAR COMPLETED: 33.33

	CURRENT BUDGET	CURRENT PERIOD	YEAR TO DATE ACTUAL	TOTAL ENCUMBERED	BUDGET BALANCE	% BUDGET REMAINING
--	-------------------	-------------------	------------------------	---------------------	-------------------	-----------------------

Mun Auth Engineering
=====

Other Services

Municipal Authority
=====

Personnel Services

06-12-80100 Salary	510,263	41,347.13	186,499.74	0.00	323,763.00	63.45
06-12-80200 Overtime	4,000	1,062.32	1,439.28	0.00	2,560.72	64.02
06-12-80300 FICA/Medicare	39,035	3,295.17	14,550.26	0.00	24,484.83	62.73
06-12-80400 Dental Insurance	4,750	387.54	1,550.16	0.00	3,199.84	67.37
06-12-80500 Health Insurance	86,920	7,619.18	30,124.85	0.00	56,795.15	65.34
06-12-80600 Workers Comp	14,465	0.00	7,569.94	0.00	6,895.06	47.67
06-12-80700 Unemployment	1,800	171.08	637.68	0.00	1,162.32	64.57
06-12-80800 OMRP Pension	41,381	3,440.63	15,194.95	0.00	26,185.67	63.28
06-12-80900 Stand by Pay	5,800	600.00	2,000.00	0.00	3,800.00	65.52
06-12-81100 Uniform Rental	6,200	506.23	2,308.79	0.00	3,891.21	62.76
06-12-81200 Medical Exams	<u>500</u>	<u>0.00</u>	<u>0.00</u>	<u>0.00</u>	<u>500.00</u>	<u>100.00</u>
TOTAL Personnel Services	715,113	58,429.28	261,875.65	0.00	453,237.80	63.38

Material and Supplies

06-12-83000 Materials & Supplies	32,000	5,209.64	12,276.26	3,300.01	16,423.73	51.32
06-12-83200 Office Supplies	1,500	356.92	551.79	0.00	948.21	63.21
06-12-83300 Minor Tools	2,000	0.00	182.86	0.00	1,817.14	90.86
06-12-83400 Lab Chemicals	4,100	0.00	0.00	0.00	4,100.00	100.00
06-12-83500 Safety Supplies	2,600	807.48	1,202.86	130.00	1,267.14	48.74
06-12-83700 Misc Supplies	<u>500</u>	<u>0.00</u>	<u>0.00</u>	<u>0.00</u>	<u>500.00</u>	<u>100.00</u>
TOTAL Material and Supplies	42,700	6,374.04	14,213.77	3,430.01	25,056.22	58.68

Other Services

06-12-84000 Equipment Maintenance	5,000	0.00	2,691.19	540.00	1,768.81	35.38
06-12-84100 Vehicle Maintenance	10,000	239.60	3,436.09	125.00	6,438.91	64.39
06-12-84300 Training & Membership	10,000	62.00	1,034.00	65.00	8,901.00	89.01
06-12-84400 Software Agreements	17,150	0.00	11,302.12	0.00	5,847.88	34.10
06-12-84500 Well Maintenance	55,000	10,297.10	16,088.81	7,874.86	31,036.33	56.43
06-12-84550 Water Quality Testing	15,000	2,085.00	6,683.00	800.00	7,517.00	50.11
06-12-84600 Equipment Rental	1,000	165.00	906.25	0.00	93.75	9.38
06-12-84650 Lease Agreements	41,080	1,686.60	14,561.60	0.00	26,518.40	64.55
06-12-84700 Telephone	12,000	794.41	3,842.50	0.00	8,157.50	67.98
06-12-84800 Utilities	160,000	17,570.45	78,556.09	0.00	81,443.91	50.90
06-12-84900 Fuel	21,000	2,237.92	7,570.84	0.00	13,429.16	63.95
06-12-84950 Printing & Processing - Uti	13,000	1,170.23	5,217.71	0.00	7,782.29	59.86
06-12-85350 Emergency Repairs	5,000	0.00	0.00	0.00	5,000.00	100.00
06-12-86400 Auditing Fees	27,500	6,000.00	12,389.37	0.00	15,110.63	54.95
06-12-87700 OKC Sewer Charges	550,000	57,451.67	233,622.60	0.00	316,377.40	57.52

CITY OF NICHOLS HILLS
REVENUE & EXPENSE REPORT (UNAUDITED)
AS OF: OCTOBER 31ST, 2020

06 -Municipal Authority

% OF YEAR COMPLETED: 33.33

DEPARTMENTAL EXPENDITURES	CURRENT BUDGET	CURRENT PERIOD	YEAR TO DATE ACTUAL	TOTAL ENCUMBERED	BUDGET BALANCE	% BUDGET REMAINING
06-12-87800 Leasehold Transfer	<u>2,307,100</u>	<u>192,258.34</u>	<u>769,033.36</u>	<u>0.00</u>	<u>1,538,066.64</u>	<u>66.67</u>
TOTAL Other Services	3,249,830	292,018.32	1,166,935.53	9,404.86	2,073,489.61	63.80
<u>Transfers Out</u>	<u> </u>	<u> </u>	<u> </u>	<u> </u>	<u> </u>	<u> </u>
TOTAL Municipal Authority	4,007,643	356,821.64	1,443,024.95	12,834.87	2,551,783.63	63.67
General Government =====						
<u>Personnel Services</u>	<u> </u>	<u> </u>	<u> </u>	<u> </u>	<u> </u>	<u> </u>
<u>Transfers Out</u>	<u> </u>	<u> </u>	<u> </u>	<u> </u>	<u> </u>	<u> </u>
TOTAL EXPENDITURES	4,007,643	356,821.64	1,443,024.95	12,834.87	2,551,783.63	63.67
REVENUE OVER/(UNDER) EXPENDITURES	0	111,848.10	559,649.25 (	12,834.87) (	546,814.38)	0.00

CITY OF NICHOLS HILLS
REVENUE & EXPENSE REPORT (UNAUDITED)

AS OF: OCTOBER 31ST, 2020

07 -General Fund - CIP

FINANCIAL SUMMARY

% OF YEAR COMPLETED: 33.33

	CURRENT BUDGET	CURRENT PERIOD	YEAR TO DATE ACTUAL	TOTAL ENCUMBERED	BUDGET BALANCE	% BUDGET REMAINING
<u>REVENUE SUMMARY</u>						
Gen Fund - CIP Revenue	<u>1,295,500</u>	<u>1,190.93</u>	<u>7,652.07</u>	<u>0.00</u>	<u>1,287,847.93</u>	<u>99.41</u>
TOTAL REVENUES	1,295,500	1,190.93	7,652.07	0.00	1,287,847.93	99.41
<u>EXPENDITURE SUMMARY</u>						
City Manager/Clerk	51,422	0.00	0.00	0.00	51,422.00	100.00
Police Department	157,505	0.00	0.00	22,000.00	135,505.00	86.03
Fire Department	396,537	0.00	0.00	0.00	396,537.00	100.00
Streets	54,601	0.00	0.00	0.00	54,601.00	100.00
Sanitation	318,246	0.00	0.00	0.00	318,246.00	100.00
Parks Department	103,891	0.00	0.00	0.00	103,891.00	100.00
Public Works Admin	28	0.00	0.00	0.00	28.00	100.00
Code Department	18,811	0.00	0.00	0.00	18,811.00	100.00
Risk Manager	29,441	0.00	0.00	0.00	29,441.00	100.00
Information Systems Mgr	<u>165,018</u>	<u>0.00</u>	<u>990.00</u>	<u>75.00</u>	<u>163,953.00</u>	<u>99.35</u>
TOTAL EXPENDITURES	1,295,500	0.00	990.00	22,075.00	1,272,435.00	98.22
REVENUE OVER/(UNDER) EXPENDITURES	0	1,190.93	6,662.07 (	22,075.00)	15,412.93	0.00

AS OF: OCTOBER 31ST, 2020

07 -General Fund - CIP

% OF YEAR COMPLETED: 33.33

REVENUES	CURRENT BUDGET	CURRENT PERIOD	YEAR TO DATE ACTUAL	TOTAL ENCUMBERED	BUDGET BALANCE	% BUDGET REMAINING
Gen Fund - CIP Revenue						
=====						
<u>Intergovernmental</u>						
07-00-73950 Resale of Property	<u>0</u>	<u>144.46</u>	<u>6,292.46</u>	<u>0.00</u>	(<u>6,292.46</u>)	<u>0.00</u>
TOTAL Intergovernmental	0	144.46	6,292.46	0.00	(6,292.46)	0.00
<u>Investment Earnings</u>						
07-00-78500 Interest Earnings	<u>0</u>	<u>1,046.47</u>	<u>1,359.61</u>	<u>0.00</u>	(<u>1,359.61</u>)	<u>0.00</u>
TOTAL Investment Earnings	0	1,046.47	1,359.61	0.00	(1,359.61)	0.00
<u>Fund Balance Carryover</u>						
07-00-79800 Carryover	<u>1,295,500</u>	<u>0.00</u>	<u>0.00</u>	<u>0.00</u>	<u>1,295,500.00</u>	<u>100.00</u>
TOTAL Fund Balance Carryover	1,295,500	0.00	0.00	0.00	1,295,500.00	100.00
<u>Transfers</u>						
TOTAL Gen Fund - CIP Revenue	<u>1,295,500</u>	<u>1,190.93</u>	<u>7,652.07</u>	<u>0.00</u>	<u>1,287,847.93</u>	<u>99.41</u>
TOTAL REVENUE	1,295,500	1,190.93	7,652.07	0.00	1,287,847.93	99.41

CITY OF NICHOLS HILLS
REVENUE & EXPENSE REPORT (UNAUDITED)
AS OF: OCTOBER 31ST, 2020

07 -General Fund - CIP

% OF YEAR COMPLETED: 33.33

DEPARTMENTAL EXPENDITURES	CURRENT BUDGET	CURRENT PERIOD	YEAR TO DATE ACTUAL	TOTAL ENCUMBERED	BUDGET BALANCE	% BUDGET REMAINING
City Manager/Clerk						
=====						
<u>Capital Projects</u>						
07-02-88400 Capital Imp-Software	25,711	0.00	0.00	0.00	25,711.00	100.00
07-02-88500 Capital Imp-Equipment	<u>25,711</u>	<u>0.00</u>	<u>0.00</u>	<u>0.00</u>	<u>25,711.00</u>	<u>100.00</u>
TOTAL Capital Projects	51,422	0.00	0.00	0.00	51,422.00	100.00
TOTAL City Manager/Clerk	51,422	0.00	0.00	0.00	51,422.00	100.00
Municipal Court						
=====						
<u>Capital Projects</u>						
Police Department						
=====						
<u>Capital Projects</u>						
07-06-88200 Capital Imp-Furniture	3,966	0.00	0.00	0.00	3,966.00	100.00
07-06-88400 Capital Imp-Software	8,180	0.00	0.00	0.00	8,180.00	100.00
07-06-88500 Capital Imp-Equipment	33,181	0.00	0.00	22,000.00	11,181.00	33.70
07-06-88600 Capital Imp-Radios	97,222	0.00	0.00	0.00	97,222.00	100.00
07-06-88700 Capital Imp-Guns	<u>14,956</u>	<u>0.00</u>	<u>0.00</u>	<u>0.00</u>	<u>14,956.00</u>	<u>100.00</u>
TOTAL Capital Projects	157,505	0.00	0.00	22,000.00	135,505.00	86.03
TOTAL Police Department	157,505	0.00	0.00	22,000.00	135,505.00	86.03
Fire Department						
=====						
<u>Capital Projects</u>						
07-07-88100 Capital Outlay - Vehicles	228,806	0.00	0.00	0.00	228,806.00	100.00
07-07-88200 Capital Imp-Furniture	5,541	0.00	0.00	0.00	5,541.00	100.00
07-07-88400 Capital Imp-Software	3,007	0.00	0.00	0.00	3,007.00	100.00
07-07-88500 Capital Imp-Equipment	77,986	0.00	0.00	0.00	77,986.00	100.00
07-07-88600 Capital Imp-Radios	22,443	0.00	0.00	0.00	22,443.00	100.00
07-07-88800 Capital Imp-Medical	4,530	0.00	0.00	0.00	4,530.00	100.00
07-07-88900 Capital Imp-SCBA	35,812	0.00	0.00	0.00	35,812.00	100.00
07-07-89100 Capital Imp - Fire Hose	<u>18,412</u>	<u>0.00</u>	<u>0.00</u>	<u>0.00</u>	<u>18,412.00</u>	<u>100.00</u>
TOTAL Capital Projects	396,537	0.00	0.00	0.00	396,537.00	100.00
TOTAL Fire Department	396,537	0.00	0.00	0.00	396,537.00	100.00

AS OF: OCTOBER 31ST, 2020

07 -General Fund - CIP

% OF YEAR COMPLETED: 33.33

DEPARTMENTAL EXPENDITURES	CURRENT BUDGET	CURRENT PERIOD	YEAR TO DATE ACTUAL	TOTAL ENCUMBERED	BUDGET BALANCE	% BUDGET REMAINING
Streets						
=====						
<u>Capital Projects</u>						
07-09-88100 Capital Outlay - Vehicles	20,000	0.00	0.00	0.00	20,000.00	100.00
07-09-88500 Capital Imp-Equipment	20,000	0.00	0.00	0.00	20,000.00	100.00
07-09-89200 Capital Imp-Monument Entry	<u>14,601</u>	<u>0.00</u>	<u>0.00</u>	<u>0.00</u>	<u>14,601.00</u>	<u>100.00</u>
TOTAL Capital Projects	54,601	0.00	0.00	0.00	54,601.00	100.00
TOTAL Streets	54,601	0.00	0.00	0.00	54,601.00	100.00
Sanitation						
=====						
<u>Capital Projects</u>						
07-10-88100 Capital Imp - Vehicles	<u>318,246</u>	<u>0.00</u>	<u>0.00</u>	<u>0.00</u>	<u>318,246.00</u>	<u>100.00</u>
TOTAL Capital Projects	318,246	0.00	0.00	0.00	318,246.00	100.00
TOTAL Sanitation	318,246	0.00	0.00	0.00	318,246.00	100.00
Parks Department						
=====						
<u>Capital Projects</u>						
07-11-88100 Capital Outlay - Vehicles	13,943	0.00	0.00	0.00	13,943.00	100.00
07-11-88200 Capital Imp-Furniture	22,851	0.00	0.00	0.00	22,851.00	100.00
07-11-88500 Capital Imp-Equipment	<u>67,097</u>	<u>0.00</u>	<u>0.00</u>	<u>0.00</u>	<u>67,097.00</u>	<u>100.00</u>
TOTAL Capital Projects	103,891	0.00	0.00	0.00	103,891.00	100.00
TOTAL Parks Department	103,891	0.00	0.00	0.00	103,891.00	100.00
Public Works Admin						
=====						
<u>Capital Projects</u>						
07-12-88100 Capital Outlay - Vehicles	<u>28</u>	<u>0.00</u>	<u>0.00</u>	<u>0.00</u>	<u>28.00</u>	<u>100.00</u>
TOTAL Capital Projects	28	0.00	0.00	0.00	28.00	100.00
TOTAL Public Works Admin	28	0.00	0.00	0.00	28.00	100.00

CITY OF NICHOLS HILLS
REVENUE & EXPENSE REPORT (UNAUDITED)
AS OF: OCTOBER 31ST, 2020

07 -General Fund - CIP

% OF YEAR COMPLETED: 33.33

DEPARTMENTAL EXPENDITURES	CURRENT BUDGET	CURRENT PERIOD	YEAR TO DATE ACTUAL	TOTAL ENCUMBERED	BUDGET BALANCE	% BUDGET REMAINING
General Government =====						
<u>Other Services</u>						
<u>Capital Projects</u>						
Code Department =====						
<u>Capital Projects</u>						
07-14-88100 Capital Outlay - Vehicles	15,000	0.00	0.00	0.00	15,000.00	100.00
07-14-88400 Capital Imp-Software	<u>3,811</u>	<u>0.00</u>	<u>0.00</u>	<u>0.00</u>	<u>3,811.00</u>	<u>100.00</u>
TOTAL Capital Projects	18,811	0.00	0.00	0.00	18,811.00	100.00
TOTAL Code Department	18,811	0.00	0.00	0.00	18,811.00	100.00
Risk Manager =====						
<u>Capital Projects</u>						
07-15-88100 Capital Outlay - Vehicles	19,511	0.00	0.00	0.00	19,511.00	100.00
07-15-88200 Capital Imp-Furniture	1,604	0.00	0.00	0.00	1,604.00	100.00
07-15-88500 Capital Imp-Equipment	<u>8,326</u>	<u>0.00</u>	<u>0.00</u>	<u>0.00</u>	<u>8,326.00</u>	<u>100.00</u>
TOTAL Capital Projects	29,441	0.00	0.00	0.00	29,441.00	100.00
TOTAL Risk Manager	29,441	0.00	0.00	0.00	29,441.00	100.00
Information Systems Mgr =====						
<u>Capital Projects</u>						
07-16-88200 Capital Imp-Furniture	1,574	0.00	0.00	0.00	1,574.00	100.00
07-16-88300 Capital Imp-Computers	141,282	0.00	990.00	75.00	140,217.00	99.25
07-16-88400 Capital Outlay - Software	7,142	0.00	0.00	0.00	7,142.00	100.00
07-16-88500 Capital Imp-Equipment	<u>15,020</u>	<u>0.00</u>	<u>0.00</u>	<u>0.00</u>	<u>15,020.00</u>	<u>100.00</u>
TOTAL Capital Projects	165,018	0.00	990.00	75.00	163,953.00	99.35
TOTAL Information Systems Mgr	165,018	0.00	990.00	75.00	163,953.00	99.35
TOTAL EXPENDITURES	1,295,500	0.00	990.00	22,075.00	1,272,435.00	98.22
REVENUE OVER/ (UNDER) EXPENDITURES	0	1,190.93	6,662.07 (	22,075.00)	15,412.93	0.00

CITY OF NICHOLS HILLS
REVENUE & EXPENSE REPORT (UNAUDITED)
AS OF: OCTOBER 31ST, 2020

08 -Sinking Fund

FINANCIAL SUMMARY

% OF YEAR COMPLETED: 33.33

	CURRENT BUDGET	CURRENT PERIOD	YEAR TO DATE ACTUAL	TOTAL ENCUMBERED	BUDGET BALANCE	% BUDGET REMAINING
<u>REVENUE SUMMARY</u>						
Undesignated	<u>4,556,488</u>	<u>5,961.27</u>	<u>105,486.61</u>	<u>0.00</u>	<u>4,451,001.39</u>	<u>97.68</u>
TOTAL REVENUES	4,556,488	5,961.27	105,486.61	0.00	4,451,001.39	97.68
<u>EXPENDITURE SUMMARY</u>						
General Government	<u>4,556,488</u>	<u>24.56</u>	<u>485,033.47</u>	<u>0.00</u>	<u>4,071,454.53</u>	<u>89.36</u>
TOTAL EXPENDITURES	4,556,488	24.56	485,033.47	0.00	4,071,454.53	89.36
REVENUE OVER/(UNDER) EXPENDITURES	0	5,936.71 (	379,546.86)	0.00	379,546.86	0.00

CITY OF NICHOLS HILLS
REVENUE & EXPENSE REPORT (UNAUDITED)
AS OF: OCTOBER 31ST, 2020

08 -Sinking Fund

% OF YEAR COMPLETED: 33.33

REVENUES	CURRENT BUDGET	CURRENT PERIOD	YEAR TO DATE ACTUAL	TOTAL ENCUMBERED	BUDGET BALANCE	% BUDGET REMAINING
Undesignated						
=====						
<u>Property Tax Spec Purpos</u>						
08-00-71650 Ad Valorem Tax Revenue	<u>4,539,488</u>	<u>5,956.20</u>	<u>104,235.30</u>	<u>0.00</u>	<u>4,435,252.70</u>	<u>97.70</u>
TOTAL Property Tax Spec Purpos	4,539,488	5,956.20	104,235.30	0.00	4,435,252.70	97.70
<u>Investment Earnings</u>						
08-00-78500 Interest Earned	<u>17,000</u>	<u>5.07</u>	<u>1,251.31</u>	<u>0.00</u>	<u>15,748.69</u>	<u>92.64</u>
TOTAL Investment Earnings	17,000	5.07	1,251.31	0.00	15,748.69	92.64
<u>Fund Balance Carryover</u>						
TOTAL Undesignated	<u>4,556,488</u>	<u>5,961.27</u>	<u>105,486.61</u>	<u>0.00</u>	<u>4,451,001.39</u>	<u>97.68</u>
TOTAL REVENUE	4,556,488	5,961.27	105,486.61	0.00	4,451,001.39	97.68

AS OF: OCTOBER 31ST, 2020

08 -Sinking Fund

% OF YEAR COMPLETED: 33.33

DEPARTMENTAL EXPENDITURES	CURRENT BUDGET	CURRENT PERIOD	YEAR TO DATE ACTUAL	TOTAL ENCUMBERED	BUDGET BALANCE	% BUDGET REMAINING
General Government						
=====						
<u>Other Services</u>						
08-13-85400 Bank Charges	<u>1,000</u>	<u>24.56</u>	<u>345.97</u>	<u>0.00</u>	<u>654.03</u>	<u>65.40</u>
TOTAL Other Services	1,000	24.56	345.97	0.00	654.03	65.40
<u>Capital Projects</u>						
08-13-91000 Principle Payments - GO Bon	3,560,000	0.00	450,000.00	0.00	3,110,000.00	87.36
08-13-92000 Interest Payments on GO Bon	991,738	0.00	34,537.50	0.00	957,200.50	96.52
08-13-93000 Fiscal Charges - Trustee	<u>3,750</u>	<u>0.00</u>	<u>150.00</u>	<u>0.00</u>	<u>3,600.00</u>	<u>96.00</u>
TOTAL Capital Projects	4,555,488	0.00	484,687.50	0.00	4,070,800.50	89.36
TOTAL General Government	4,556,488	24.56	485,033.47	0.00	4,071,454.53	89.36
TOTAL EXPENDITURES	4,556,488	24.56	485,033.47	0.00	4,071,454.53	89.36
REVENUE OVER/(UNDER) EXPENDITURES	0	5,936.71 (	379,546.86)	0.00	379,546.86	0.00

CITY OF NICHOLS HILLS
REVENUE & EXPENSE REPORT (UNAUDITED)
AS OF: OCTOBER 31ST, 2020

4.h.b

09 -Meter Deposits

FINANCIAL SUMMARY

% OF YEAR COMPLETED: 33.33

CURRENT BUDGET	CURRENT PERIOD	YEAR TO DATE ACTUAL	TOTAL ENCUMBERED	BUDGET BALANCE	% BUDGET REMAINING

REVENUE SUMMARY

CITY OF NICHOLS HILLS
REVENUE & EXPENSE REPORT (UNAUDITED)
AS OF: OCTOBER 31ST, 2020

4.h.b

09 -Meter Deposits

% OF YEAR COMPLETED: 33.33

REVENUES	CURRENT BUDGET	CURRENT PERIOD	YEAR TO DATE ACTUAL	TOTAL ENCUMBERED	BUDGET BALANCE	% BUDGET REMAINING
----------	-------------------	-------------------	------------------------	---------------------	-------------------	-----------------------

Undesignated
=====

Investment Earnings	=====	=====	=====	=====	=====	=====
---------------------	-------	-------	-------	-------	-------	-------

CITY OF NICHOLS HILLS
REVENUE & EXPENSE REPORT (UNAUDITED)
AS OF: OCTOBER 31ST, 2020

10 -911 Fund

FINANCIAL SUMMARY

% OF YEAR COMPLETED: 33.33

	CURRENT BUDGET	CURRENT PERIOD	YEAR TO DATE ACTUAL	TOTAL ENCUMBERED	BUDGET BALANCE	% BUDGET REMAINING
<u>REVENUE SUMMARY</u>						
911 Fund Revenues	<u>51,318</u>	<u>716.12</u>	<u>2,870.59</u>	<u>0.00</u>	<u>48,447.41</u>	<u>94.41</u>
TOTAL REVENUES	51,318	716.12	2,870.59	0.00	48,447.41	94.41
<u>EXPENDITURE SUMMARY</u>						
General Government	500	0.00	0.00	0.00	500.00	100.00
911 Association	<u>50,818</u>	<u>0.00</u>	<u>0.00</u>	<u>0.00</u>	<u>50,818.00</u>	<u>100.00</u>
TOTAL EXPENDITURES	51,318	0.00	0.00	0.00	51,318.00	100.00
REVENUE OVER/(UNDER) EXPENDITURES	0	716.12	2,870.59	0.00 (	2,870.59)	0.00

CITY OF NICHOLS HILLS
REVENUE & EXPENSE REPORT (UNAUDITED)
AS OF: OCTOBER 31ST, 2020

10 -911 Fund

% OF YEAR COMPLETED: 33.33

	CURRENT BUDGET	CURRENT PERIOD	YEAR TO DATE ACTUAL	TOTAL ENCUMBERED	BUDGET BALANCE	% BUDGET REMAINING
911 Fund Revenues						
=====						
<u>Property Tax Spec Purpos</u>						
10-00-71800 911 ASSOCIATION	<u>8,000</u>	<u>709.65</u>	<u>2,846.05</u>	<u>0.00</u>	<u>5,153.95</u>	<u>64.42</u>
TOTAL Property Tax Spec Purpos	8,000	709.65	2,846.05	0.00	5,153.95	64.42
 <u>Investment Earnings</u>						
10-00-78500 Interest Income	<u>10</u>	<u>6.47</u>	<u>24.54</u>	<u>0.00</u>	(<u>14.54</u>)	<u>145.40-</u>
TOTAL Investment Earnings	10	6.47	24.54	0.00	(14.54)	145.40-
 <u>Fund Balance Carryover</u>						
10-00-79800 Carryover	<u>43,308</u>	<u>0.00</u>	<u>0.00</u>	<u>0.00</u>	<u>43,308.00</u>	<u>100.00</u>
TOTAL Fund Balance Carryover	<u>43,308</u>	<u>0.00</u>	<u>0.00</u>	<u>0.00</u>	<u>43,308.00</u>	<u>100.00</u>
TOTAL 911 Fund Revenues	51,318	716.12	2,870.59	0.00	48,447.41	94.41
TOTAL REVENUE	51,318	716.12	2,870.59	0.00	48,447.41	94.41

CITY OF NICHOLS HILLS
REVENUE & EXPENSE REPORT (UNAUDITED)
AS OF: OCTOBER 31ST, 2020

10 -911 Fund

% OF YEAR COMPLETED: 33.33

DEPARTMENTAL EXPENDITURES	CURRENT BUDGET	CURRENT PERIOD	YEAR TO DATE ACTUAL	TOTAL ENCUMBERED	BUDGET BALANCE	% BUDGET REMAINING
General Government						
=====						
<u>Material and Supplies</u>						
10-13-83000 MATERIAL & SUPPLIES	<u>500</u>	<u>0.00</u>	<u>0.00</u>	<u>0.00</u>	<u>500.00</u>	<u>100.00</u>
TOTAL Material and Supplies	500	0.00	0.00	0.00	500.00	100.00
<u>Other Services</u>						
TOTAL General Government	500	0.00	0.00	0.00	500.00	100.00
911 Association						
=====						
<u>Other Services</u>						
10-26-85800 Contingency	<u>50,818</u>	<u>0.00</u>	<u>0.00</u>	<u>0.00</u>	<u>50,818.00</u>	<u>100.00</u>
TOTAL Other Services	50,818	0.00	0.00	0.00	50,818.00	100.00
TOTAL 911 Association	50,818	0.00	0.00	0.00	50,818.00	100.00
TOTAL EXPENDITURES	51,318	0.00	0.00	0.00	51,318.00	100.00
REVENUE OVER/(UNDER) EXPENDITURES	0	716.12	2,870.59	0.00 (	2,870.59)	0.00

CITY OF NICHOLS HILLS
REVENUE & EXPENSE REPORT (UNAUDITED)
AS OF: OCTOBER 31ST, 2020

11 -Impound Fee Police Fund

FINANCIAL SUMMARY

% OF YEAR COMPLETED: 33.33

	CURRENT BUDGET	CURRENT PERIOD	YEAR TO DATE ACTUAL	TOTAL ENCUMBERED	BUDGET BALANCE	% BUDGET REMAINING
<u>REVENUE SUMMARY</u>						
Impound Fee Fund	<u>24,289</u>	<u>103.04</u>	<u>411.75</u>	<u>0.00</u>	<u>23,877.25</u>	<u>98.30</u>
TOTAL REVENUES	24,289	103.04	411.75	0.00	23,877.25	98.30
<u>EXPENDITURE SUMMARY</u>						
Police Dept	<u>24,289</u>	<u>0.00</u>	<u>0.00</u>	<u>0.00</u>	<u>24,289.00</u>	<u>100.00</u>
TOTAL EXPENDITURES	24,289	0.00	0.00	0.00	24,289.00	100.00
REVENUE OVER/ (UNDER) EXPENDITURES	0	103.04	411.75	0.00 (	411.75)	0.00

CITY OF NICHOLS HILLS
REVENUE & EXPENSE REPORT (UNAUDITED)
AS OF: OCTOBER 31ST, 2020

11 -Impound Fee Police Fund

% OF YEAR COMPLETED: 33.33

REVENUES	CURRENT BUDGET	CURRENT PERIOD	YEAR TO DATE ACTUAL	TOTAL ENCUMBERED	BUDGET BALANCE	% BUDGET REMAINING
Impound Fee Fund						
=====						
<u>Fines & Forfeits</u>						
11-00-76350 Police Impound Fees	<u>3,500</u>	<u>100.00</u>	<u>400.00</u>	<u>0.00</u>	<u>3,100.00</u>	<u>88.57</u>
TOTAL Fines & Forfeits	3,500	100.00	400.00	0.00	3,100.00	88.57
<u>Investment Earnings</u>						
11-00-78500 Interest Income	<u>250</u>	<u>3.04</u>	<u>11.75</u>	<u>0.00</u>	<u>238.25</u>	<u>95.30</u>
TOTAL Investment Earnings	250	3.04	11.75	0.00	238.25	95.30
<u>Fund Balance Carryover</u>						
11-00-79800 Carryover	<u>20,539</u>	<u>0.00</u>	<u>0.00</u>	<u>0.00</u>	<u>20,539.00</u>	<u>100.00</u>
TOTAL Fund Balance Carryover	<u>20,539</u>	<u>0.00</u>	<u>0.00</u>	<u>0.00</u>	<u>20,539.00</u>	<u>100.00</u>
TOTAL Impound Fee Fund	24,289	103.04	411.75	0.00	23,877.25	98.30
TOTAL REVENUE	24,289	103.04	411.75	0.00	23,877.25	98.30

CITY OF NICHOLS HILLS
REVENUE & EXPENSE REPORT (UNAUDITED)
AS OF: OCTOBER 31ST, 2020

11 -Impound Fee Police Fund

% OF YEAR COMPLETED: 33.33

DEPARTMENTAL EXPENDITURES	CURRENT BUDGET	CURRENT PERIOD	YEAR TO DATE ACTUAL	TOTAL ENCUMBERED	BUDGET BALANCE	% BUDGET REMAINING
Police Dept =====						
<u>Material and Supplies</u>						
<u>Other Services</u>						
11-06-84000 Equipment Maintenance	5,000	0.00	0.00	0.00	5,000.00	100.00
11-06-86850 Software Maintenance	4,000	0.00	0.00	0.00	4,000.00	100.00
11-06-86875 Maintenance - Auto Lic Read	<u>15,289</u>	<u>0.00</u>	<u>0.00</u>	<u>0.00</u>	<u>15,289.00</u>	<u>100.00</u>
TOTAL Other Services	24,289	0.00	0.00	0.00	24,289.00	100.00
TOTAL Police Dept	24,289	0.00	0.00	0.00	24,289.00	100.00
TOTAL EXPENDITURES	24,289	0.00	0.00	0.00	24,289.00	100.00
REVENUE OVER/(UNDER) EXPENDITURES	0	103.04	411.75	0.00 (	411.75)	0.00

CITY OF NICHOLS HILLS
REVENUE & EXPENSE REPORT (UNAUDITED)
AS OF: OCTOBER 31ST, 2020

13 -Municipal Authority - CIP

FINANCIAL SUMMARY

% OF YEAR COMPLETED: 33.33

	CURRENT BUDGET	CURRENT PERIOD	YEAR TO DATE ACTUAL	TOTAL ENCUMBERED	BUDGET BALANCE	% BUDGET REMAINING
<u>REVENUE SUMMARY</u>						
NHMA CIP - Revenues	<u>1,569,699</u>	<u>385.34</u>	<u>722.03</u>	<u>0.00</u>	<u>1,568,976.97</u>	<u>99.95</u>
TOTAL REVENUES	1,569,699	385.34	722.03	0.00	1,568,976.97	99.95
<u>EXPENDITURE SUMMARY</u>						
General Government	1,559,718	15,108.88	15,108.88	28,059.36	1,516,549.76	97.23
Information Systems	<u>9,981</u>	<u>0.00</u>	<u>0.00</u>	<u>0.00</u>	<u>9,981.00</u>	<u>100.00</u>
TOTAL EXPENDITURES	1,569,699	15,108.88	15,108.88	28,059.36	1,526,530.76	97.25
REVENUE OVER/(UNDER) EXPENDITURES	0 (	14,723.54) (	14,386.85) (	28,059.36)	42,446.21	0.00

AS OF: OCTOBER 31ST, 2020

13 -Municipal Authority - CIP

% OF YEAR COMPLETED: 33.33

REVENUES	CURRENT BUDGET	CURRENT PERIOD	YEAR TO DATE ACTUAL	TOTAL ENCUMBERED	BUDGET BALANCE	% BUDGET REMAINING
NHMA CIP - Revenues						
=====						
<u>Intergovernmental</u>						
<u>Investment Earnings</u>						
13-00-78500 Interest	<u>0</u>	<u>385.34</u>	<u>722.03</u>	<u>0.00</u>	(<u>722.03</u>)	<u>0.00</u>
TOTAL Investment Earnings	0	385.34	722.03	0.00	(722.03)	0.00
<u>Fund Balance Carryover</u>						
13-00-79800 Carryover	<u>1,569,699</u>	<u>0.00</u>	<u>0.00</u>	<u>0.00</u>	<u>1,569,699.00</u>	<u>100.00</u>
TOTAL Fund Balance Carryover	1,569,699	0.00	0.00	0.00	1,569,699.00	100.00
<u>Transfers</u>						
TOTAL NHMA CIP - Revenues	<u>1,569,699</u>	<u>385.34</u>	<u>722.03</u>	<u>0.00</u>	<u>1,568,976.97</u>	<u>99.95</u>
TOTAL REVENUE	1,569,699	385.34	722.03	0.00	1,568,976.97	99.95

AS OF: OCTOBER 31ST, 2020

13 -Municipal Authority - CIP

% OF YEAR COMPLETED: 33.33

DEPARTMENTAL EXPENDITURES	CURRENT BUDGET	CURRENT PERIOD	YEAR TO DATE ACTUAL	TOTAL ENCUMBERED	BUDGET BALANCE	% BUDGET REMAINING
---------------------------	-------------------	-------------------	------------------------	---------------------	-------------------	-----------------------

General Government

=====

Capital Projects

13-12-88000 Capital Outlay	167,091	15,108.88	15,108.88	28,059.36	123,922.76	74.16
13-12-88100 Vehicles	95,388	0.00	0.00	0.00	95,388.00	100.00
13-12-88400 Capital Imp - Software	37,320	0.00	0.00	0.00	37,320.00	100.00
13-12-88500 Capital Imp - Equipment	85,745	0.00	0.00	0.00	85,745.00	100.00
13-12-88600 Capital Imp - Radios/Commun	4,518	0.00	0.00	0.00	4,518.00	100.00
13-12-89200 Capital Imp-Water Wells	1,069,656	0.00	0.00	0.00	1,069,656.00	100.00
13-12-89300 Capital Imp-Paint Water Tow	<u>100,000</u>	<u>0.00</u>	<u>0.00</u>	<u>0.00</u>	<u>100,000.00</u>	<u>100.00</u>
TOTAL Capital Projects	1,559,718	15,108.88	15,108.88	28,059.36	1,516,549.76	97.23

TOTAL General Government	1,559,718	15,108.88	15,108.88	28,059.36	1,516,549.76	97.23
--------------------------	-----------	-----------	-----------	-----------	--------------	-------

Information Systems

=====

Capital Projects

13-16-88300 Capital Outlay - Computers	<u>9,981</u>	<u>0.00</u>	<u>0.00</u>	<u>0.00</u>	<u>9,981.00</u>	<u>100.00</u>
TOTAL Capital Projects	9,981	0.00	0.00	0.00	9,981.00	100.00

TOTAL Information Systems	9,981	0.00	0.00	0.00	9,981.00	100.00
---------------------------	-------	------	------	------	----------	--------

TOTAL EXPENDITURES	1,569,699	15,108.88	15,108.88	28,059.36	1,526,530.76	97.25
--------------------	-----------	-----------	-----------	-----------	--------------	-------

REVENUE OVER/(UNDER) EXPENDITURES	0 (	14,723.54) (	14,386.85) (	28,059.36)	42,446.21	0.00
-----------------------------------	-----	--------------	--------------	------------	-----------	------

CITY OF NICHOLS HILLS
REVENUE & EXPENSE REPORT (UNAUDITED)
AS OF: OCTOBER 31ST, 2020

14 -Water Impact Fees Fund

FINANCIAL SUMMARY

% OF YEAR COMPLETED: 33.33

	CURRENT BUDGET	CURRENT PERIOD	YEAR TO DATE ACTUAL	TOTAL ENCUMBERED	BUDGET BALANCE	% BUDGET REMAINING
<u>REVENUE SUMMARY</u>						
Water Impact Fee	<u>97,741</u>	<u>4,279.73</u>	<u>8,840.71</u>	<u>0.00</u>	<u>88,900.29</u>	<u>90.95</u>
TOTAL REVENUES	97,741	4,279.73	8,840.71	0.00	88,900.29	90.95
<u>EXPENDITURE SUMMARY</u>						
Water Impact Fee	<u>97,741</u>	<u>0.00</u>	<u>0.00</u>	<u>0.00</u>	<u>97,741.00</u>	<u>100.00</u>
TOTAL EXPENDITURES	97,741	0.00	0.00	0.00	97,741.00	100.00
REVENUE OVER/ (UNDER) EXPENDITURES	0	4,279.73	8,840.71	0.00 (	8,840.71)	0.00

AS OF: OCTOBER 31ST, 2020

14 -Water Impact Fees Fund

% OF YEAR COMPLETED: 33.33

REVENUES	CURRENT BUDGET	CURRENT PERIOD	YEAR TO DATE ACTUAL	TOTAL ENCUMBERED	BUDGET BALANCE	% BUDGET REMAINING
Water Impact Fee						
=====						
<u>Water</u>						
14-00-75350 Water Capacity Charges	<u>4,000</u>	<u>4,265.00</u>	<u>8,786.53</u>	<u>0.00</u>	(<u>4,786.53</u>)	<u>119.66-</u>
TOTAL Water	4,000	4,265.00	8,786.53	0.00	(4,786.53)	119.66-
<u>Investment Earnings</u>						
14-00-78500 Interest Income	<u>1,500</u>	<u>14.73</u>	<u>54.18</u>	<u>0.00</u>	<u>1,445.82</u>	<u>96.39</u>
TOTAL Investment Earnings	1,500	14.73	54.18	0.00	1,445.82	96.39
<u>Fund Balance Carryover</u>						
14-00-79800 Fund Balance Carryover	<u>92,241</u>	<u>0.00</u>	<u>0.00</u>	<u>0.00</u>	<u>92,241.00</u>	<u>100.00</u>
TOTAL Fund Balance Carryover	<u>92,241</u>	<u>0.00</u>	<u>0.00</u>	<u>0.00</u>	<u>92,241.00</u>	<u>100.00</u>
TOTAL Water Impact Fee	97,741	4,279.73	8,840.71	0.00	88,900.29	90.95
TOTAL REVENUE	97,741	4,279.73	8,840.71	0.00	88,900.29	90.95

CITY OF NICHOLS HILLS
REVENUE & EXPENSE REPORT (UNAUDITED)
AS OF: OCTOBER 31ST, 2020

14 -Water Impact Fees Fund

% OF YEAR COMPLETED: 33.33

DEPARTMENTAL EXPENDITURES	CURRENT BUDGET	CURRENT PERIOD	YEAR TO DATE ACTUAL	TOTAL ENCUMBERED	BUDGET BALANCE	% BUDGET REMAINING
Water Impact Fee =====						
<u>Material and Supplies</u>						
<u>Other Services</u>						
<u>Capital Projects</u>						
14-22-89900 Capital - Water System	97,741	0.00	0.00	0.00	97,741.00	100.00
TOTAL Capital Projects	97,741	0.00	0.00	0.00	97,741.00	100.00
TOTAL Water Impact Fee	97,741	0.00	0.00	0.00	97,741.00	100.00
TOTAL EXPENDITURES	97,741	0.00	0.00	0.00	97,741.00	100.00
REVENUE OVER/(UNDER) EXPENDITURES	0	4,279.73	8,840.71	0.00 (	8,840.71)	0.00

CITY OF NICHOLS HILLS
REVENUE & EXPENSE REPORT (UNAUDITED)
AS OF: OCTOBER 31ST, 2020

15 -Sewer Impact Fee Fund

FINANCIAL SUMMARY

% OF YEAR COMPLETED: 33.33

	CURRENT BUDGET	CURRENT PERIOD	YEAR TO DATE ACTUAL	TOTAL ENCUMBERED	BUDGET BALANCE	% BUDGET REMAINING
<u>REVENUE SUMMARY</u>						
Sewer Impact Fee	<u>95,986</u>	<u>5,539.74</u>	<u>8,336.41</u>	<u>0.00</u>	<u>87,649.59</u>	<u>91.31</u>
TOTAL REVENUES	95,986	5,539.74	8,336.41	0.00	87,649.59	91.31
<u>EXPENDITURE SUMMARY</u>						
Sewer Impact Fee	<u>95,986</u>	<u>0.00</u>	<u>0.00</u>	<u>0.00</u>	<u>95,986.00</u>	<u>100.00</u>
TOTAL EXPENDITURES	95,986	0.00	0.00	0.00	95,986.00	100.00
REVENUE OVER/ (UNDER) EXPENDITURES	0	5,539.74	8,336.41	0.00 (	8,336.41)	0.00

AS OF: OCTOBER 31ST, 2020

15 -Sewer Impact Fee Fund

% OF YEAR COMPLETED: 33.33

REVENUES	CURRENT BUDGET	CURRENT PERIOD	YEAR TO DATE ACTUAL	TOTAL ENCUMBERED	BUDGET BALANCE	% BUDGET REMAINING
Sewer Impact Fee						
=====						
<u>Wastewater</u>						
15-00-75750 Sewer Capacity Charges	<u>15,000</u>	<u>5,527.00</u>	<u>8,290.00</u>	<u>0.00</u>	<u>6,710.00</u>	<u>44.73</u>
TOTAL Wastewater	15,000	5,527.00	8,290.00	0.00	6,710.00	44.73
<u>Investment Earnings</u>						
15-00-78500 Interest Income	<u>0</u>	<u>12.74</u>	<u>46.41</u>	<u>0.00</u>	(<u>46.41</u>)	<u>0.00</u>
TOTAL Investment Earnings	0	12.74	46.41	0.00	(46.41)	0.00
<u>Fund Balance Carryover</u>						
15-00-79800 Fund Balance Carryover	<u>80,986</u>	<u>0.00</u>	<u>0.00</u>	<u>0.00</u>	<u>80,986.00</u>	<u>100.00</u>
TOTAL Fund Balance Carryover	<u>80,986</u>	<u>0.00</u>	<u>0.00</u>	<u>0.00</u>	<u>80,986.00</u>	<u>100.00</u>
TOTAL Sewer Impact Fee	95,986	5,539.74	8,336.41	0.00	87,649.59	91.31
TOTAL REVENUE	95,986	5,539.74	8,336.41	0.00	87,649.59	91.31

CITY OF NICHOLS HILLS
REVENUE & EXPENSE REPORT (UNAUDITED)
AS OF: OCTOBER 31ST, 2020

15 -Sewer Impact Fee Fund

% OF YEAR COMPLETED: 33.33

DEPARTMENTAL EXPENDITURES	CURRENT BUDGET	CURRENT PERIOD	YEAR TO DATE ACTUAL	TOTAL ENCUMBERED	BUDGET BALANCE	% BUDGET REMAINING
Sewer Impact Fee =====						
<u>Material and Supplies</u>						
<u>Other Services</u>						
<u>Capital Projects</u>						
15-23-89900 Capital - Sewer System	<u>95,986</u>	<u>0.00</u>	<u>0.00</u>	<u>0.00</u>	<u>95,986.00</u>	<u>100.00</u>
TOTAL Capital Projects	95,986	0.00	0.00	0.00	95,986.00	100.00
TOTAL Sewer Impact Fee	95,986	0.00	0.00	0.00	95,986.00	100.00
TOTAL EXPENDITURES	95,986	0.00	0.00	0.00	95,986.00	100.00
REVENUE OVER/(UNDER) EXPENDITURES	0	5,539.74	8,336.41	0.00 (	8,336.41)	0.00

CITY OF NICHOLS HILLS
REVENUE & EXPENSE REPORT (UNAUDITED)
AS OF: OCTOBER 31ST, 2020

17 -Drainage Fee Fund

FINANCIAL SUMMARY

% OF YEAR COMPLETED: 33.33

	CURRENT BUDGET	CURRENT PERIOD	YEAR TO DATE ACTUAL	TOTAL ENCUMBERED	BUDGET BALANCE	% BUDGET REMAINING
<u>REVENUE SUMMARY</u>						
Revenues	<u>72,882</u>	<u>5,454.21</u>	<u>21,766.54</u>	<u>0.00</u>	<u>51,115.46</u>	<u>70.13</u>
TOTAL REVENUES	72,882	5,454.21	21,766.54	0.00	51,115.46	70.13
<u>EXPENDITURE SUMMARY</u>						
Drainage	<u>72,882</u>	<u>0.00</u>	<u>0.00</u>	<u>0.00</u>	<u>72,882.00</u>	<u>100.00</u>
TOTAL EXPENDITURES	72,882	0.00	0.00	0.00	72,882.00	100.00
REVENUE OVER/ (UNDER) EXPENDITURES	0	5,454.21	21,766.54	0.00 (	21,766.54)	0.00

CITY OF NICHOLS HILLS
REVENUE & EXPENSE REPORT (UNAUDITED)
AS OF: OCTOBER 31ST, 2020

17 -Drainage Fee Fund

% OF YEAR COMPLETED: 33.33

	CURRENT BUDGET	CURRENT PERIOD	YEAR TO DATE ACTUAL	TOTAL ENCUMBERED	BUDGET BALANCE	% BUDGET REMAINING
Revenues						
=====						
<u>Water</u>						
17-00-75370 Drainage Fee	<u>52,358</u>	<u>5,448.00</u>	<u>21,747.00</u>	<u>0.00</u>	<u>30,611.00</u>	<u>58.46</u>
TOTAL Water	52,358	5,448.00	21,747.00	0.00	30,611.00	58.46
<u>Investment Earnings</u>						
17-00-78500 Interest Income	<u>625</u>	<u>6.21</u>	<u>19.54</u>	<u>0.00</u>	<u>605.46</u>	<u>96.87</u>
TOTAL Investment Earnings	625	6.21	19.54	0.00	605.46	96.87
<u>Fund Balance Carryover</u>						
17-00-79800 Fund Balance Carryover	<u>19,899</u>	<u>0.00</u>	<u>0.00</u>	<u>0.00</u>	<u>19,899.00</u>	<u>100.00</u>
TOTAL Fund Balance Carryover	<u>19,899</u>	<u>0.00</u>	<u>0.00</u>	<u>0.00</u>	<u>19,899.00</u>	<u>100.00</u>
TOTAL Revenues	72,882	5,454.21	21,766.54	0.00	51,115.46	70.13
TOTAL REVENUE	72,882	5,454.21	21,766.54	0.00	51,115.46	70.13

CITY OF NICHOLS HILLS
REVENUE & EXPENSE REPORT (UNAUDITED)
AS OF: OCTOBER 31ST, 2020

4.h.b

17 -Drainage Fee Fund

% OF YEAR COMPLETED: 33.33

DEPARTMENTAL EXPENDITURES	CURRENT BUDGET	CURRENT PERIOD	YEAR TO DATE ACTUAL	TOTAL ENCUMBERED	BUDGET BALANCE	% BUDGET REMAINING
Drainage =====						
<u>Capital Projects</u>						
17-24-89900 Drainage Capital	<u>72,882</u>	<u>0.00</u>	<u>0.00</u>	<u>0.00</u>	<u>72,882.00</u>	<u>100.00</u>
TOTAL Capital Projects	72,882	0.00	0.00	0.00	72,882.00	100.00
TOTAL Drainage	72,882	0.00	0.00	0.00	72,882.00	100.00
TOTAL EXPENDITURES	72,882	0.00	0.00	0.00	72,882.00	100.00
REVENUE OVER/(UNDER) EXPENDITURES	0	5,454.21	21,766.54	0.00 (	21,766.54)	0.00

CITY OF NICHOLS HILLS
REVENUE & EXPENSE REPORT (UNAUDITED)
AS OF: OCTOBER 31ST, 2020

18 -Health Insurance Fund

FINANCIAL SUMMARY

% OF YEAR COMPLETED: 33.33

	CURRENT BUDGET	CURRENT PERIOD	YEAR TO DATE ACTUAL	TOTAL ENCUMBERED	BUDGET BALANCE	% BUDGET REMAINING
<u>REVENUE SUMMARY</u>						
Revenues	<u>950,325</u>	<u>82,637.16</u>	<u>327,666.10</u>	<u>0.00</u>	<u>622,658.90</u>	<u>65.52</u>
TOTAL REVENUES	950,325	82,637.16	327,666.10	0.00	622,658.90	65.52
<u>EXPENDITURE SUMMARY</u>						
Revenues	1,000	85.34	138.26	0.00	861.74	86.17
Expenses	<u>949,325</u>	<u>91,434.30</u>	<u>199,268.34</u>	<u>0.00</u>	<u>750,056.66</u>	<u>79.01</u>
TOTAL EXPENDITURES	950,325	91,519.64	199,406.60	0.00	750,918.40	79.02
REVENUE OVER/(UNDER) EXPENDITURES	0 (	8,882.48)	128,259.50	0.00 (	128,259.50)	0.00

CITY OF NICHOLS HILLS
REVENUE & EXPENSE REPORT (UNAUDITED)
AS OF: OCTOBER 31ST, 2020

18 -Health Insurance Fund

% OF YEAR COMPLETED: 33.33

REVENUES	CURRENT BUDGET	CURRENT PERIOD	YEAR TO DATE ACTUAL	TOTAL ENCUMBERED	BUDGET BALANCE	% BUDGET REMAINING
Revenues						
=====						
<u>Investment Earnings</u>						
18-00-78500 Interest Income	<u>0</u>	<u>1.48</u>	<u>15.09</u>	<u>0.00</u>	(<u>15.09</u>)	<u>0.00</u>
TOTAL Investment Earnings	0	1.48	15.09	0.00	(15.09)	0.00
<u>Fund Balance Carryover</u>						
18-00-79805 Premium Revenue	<u>950,325</u>	<u>82,635.68</u>	<u>327,651.01</u>	<u>0.00</u>	<u>622,673.99</u>	<u>65.52</u>
TOTAL Fund Balance Carryover	<u>950,325</u>	<u>82,635.68</u>	<u>327,651.01</u>	<u>0.00</u>	<u>622,673.99</u>	<u>65.52</u>
TOTAL Revenues	950,325	82,637.16	327,666.10	0.00	622,658.90	65.52
TOTAL REVENUE	950,325	82,637.16	327,666.10	0.00	622,658.90	65.52

AS OF: OCTOBER 31ST, 2020

18 -Health Insurance Fund

% OF YEAR COMPLETED: 33.33

DEPARTMENTAL EXPENDITURES	CURRENT BUDGET	CURRENT PERIOD	YEAR TO DATE ACTUAL	TOTAL ENCUMBERED	BUDGET BALANCE	% BUDGET REMAINING
Revenues						
=====						
<u>Other Services</u>						
18-00-85400 Bank Charges	<u>1,000</u>	<u>85.34</u>	<u>138.26</u>	<u>0.00</u>	<u>861.74</u>	<u>86.17</u>
TOTAL Other Services	1,000	85.34	138.26	0.00	861.74	86.17
TOTAL Revenues	1,000	85.34	138.26	0.00	861.74	86.17
Expenses						
=====						
<u>Personnel Services</u>						
18-13-80510 Premium Expense	197,669	16,015.42	58,196.37	0.00	139,472.63	70.56
18-13-80520 Health Insurance Claims	709,956	71,931.26	134,002.47	0.00	575,953.53	81.13
18-13-80530 Adminstration Cost	<u>41,700</u>	<u>3,487.62</u>	<u>7,069.50</u>	<u>0.00</u>	<u>34,630.50</u>	<u>83.05</u>
TOTAL Personnel Services	949,325	91,434.30	199,268.34	0.00	750,056.66	79.01
TOTAL Expenses	949,325	91,434.30	199,268.34	0.00	750,056.66	79.01
TOTAL EXPENDITURES	950,325	91,519.64	199,406.60	0.00	750,918.40	79.02
REVENUE OVER/(UNDER) EXPENDITURES	0 (	8,882.48)	128,259.50	0.00 (	128,259.50)	0.00

CITY OF NICHOLS HILLS
REVENUE & EXPENSE REPORT (UNAUDITED)
AS OF: OCTOBER 31ST, 2020

80 -General Obligation Bonds

FINANCIAL SUMMARY

% OF YEAR COMPLETED: 33.33

	CURRENT BUDGET	CURRENT PERIOD	YEAR TO DATE ACTUAL	TOTAL ENCUMBERED	BUDGET BALANCE	% BUDGET REMAINING
<u>REVENUE SUMMARY</u>						
Int Earned/Misc Receipts	<u>0</u>	<u>691.91</u>	<u>51,080.57</u>	<u>0.00</u>	(<u>51,080.57</u>)	<u>0.00</u>
TOTAL REVENUES	0	691.91	51,080.57	0.00	(51,080.57)	0.00
<u>EXPENDITURE SUMMARY</u>						
2016 GO Bond	0	52,454.52	182,798.97	680.00	(183,478.97)	0.00
2017 GO Bond	0	3,554.09	116,357.95	0.00	(116,357.95)	0.00
2018 GO Bond	0	35.91	256,370.56	17,446.67	(273,817.23)	0.00
2019 GO Bond	0	101,227.08	274,183.62	196,051.73	(470,235.35)	0.00
2020 GO Bond	<u>0</u>	<u>337,035.36</u>	<u>1,343,976.33</u>	<u>1,576,228.58</u>	(<u>2,920,204.91</u>)	<u>0.00</u>
TOTAL EXPENDITURES	0	494,306.96	2,173,687.43	1,790,406.98	(3,964,094.41)	0.00
REVENUE OVER/(UNDER) EXPENDITURES	0	(493,615.05)	(2,122,606.86)	(1,790,406.98)	3,913,013.84	0.00

CITY OF NICHOLS HILLS
REVENUE & EXPENSE REPORT (UNAUDITED)
AS OF: OCTOBER 31ST, 2020

80 -General Obligation Bonds

% OF YEAR COMPLETED: 33.33

REVENUES	CURRENT BUDGET	CURRENT PERIOD	YEAR TO DATE ACTUAL	TOTAL ENCUMBERED	BUDGET BALANCE	% BUDGET REMAINING
Int Earned/Misc Receipts						
=====						
<u>Intergovernmental</u>						
<u>Investment Earnings</u>						
<u>Miscellaneous Revenue</u>						
80-00-79086 Interest Income 2016 GO Bd	0	27.39	149.53	0.00 (	149.53)	0.00
80-00-79087 Interest Income 2017 GO Bon	0	2.15	38.46	0.00 (	38.46)	0.00
80-00-79088 Interest Income 2018 GO Bon	0	23.29	162.04	0.00 (	162.04)	0.00
80-00-79089 Interest Income 2019 GO Bon	0	57.82	2,780.87	0.00 (	2,780.87)	0.00
80-00-79090 Interest Income 2020 GO Bd	<u>0</u>	<u>581.26</u>	<u>47,949.67</u>	<u>0.00</u> (	<u>47,949.67)</u>	<u>0.00</u>
TOTAL Miscellaneous Revenue	0	691.91	51,080.57	0.00 (	51,080.57)	0.00
<u>Fund Balance Carryover</u>						
TOTAL Int Earned/Misc Receipts	0	691.91	51,080.57	0.00 (	51,080.57)	0.00
TOTAL REVENUE	0	691.91	51,080.57	0.00 (	51,080.57)	0.00

AS OF: OCTOBER 31ST, 2020

80 -General Obligation Bonds

% OF YEAR COMPLETED: 33.33

DEPARTMENTAL EXPENDITURES	CURRENT BUDGET	CURRENT PERIOD	YEAR TO DATE ACTUAL	TOTAL ENCUMBERED	BUDGET BALANCE	% BUDGET REMAINING
---------------------------	-------------------	-------------------	------------------------	---------------------	-------------------	-----------------------

2012 GO Bond

=====

Other ServicesCapital ProjectsTransfers Out

2015 GO Bond

=====

Other ServicesCapital ProjectsTransfers Out

2016 GO Bond

=====

Other Services

80-86-85400 Bank Charges	0	32.56	171.53	0.00 (	171.53)	0.00
TOTAL Other Services	0	32.56	171.53	0.00 (	171.53)	0.00

Capital ProjectsTransfers Out

80-86-99150 Parks	0	52,421.96	170,189.71	0.00 (	170,189.71)	0.00
80-86-99200 Town Hall	0	0.00	9,542.00	0.00 (	9,542.00)	0.00
80-86-99800 Other Expenses Paid from In	0	0.00	2,895.73	680.00 (	3,575.73)	0.00
TOTAL Transfers Out	0	52,421.96	182,627.44	680.00 (	183,307.44)	0.00

TOTAL 2016 GO Bond	0	52,454.52	182,798.97	680.00 (	183,478.97)	0.00
--------------------	---	-----------	------------	----------	-------------	------

2017 GO Bond

=====

Other Services

80-87-85400 Bank Charges	0	11.59	80.33	0.00 (	80.33)	0.00
TOTAL Other Services	0	11.59	80.33	0.00 (	80.33)	0.00

Capital Projects

80-87-96550 Public Works Facility Impro	0	0.00	67,976.69	0.00 (	67,976.69)	0.00
TOTAL Capital Projects	0	0.00	67,976.69	0.00 (	67,976.69)	0.00

AS OF: OCTOBER 31ST, 2020

80 -General Obligation Bonds

% OF YEAR COMPLETED: 33.33

DEPARTMENTAL EXPENDITURES	CURRENT BUDGET	CURRENT PERIOD	YEAR TO DATE ACTUAL	TOTAL ENCUMBERED	BUDGET BALANCE	% BUDGET REMAINING
<u>Transfers Out</u>						
80-87-99450 Communications & Data Syste	0	3,542.50	39,640.88	0.00 (	39,640.88)	0.00
80-87-99800 Other Expenses Paid from In	0	0.00	8,660.05	0.00 (	8,660.05)	0.00
TOTAL Transfers Out	0	3,542.50	48,300.93	0.00 (	48,300.93)	0.00
<u>TOTAL 2017 GO Bond</u>						
TOTAL 2017 GO Bond	0	3,554.09	116,357.95	0.00 (	116,357.95)	0.00
<u>2018 GO Bond</u>						
=====						
<u>Other Services</u>						
80-88-85400 Bank Charges	0	35.91	198.64	0.00 (	198.64)	0.00
TOTAL Other Services	0	35.91	198.64	0.00 (	198.64)	0.00
<u>Capital Projects</u>						
80-88-97550 Paving (Streets) Projects	0	0.00	5,318.10	0.00 (	5,318.10)	0.00
80-88-98550 Water Projects	0	0.00	248,029.03	2,716.00 (	250,745.03)	0.00
TOTAL Capital Projects	0	0.00	253,347.13	2,716.00 (	256,063.13)	0.00
<u>Transfers Out</u>						
80-88-99400 Communications & Data Syste	0	0.00	2,824.79	14,730.67 (	17,555.46)	0.00
TOTAL Transfers Out	0	0.00	2,824.79	14,730.67 (	17,555.46)	0.00
<u>TOTAL 2018 GO Bond</u>						
TOTAL 2018 GO Bond	0	35.91	256,370.56	17,446.67 (	273,817.23)	0.00
<u>2019 GO Bond</u>						
=====						
<u>Other Services</u>						
80-89-85400 Bank Charges	0	57.68	308.35	0.00 (	308.35)	0.00
TOTAL Other Services	0	57.68	308.35	0.00 (	308.35)	0.00
<u>Capital Projects</u>						
80-89-97550 Paving (Streets)	0	0.00	120,739.67	0.00 (	120,739.67)	0.00
80-89-98550 Water Projects	0	100,465.70	143,270.25	196,051.73 (	339,321.98)	0.00
80-89-98750 Sanitary Sewer Systems	0	0.00	9,161.65	0.00 (	9,161.65)	0.00
80-89-98950 Traffic Control Systems	0	703.70	703.70	0.00 (	703.70)	0.00
TOTAL Capital Projects	0	101,169.40	273,875.27	196,051.73 (	469,927.00)	0.00
<u>Transfers Out</u>						
<u>TOTAL 2019 GO Bond</u>						
TOTAL 2019 GO Bond	0	101,227.08	274,183.62	196,051.73 (	470,235.35)	0.00

Attachment: RG REV & EXP W-ENC (ROLL) - 5856 (4889 : 4 h Finance Director's Report)

AS OF: OCTOBER 31ST, 2020

80 -General Obligation Bonds

% OF YEAR COMPLETED: 33.33

DEPARTMENTAL EXPENDITURES	CURRENT BUDGET	CURRENT PERIOD	YEAR TO DATE ACTUAL	TOTAL ENCUMBERED	BUDGET BALANCE	% BUDGET REMAINING
2020 GO Bond						
=====						
<u>Other Services</u>						
80-90-85400 Bank Charges	0	20.51	211.48	0.00 (	211.48)	0.00
TOTAL Other Services	0	20.51	211.48	0.00 (	211.48)	0.00
<u>Capital Projects</u>						
80-90-96550 Public Works Facility	0	0.00	148.00	0.00 (	148.00)	0.00
80-90-97550 Paving (Streets)	0	326,753.64	919,925.47	1,103,474.45 (	2,023,399.92)	0.00
80-90-98550 Water Projects	0	1,971.00	63,704.87	152,227.88 (	215,932.75)	0.00
80-90-98750 Sanitary Sewer System	0	900.00	218,061.57	156,894.75 (	374,956.32)	0.00
80-90-98950 Traffic Controls	0	6,767.14	18,855.11	16,736.50 (	35,591.61)	0.00
TOTAL Capital Projects	0	336,391.78	1,220,695.02	1,429,333.58 (	2,650,028.60)	0.00
<u>Transfers Out</u>						
80-90-99450 Communications & Data Syste	0	0.00	34,850.00	0.00 (	34,850.00)	0.00
80-90-99600 Police	0	0.00	85,796.77	22,000.00 (	107,796.77)	0.00
80-90-99700 Fire	0	0.00	0.00	124,895.00 (	124,895.00)	0.00
80-90-99800 Other Expenses Paid from In	0	623.07	2,423.06	0.00 (	2,423.06)	0.00
TOTAL Transfers Out	0	623.07	123,069.83	146,895.00 (	269,964.83)	0.00
TOTAL 2020 GO Bond	0	337,035.36	1,343,976.33	1,576,228.58 (	2,920,204.91)	0.00
TOTAL EXPENDITURES	0	494,306.96	2,173,687.43	1,790,406.98 (	3,964,094.41)	0.00
REVENUE OVER/(UNDER) EXPENDITURES	0 (	493,615.05)	(2,122,606.86)	(1,790,406.98)	3,913,013.84	0.00

CITY OF NICHOLS HILLS
REVENUE & EXPENSE REPORT (UNAUDITED)
AS OF: OCTOBER 31ST, 2020

4.h.b

99 -Pooled Cash

FINANCIAL SUMMARY

% OF YEAR COMPLETED: 33.33

CURRENT BUDGET	CURRENT PERIOD	YEAR TO DATE ACTUAL	TOTAL ENCUMBERED	BUDGET BALANCE	% BUDGET REMAINING

REVENUE SUMMARY

CITY OF NICHOLS HILLS
REVENUE & EXPENSE REPORT (UNAUDITED)
AS OF: OCTOBER 31ST, 2020

4.h.b

99 -Pooled Cash

% OF YEAR COMPLETED: 33.33

	CURRENT BUDGET	CURRENT PERIOD	YEAR TO DATE ACTUAL	TOTAL ENCUMBERED	BUDGET BALANCE	% BUDGET REMAINING
REVENUES						

**City of Nichols Hills
2016 GO Bond Issue**

AS OF:

10/31/20

Account Number:

Issue Amount 150,000.00
Premium 4,191.94
Net Interest earned & Bank Fees

Prior Years:

Issuance Expense

2015-2016

2016-2017

2017-2018

2018-2019

2019-2020

2020-2021

7/31/2020

8/31/2020

9/30/2020

10/31/2020

PROJECT COST
TOTAL TO DATE:

Available Funds - Prior to encumbrances**ENCUMBRANCES:**

NW Lawn

Available Funds - After encumbrances

Street - Paving Projects	Parks	Town Hall	Communications & Data Systems	Expenses Paid From Interest Earned	TOTAL
80-86-97550	80-86-99150	80-86-99200	80-86-99450	80-86-99800	
150,000.00	1,000,000.00	3,000,000.00	50,000.00		-
4,191.94	27,945.64	83,839.38	1,397.31		4,200,000.00
				59,789.27	117,374.27
					59,789.27
1,664.64	11,097.00	33,293.48	554.88		46,610.00
		420,826.85			420,826.85
95,911.43		2,038,372.60	34,363.01		2,168,647.04
27,981.87	470,483.77	311,524.32	16,479.42	32,741.02	859,210.40
28,634.00	147,009.43	18,029.50	-	6,005.71	199,678.64
-	225,008.60	106,560.38	-	14,630.83	346,199.81
-	170,189.71	9,542.00	-	2,895.73	182,627.44
	43,317.84	2,750.00			46,067.84
	63,640.37				63,640.37
	10,809.54	6,792.00		2,895.73	20,497.27
	52,421.96				52,421.96
154,191.94	1,023,788.51	2,938,149.13	51,397.31	56,273.29	4,223,800.18
(0.00)	4,157.13	145,690.25	(0.00)	3,515.98	153,363.36
		-		680.00	680.00
(0.00)	4,157.13	145,690.25	(0.00)	2,835.98	152,683.36

Interest Earnings:

CASH

205,785.32

CD'S

-

EXPENSE ACCRUED

(52,421.96)

ENCUMBRANCES

(680.00)

152,683.36

Prior Fiscal Years

61,624.46

Current Fiscal Year

149.53

Bank Charges:

Prior Fiscal Years

(1,813.19)

Current Fiscal Year

(171.53)

FUNDS AVAILABLE152,683.36

[illegible]

*May 2020 Communications expense is from General Engineering invoices for whole fiscal year for GIS

City of Nichols Hills

2018 GO Bond Issue

AS OF:

10/31/20

	Street - Paving Projects	Water System	Sanitary Sewer Systems	Communications & Data Systems	Expenses Paid From Interest Earned	TOTAL
Account Number:	80-88-97550	80-88-98550	80-88-98750	80-88-99400	80-88-99800	
Issue Amount	1,150,000.00	1,450,000.00	300,000.00	100,000.00		-
Premium	25,774.38	32,497.74	6,723.75	2,241.25		3,000,000.00
Net Interest earned & Bank Fees					102,965.76	67,237.11
						102,965.76
Prior Years:						
Issuance Expense	17,901.67	22,571.67	4,670.00	1,556.67		46,700.00
2018-2019	61,636.90	401,469.36	188,184.41	-	19,510.00	670,800.67
2019-2020	1,090,917.71	807,343.31	113,869.34	12,444.54	36,420.84	2,060,995.74
2020-2021	5,318.10	248,029.03	-	2,824.79	-	256,171.92
7/31/2020	5,318.10	82,563.33		1,641.02		89,522.45
8/31/2020		60,796.82		1,183.77		61,980.59
9/30/2020		104,668.88				104,668.88
10/31/2020						-
PROJECT COST TOTAL TO DATE:	1,175,774.38	1,479,413.37	306,723.75	16,826.00	55,930.84	3,034,668.33
s - Prior to encumbrances	(0.00)	3,084.37	-	85,415.25	47,034.92	135,534.54
SRB - PO# 17-09277		2,716.00				17,446.67
Monte 17-12080				14,730.67	-	
nds - After encumbrances	(0.00)	368.37	-	70,684.58	47,034.92	118,087.87
CASH		135,534.54			Interest Earnings:	
CD'S		-			Prior Fiscal Years	105,102.47
EXPENSE ACCRUED		(61,980.59)			Current Fiscal Year	162.04
ENCUMBRANCES		(17,446.67)			Bank Charges:	
		<u>56,107.28</u>			Prior Fiscal Years	(2,100.11)
					Current Fiscal Year	(198.64)
					FUNDS AVAILABLE	<u>118,087.87</u>

City of Nichols Hills

2019 GO Bond Issue

AS OF:

10/31/20

[illegible]

**City of Nichols Hills
2020 GO Bond Issue**

AS OF: 10/31/20

	Street - Paving Projects	Water System	Sanitary Sewer Systems	Traffic Control	Technology	Fire	Police	PW Facility	Expenses Paid From Interest Earned	TOTAL
Account Number:	80-90-97550	80-90-98550	80-90-98750	80-90-98950	80-90-99450	80-90-99700	80-90-99600	80-90-96550	80-90-99800	
Issue Amount	3,795,000.00	560,000.00	250,000.00	220,000.00	270,000.00	2,230,000.00	100,000.00	375,000.00		7,800,000.00
Premium	147,329.36	21,740.30	9,705.49	8,540.83	10,481.93	86,572.99	3,882.20	14,558.24		302,811.34
Net Interest earned & Bank Fees									62,884.47	62,884.47
Prior Years:										
Issuance Expense	48,196.50	7,112.00	3,175.00	2,794.00	3,429.00	28,321.00	1,270.00	4,762.50		99,060.00
2019-2020	121,231.08	160,177.66	12,681.00	1,317.37	164,780.00	173,605.00	-	12,688.80	-	646,480.91
2020-2021	919,925.47	63,704.87	218,061.57	18,855.11	34,850.00	-	85,796.77	148.00	2,423.06	1,343,764.85
7/31/2020	220,575.09	47,643.75						148.00		268,366.84
8/31/2020	203,108.46	11,020.62			34,850.00		78,724.51			327,703.59
9/30/2020	169,158.28	3,069.50	217,161.57	12,087.97			7,072.26		1,799.99	410,349.57
10/31/2020	327,083.64	1,971.00	900.00	6,767.14					623.07	337,344.85
PROJECT COST	1,089,353.05	230,994.53	233,917.57	22,966.48	203,059.00	201,926.00	87,066.77	17,599.30	2,423.06	2,089,305.76
TOTAL TO DATE:										
Available Funds - Prior to encumbrances	2,852,976.31	350,745.77	25,787.92	205,574.35	77,422.93	2,114,646.99	16,815.43	371,958.94	60,461.41	6,076,390.05
Due to Pre-Eng & Design										
ENCUMBRANCES:										
PC 2001 Devonshire SRB PO 17-11976	17,396.54									
PC-2001 Devonshire Crossland PO 17-13227	676,031.04	32,130.00								
PC 2001 Kingsbury SRB PO 17-13595	20,011.20									
PC-2102 Bedford SRB PO 17-14141	50,604.37									
PC-2101 7300 Nichols Rd SRB PO 17-14142	7,231.84									
PC-2101 7100 Nichols Road SRB PO 17-14143	8,458.67									
PC-2101 Buttram SRB PO 17-14144	32,182.27									
WC-2001 Greystone Tower SRB 17-13756		4,450.00								
WW-2001 Well #13 SRB 17-13888		80,937.50								
PC 2001 Kingsbury Crossland PO 17-13227	61,497.02	32,975.63								
OK Contractors PO 17-14092		116.00								
OK Contractors PO 17-14426		-	835.00							
PC 2002 Glenwood Ave SRB PO 17-12296	21,672.00									
PC 2002 Drury Ln SRB PO 17-12297	29,120.00									
PC 2002 N Penn SRB PO 17-12298	63,130.00									
Luther Sign Company PO #17-14066				545.00						
Pelco Products PO #17-14087				16,191.50						
Metro Emergency Up Outfit PO #17-14011							16,815.43			
United Technology Water Meters PO# 17-13157		1,618.75								
SC-2001 City Wide San Sewer SRB PO 17-13001			231.75							
FSB - Fire & City Hall FSB PO#17-12937						124,895.00				
Available Funds - After encumbrances	1,865,641.36	198,517.89	24,721.17	188,837.85	77,422.93	1,989,751.99	(0.00)	371,958.94	60,461.41	4,777,313.54

CASH	338,734.90
CD'S	6,075,000.00
EXPENSE ACCRUED	(337,344.85)
ENCUMBRANCES	(1,299,076.51)
	<u>4,777,313.54</u>

Interest Earnings:	
Prior Fiscal Years	15,708.48
Current Fiscal Year	47,949.67
Bank Charges:	
Prior Fiscal Years	(562.20)
Current Fiscal Year	(211.48)
FUNDS AVAILABLE	<u>4,777,313.54</u>

Monthly Report – October 2020



Information Systems

City of Nichols Hills



Monthly Report – October 2020

To follow is a summary of activities in the Information Systems Department during the month.

Virus Activities:

Top viruses for the month of October that were blocked by security systems.

Top Virus by Month

Top Virus by Month			
Month	Virus Name	Mail High Level Events	% of Subtotal
Oct	VBA/Agent.AVL!tr	6	66.67%
	MSOffice/Emotet.AVL!tr	1	11.11%
	VBA/Agent.SNH!tr.dldr	1	11.11%
	MSOffice/Emotet.DGK!tr	1	11.11%
Subtotal (4)		9	100.00%
Total		9	100%

Email Firewall Activities for the Month:

Mail statistics for October, 58,779 email messages received by our email system, 32% were accepted as legitimate emails.

Mail Category by Month

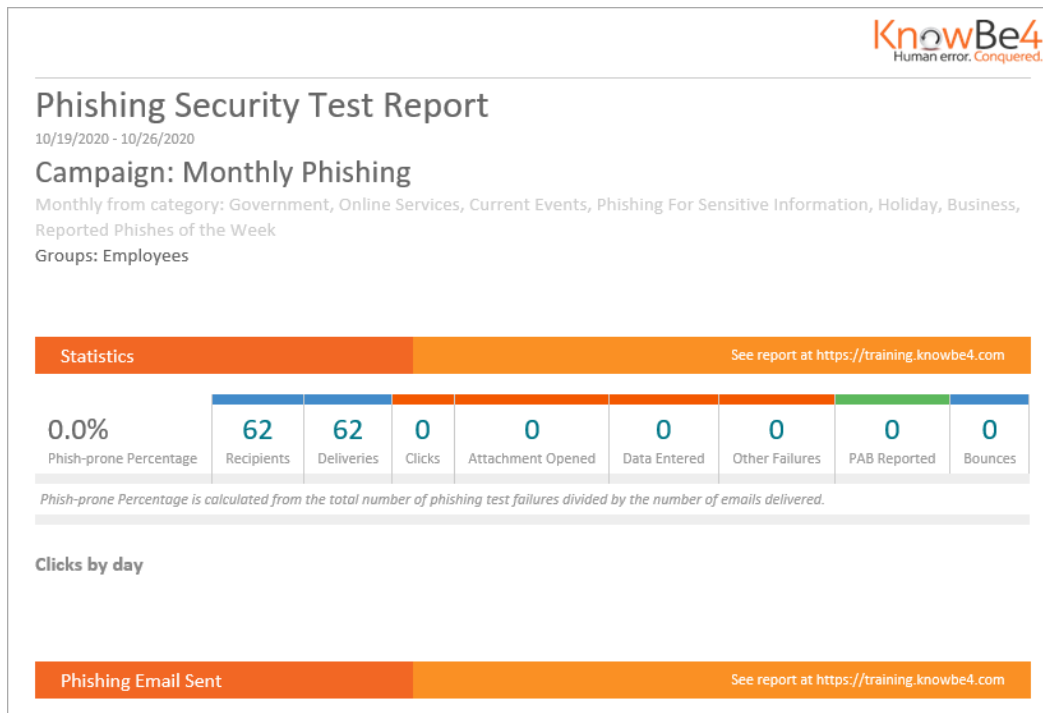
Mail Category by Month			
Month	Categories	Mail Filtering Events	% of Subtotal
Oct	Not Spam	18622	31.70%
	Spam	40120	68.29%
	Virus	4	0.01%
	Subtotal (3)	58746	99.94%
Nov	Not Spam	3	9.09%
	Spam	30	90.91%
	Subtotal (2)	33	0.06%
Total		58779	100%



Monthly Report – October 2020

Cyber Security Activities – Monthly Email Phishing Tests:

We conducted a monthly employee email phishing test with no employees being tricked into clicking on test emails. This is the fourth month in a row that employees have scored 100% accuracy in not falling for phishing emails.



Information Systems Manager:

The Information Systems Manager will be out of the office for several weeks on Medical Leave. Scott Johnston will be available to take over the general duties of operating the department.

General Department Activities:

1. Installed a new Verdin Carillon Audio system for City Hall.
2. Added additional wireless access points to City Hall.
3. Reconfigured network settings on network devices.
4. Broadcast and recorded the Special City Council Meeting.
5. Implemented new Malicious Domain Blocking and Reporting services through MS-ISAC for the City's network.
6. Researched adding a new server for pre-existing software on the City's network.



Monthly Report – October 2020

7. Implemented new Anti-Virus software for all City workstations, laptops, and servers.
8. Upgraded the City's wireless network to the newest version.
9. Attended the InfraGard Warfare Summit virtual conference.
10. Added new employee to Public Works copier Scan-to-Email address list.
11. Converted document format for Police Chief.
12. Sent out additional phishing emails to test all City employees.
13. Assisted Police Detective with the playing of a surveillance video.
14. Updated the Events tab in the City App with information about Community Day.
15. Added Community Day notice to City website.
16. Reset alarm at Public Works power panel located in the server room.
17. Ran new cable at Public Works conference room for touch screen TV.
18. Presented new employee orientation for Public Works new employee.
19. Researched issue with Police training website not displaying videos correctly.
20. Upgraded additional security software for City servers.
21. Ran Pre-City Council test events on City's broadcast systems.
22. Broadcast and recorded the City Council meeting.
23. Troubleshoot Assistant Police Chief issue with the phone software.
24. Prepared more outdated computer equipment for surplus.
25. Assisted Public Works employee with cell phone swap.
26. Added critical update servers to the City's firewall whitelist.
27. Uploaded City Council meeting to on-demand website.
28. Updated all City workstations and servers with critical and important updates.
29. Purchased new printer for Assistant Finance Director.
30. Worked with Support to troubleshoot software issues with new servers.
31. Attended meeting with a new Anti-Virus software vendor to discuss new configuration sets for City workstations.
32. Tested Teleconference software for upcoming Building Commission meeting.
33. Scheduled Teleconference meeting for the Building Commission meeting.
34. Attended a meeting with a new representative for the City's Cyber Security training program to discuss new security techniques.
35. Assisted Police Chief with photos not displaying with the correct software.
36. Assisted Building Commission member with an email password reset.
37. Update City Hall and Public Works camera systems.
38. Sent out Teleconference forms to attendees.
39. Assisted Police Department with new software key for Unit 42 LPR software.
40. Removed and erased all data of outdated server equipment and prepared for surplus.
41. Broadcast and recorded the Building Commission meeting.
42. Mediator for Teleconference for the Building Commission meeting.
43. Successfully deployed new server support software at both City Hall and Public Works.
44. Assisted Public Works Clerk with scanner issues.
45. Assisted Assistant Fire Chief with training room equipment.
46. Replaced security camera TV in Dispatch.
47. Updated all Staff laptops with new Anti-Virus software.
48. Installed new printer for Assistant Finance Director.
49. Opened support ticket with ISP after loss of commercial power at Public Works.
50. Updated Ice Storm information on the City Website per City Manager.



Monthly Report – October 2020

51. Assisted Public Works employees with a password reset on privileged access software.



October 31, 2020

Honorable Mayor and City Council
City of Nichols Hills
6407 Avondale Drive
Nichols Hills, Oklahoma 73116

RE: Monthly Engineer's Report

Dear Mayor and City Council:

Following is the Engineer's Report for November 10, 2020 Council Meeting.

2017 G.O. Bond Issue:

- **Water Well #10 (WW-1703)**

Contractor:	Layne Christensen Co.	Original Contract Amount:	\$719,527.00
Start Date:	October 14, 2018	Amended Contract Amount:	\$717,524.00
Award Date:	September 5, 2019	Claims to Date Less Ret.:	\$717,524.00
Project Duration:	90 Calendar Days	Amount Remaining:	\$0.00
Days Used:	90	Percent Complete:	100%

Project complete. Final claim and amendment on this month's agenda

2018 G.O. Bond Issue:

- **Re-drill of Water Well #17 (WW-1804)**

Contractor:	Layne Christensen Co.	Original Contract Amount:	\$848,678.90
Start Date:	January 20, 2020	Amended Contract Amount:	\$860,803.90
Award Date:	December 19, 2019	Claims to Date Less Ret.:	\$751,170.65
Project Duration:	90 Calendar Days	Amount Remaining:	\$109,633.25
Days Used:	90	Percent Complete:	90%

2019 G.O. Bond Issue:

- **12" Water Line replacement along Bedford Drive from Grand Blvd. to Western Ave. (WC-1902)**
On Agenda for acceptance of bids.
- **12" Water Line replacement along Wilshire Blvd. from Stratford to N. Pennsylvania (WC-1903)**
On Agenda for acceptance of bids.

2020 G.O. Bond Issue:

- **Paving Improvements to N. Pennsylvania Ave., the 1600 Block of Drury Lane and the 1200 Block of Glenwood Ave (PC-2002)**
On Agenda for acceptance of bids.
- **Paving Improvements to the 1800 Block of Devonshire Blvd. and the 1700 Block of Kingsbury (PC-2001)**

Contractor:	Crosslands Heavy, Inc.	Original Contract Amount:	\$1,619,763.46
Start Date:	May 11, 2020	Amended Contract Amount:	\$1,665,558.88
Award Date:	April 14, 2020	Claims to Date Less Ret.:	\$862,925.19
Project Duration:	224 Calendar Days	Amount Remaining:	\$802,633.69
Days Used:	133	Percent Complete:	55%
- **Public Works Improvements**
Project scoping underway.
- **Greystone Water Tower Blowoff Line (WC-2001)**
On Agenda for acceptance of bids.

Sincerely,

Smith Roberts Baldischwiler, LLC


Grady J. Wade, P.E.

GJW
Cc: File 116000

Attachment: 10-31 Engrpt (4891 : 4j Engineer's Report)

FUND: General Fund

5.a.a

DEPARTMENT	VENDOR NAME	GL ACCOUNT	ACCOUNT DESCRIPTION	DESCRIPTION	AMOUNT	
NON-DEPARTMENTAL	MISC VENDOR	RUCKER MECHA	00-72050	Building Permits	RUCKER MECHANICAL INC:	84.50
	OKLAHOMA UNIFORM BUILDING CO		00-32600	Uniform Building Cod	PERMIT FEES OCTOBER 20	108.00
	OKLAHOMA CITY MEMORIAL MARAT		00-34300	Bonds held in reserv	OKLAHOMA CITY MEMORIAL MAR	900.00-
			00-34300	Bonds held in reserv	USE OF POLES 2020 EVENT	6,900.00
					TOTAL:	6,192.50
Administration	VERIZON WIRELESS		02-84700	Telephone	MONTHLY CHARGES	200.61
	LOGIX COMMUNICATIONS		02-84700	Telephone	MONTHLY CHARGES SEPT 20	79.84
	GRANICUS		02-84400	Software Agreements	AGENDA SOFTWARE OCT 20	385.87
	VISA		02-84000	Equipment Maintenanc	PRINTER, CARTRIDGE & WAR	258.90
			02-84000	Equipment Maintenanc	PRINTER, CARTRIDGE & WAR	29.99
			02-84000	Equipment Maintenanc	PRINTER, CARTRIDGE & WAR	105.89
	VISA		02-84000	Equipment Maintenanc	IPAD KEYBOARD FOR CM	43.38
			02-84300	Training & Membershi	PATE OK BAR ASSOC DUES	275.00
	AT&T 831-001-0000 521		02-84700	Telephone	MONTHLY CHARGES	82.97
					TOTAL:	1,462.45
City Attorney	WILLIAMS BOX FORSHEE & BULLA		04-87100	Legal Services	LEGAL SERVICES	17,208.50
					TOTAL:	17,208.50
Municipal Court	LOGIX COMMUNICATIONS		05-84700	Telephone	MONTHLY CHARGES SEPT 20	79.84
	AT&T 831-001-0000 521		05-84700	Telephone	MONTHLY CHARGES	82.97
					TOTAL:	162.81
Police Department	REYNOLDS FORD		06-84100	Vehicle Maintenance	OIL CHANGE UNIT 43	52.45
	VERIZON WIRELESS		06-84700	Telephone	MONTHLY CHARGES	384.81
	LOGIX COMMUNICATIONS		06-84700	Telephone	MONTHLY CHARGES SEPT 20	319.39
	SHEPHERD AUTOMOTIVE LLC		06-84100	Vehicle Maintenance	VEHICLE REPAIRS	21.00
			06-84100	Vehicle Maintenance	VEHICLE REPAIRS	67.45
			06-84100	Vehicle Maintenance	VEHICLE REPAIRS	67.45
			06-84100	Vehicle Maintenance	VEHICLE REPAIRS	67.45
			06-84100	Vehicle Maintenance	VEHICLE REPAIRS	67.45
			06-84100	Vehicle Maintenance	VEHICLE REPAIRS	67.45
			06-84100	Vehicle Maintenance	VEHICLE REPAIRS	67.45
			06-84100	Vehicle Maintenance	VEHICLE REPAIRS	67.45
			06-84100	Vehicle Maintenance	VEHICLE REPAIRS	102.90
	US FLEET TRACKING		06-84100	Vehicle Maintenance	GPS TRACKING UNITS 48 49	559.10
	VISA		06-84000	Equipment Maintenanc	CASE FOR SOUND METER	22.99
			06-84100	Vehicle Maintenance	CAR WASH UNIT 43	19.99
			06-84100	Vehicle Maintenance	CAR WASH	6.00
			06-83000	Material & Supplies	PRINTER INK	71.63
			06-84000	Equipment Maintenanc	DETECTIVE CAMERA	439.00
	TRANSUNION RISK AND ALTERNAT		06-84000	Equipment Maintenanc	ACCESS TO DATABASE	100.00
	VISA		06-83000	Material & Supplies	EMPLOYEE PHOTO WALDROP	40.00
			06-83000	Material & Supplies	JONES NOTARY	77.50
	PETROLEUM TRADERS CORPORATIO		06-84900	Fuel	UNLEADED FUEL	1,681.00
	PET FRIENDS INC		06-85300	Animal Control	ANIMAL BOARDING	60.30
	CINTAS CORP. #064		06-81100	Uniform Allowance	PW UNIFORMS OCT 2020	52.84
	ASPEN BUILDING SERVICES OF O		06-85000	Janitorial Services	CLEANING SERVICES	1,262.50
	AT&T 287288038708		06-84700	Telephone	FIRST NET POLICE	379.65
	ANIMAL WELFARE DIVISION		06-85300	Animal Control	SEPTEMBER BOARDING	20.00
	PETTY CASH		06-83000	Material & Supplies	OCTOBER RECEIPTS	14.48
	AT&T 405 843-5672 126 1		06-84700	Telephone	MONTHLY CHARGES	215.90
	CLASSIC PRINTING INC		06-83000	Material & Supplies	CASE MANAGEMENT JACKET	135.00
	AT&T 831-001-0000 521		06-84700	Telephone	MONTHLY CHARGES	331.88

Attachment: Claims List 10 31 20 (4893 : 5 Total Warrants & Claims)

FUND: General Fund

5.a.a

DEPARTMENT	VENDOR NAME	GL ACCOUNT	ACCOUNT DESCRIPTION	DESCRIPTION	AMOUNT
	DPS	06-84000	Equipment Maintenance	OLETS FEES	350.00
	SAINTS OCCUPATIONAL HEALTH	06-81200	Medical Exams	DRUG SCREEN	48.00
	ONG	06-84800	Utilities	MONTHLY CHARGES	35.57
	RED CARPET	06-84100	Vehicle Maintenance	CAR WASH TICKETS	125.00
				TOTAL:	7,333.58
Fire Department	TRACE ANALYTICS INC	07-84000	Equipment Maintenance	SCBA AIR ANALYSIS	104.00
	VALVOLINE OIL CHANGE	07-84100	Vehicle Maintenance	C-34 OIL CHANGE	51.27
	WAL-MART #9502	07-83000	Material & Supplies	STATION SUPPLIES	260.03
		07-83000	Material & Supplies	STATION SUPPLIES	228.46
	WESTLAKE HARDWARE	07-83000	Material & Supplies	STATION SUPPLIES	42.98
	EMSA	07-85200	EMSA Subsidy	SUBSIDY PAYMENT OCT 2020	2,506.68
	VERIZON WIRELESS	07-84700	Telephone	MONTHLY CHARGES	192.07
	LOGIX COMMUNICATIONS	07-84700	Telephone	MONTHLY CHARGES SEPT 20	159.69
	FIREWERKS FIRE APPARATUS REP	07-84100	Vehicle Maintenance	CAB LATCH BUSHING KITS	82.82
	VISA	07-83000	Material & Supplies	STATION SUPPLIES	29.72
	PETROLEUM TRADERS CORPORATIO	07-84900	Fuel	UNLEADED FUEL	335.89
		07-84900	Fuel	DIESEL FUEL	185.28
	NATHANEAL CROWNOVER	07-84300	Training & Membershi	TC: CAR SEAT TECH RECERT	55.00
	ESO SOLUTIONS INC	07-86850	Software Maintenance	FH CLOUD/FH INSPECTOR	2,110.47
	AT&T 287288038669	07-84700	Telephone	FIRST NET FIRE	179.60
	PETTY CASH	07-84000	Equipment Maintenance	OCTOBER RECEIPTS	4.70
	BEARCOM	07-84000	Equipment Maintenance	RADIO REPAIR	862.29
	AT&T 405 843-5672 126 1	07-84700	Telephone	MONTHLY CHARGES	215.89
	AT&T 831-001-0000 521	07-84700	Telephone	MONTHLY CHARGES	165.94
	INTEGRIS HEALTH	07-81200	Medical Exams	ANNUAL PHYSICAL	500.00
	NFPA	07-84300	Training & Membershi	FIRE PREVENTION SUPPLIES	659.95
	ONG	07-84800	Utilities	MONTHLY CHARGES	35.58
				TOTAL:	8,968.31
City Engineer	SMITH ROBERTS BALDISCHWILER	08-86075	Engineering Fees	GENERAL ENGINEERING	4,907.41
				TOTAL:	4,907.41
Street Department	TRAVIS VOICE & DATA	09-84700	Telephone	MONTHLY CHARGES	24.50
	WESTLAKE HARDWARE	09-83000	Material & Supplies	WESTLAKE HARDWARE	1.39-
	VERIZON WIRELESS	09-84700	Telephone	MONTHLY CHARGES	82.58
	US FLEET TRACKING	09-84100	Vehicle Maintenance	PW GPS TRACKING	89.85
	PETROLEUM TRADERS CORPORATIO	09-84900	Fuel	UNLEADED FUEL	316.29
		09-84900	Fuel	DIESEL FUEL	138.94
	CINTAS CORP. #064	09-81100	Uniform Allowance	PW UNIFORMS OCT 2020	221.24
	SOUTH CENTRAL INDUSTRIES INC	09-83500	Safety Supplies	PAPER PRODUCTS	11.98
	CRAFCO INC	09-83000	Material & Supplies	COLD PATCH	176.00
	HOME DEPOT	09-83000	Material & Supplies	STREET MAINT SUPPLIE	56.74
	OG&E	09-85500	Street Lighting	MONTHLY CHARGES	6,195.19
		09-85500	Street Lighting	MONTHLY CHARGES	26.90
		09-85500	Street Lighting	MONTHLY CHARGES	27.82
		09-85500	Street Lighting	MONTHLY CHARGES	27.38
		09-85500	Street Lighting	MONTHLY CHARGES	26.45
		09-85500	Street Lighting	MONTHLY CHARGES	26.45
		09-85500	Street Lighting	MONTHLY CHARGES	43.92
		09-85500	Street Lighting	MONTHLY CHARGES	30.79
	ONG	09-84800	Utilities	MONTHLY CHARGES	23.63
	OREILLY AUTOMOTIVE STORES IN	09-83000	Material & Supplies	CHAINSAW GAS	17.94
				TOTAL:	7,563.20

Attachment: Claims List 10 31 20 (4893 : 5 Total Warrants & Claims)

FUND: General Fund

5.a.a

DEPARTMENT	VENDOR NAME	GL ACCOUNT	ACCOUNT DESCRIPTION	DESCRIPTION	AMOUNT
Sanitation	CITY OF MIDWEST CITY	10-85800	Landfill Disposal	HAZARDOUS WASTE SEPT 2020	250.00
	US FLEET TRACKING	10-84100	Vehicle Maintenance	GPS TRACKING	119.80
	BRENNTAG SOUTHWEST	10-84100	Vehicle Maintenance	DEF FLUID	597.30
	GOODYEAR TIRE & RUBBER COMPA	10-84100	Vehicle Maintenance	TIRE REPAIR	87.86
	COMMERCIAL LUBRICATORS INC	10-84100	Vehicle Maintenance	HYDRAULIC FLUID	400.81
	PETROLEUM TRADERS CORPORATIO	10-84900	Fuel	UNLEADED FUEL	182.43
		10-84900	Fuel	DIESEL FUEL	1,094.38
	CINTAS CORP. #064	10-81100	Uniform Allowance	PW UNIFORMS OCT 2020	585.49
	SOUTH CENTRAL INDUSTRIES INC	10-83500	Safety Supplies	PAPER PRODUCTS	11.98
	NORTHERN TOOL & EQUIPMENT CO	10-84000	Equipment Maintenanc	10 TIRES AND 1 TRUCK	279.89
	OCCUPATIONAL HEALTH CENTERS	10-81200	Medical Exams	DRUG SCREEN/PHYSICAL	213.00
	GELLCO CLOTHING & SHOES INC	10-83500	Safety Supplies	SHOES	130.00
	REPUBLIC SERVICES	10-85800	Landfill Disposal	COMMERCIAL GARBAGE	64.07
		10-85825	Commercial Garbage D	COMMERCIAL GARBAGE	8,065.43
	INTERSTATE BATTERY SUPPLY	10-84100	Vehicle Maintenance	BATTERIES	132.95
	SOUTHEAST LANDFILL	10-85800	Landfill Disposal	OCTOBER 2020	3,317.78
	ONG	10-84800	Utilities	MONTHLY CHARGES	23.63
			TOTAL:		15,556.80
Parks Department	CINTAS CORP. #064	11-81100	Uniform Allowance	PW UNIFORMS OCT 2020	225.21
	SOUTH CENTRAL INDUSTRIES INC	11-83500	Safety Supplies	PAPER PRODUCTS	11.98
	GELLCO CLOTHING & SHOES INC	11-83500	Safety Supplies	WORK BOOTS	130.00
	IRRIGATION STATION	11-85700	Parks Maintenance	SUPPLIES FOR PARKS	7.11
		11-85700	Parks Maintenance	SUPPLIES FOR PARKS	14.05
	NORTHWEST LAWN MAINTENANCE I	11-85900	Park Maintenance Con	PARKS MAINTENANCE	10,602.50
		11-85700	Parks Maintenance	SsHAMROCK	179.80
	PET PICK UPS	11-85700	Parks Maintenance	PET PICKUPS MITTS	763.49
			TOTAL:		11,934.14
Public Works Admin	TRAVIS VOICE & DATA	12-84700	Telephone	MONTHLY CHARGES	65.32
	VERIZON WIRELESS	12-84700	Telephone	MONTHLY CHARGES	122.59
	A-1 NATIONAL FIRE CO.	12-84000	Equipment Maintenanc	FIRE EXTINGUISHER RENEWAL	540.00
	VISA	12-84000	Equipment Maintenanc	GATE MAINTENANCE	300.00
		12-84000	Equipment Maintenanc	GATE PARTS	26.00
	PETROLEUM TRADERS CORPORATIO	12-84900	Fuel	UNLEADED FUEL	503.34
	SOUTH CENTRAL INDUSTRIES INC	12-83000	Material & Supplies	PAPER PRODUCTS	11.98
	SHOCKLEY'S HEAT AND AIR	12-84200	Building Maintenance	HEATING MOTOR	1,394.00
	ASPEN BUILDING SERVICES OF O	12-85000	Janitorial Services	CLEANING SERVICES	745.00
	OFFICE DEPOT 35315277	12-83200	Office Supplies	OFFICE SUPPLIES	213.99
		12-83200	Office Supplies	OFFICE SUPPLIES	29.99
	ONG	12-84800	Utilities	MONTHLY CHARGES	23.63
			TOTAL:		3,975.84
General Government	TRIANGLE A & E INC	13-86300	Publications	SIGNS	151.35
	US POSTAL SERVICE NEOPOST	13-86900	Postage	POSTAGE	1,000.00
	RITE WAY SHREDDING	13-87000	Misc. Expenses	SHREDDING OCTOBER 2020	62.00
	LOGIX COMMUNICATIONS	13-84700	Telephone	MONTHLY CHARGES SEPT 20	39.92
	VISA	13-83000	Material & Supplies	SUPPLIES	355.96
		13-83000	Material & Supplies	AMAZON	170.08
	PR FITNESS EQUIPMENT INC	13-87000	Misc. Expenses	ROW MACHINE	995.00
	TERMINIX PROCESSING CENTER	13-84200	Building Maintenance	PEST CONTROL	35.00
		13-84200	Building Maintenance	PEST CONTROL	207.00
		13-84200	Building Maintenance	PEST CONTROL	84.00
	WASHINGTON PRIME	13-87000	Misc. Expenses	COMMUNITY DAY EVENT	12,263.18

Attachment: Claims List 10 31 20 (4893 : 5 Total Warrants & Claims)

FUND: General Fund

5.a.a

DEPARTMENT	VENDOR NAME	GL ACCOUNT	ACCOUNT DESCRIPTION	DESCRIPTION	AMOUNT
	AT&T 405 848 3475 542 8	13-84700	Telephone	MONTHLY CHARGES	184.51
	SHOCKLEY'S HEAT AND AIR	13-84200	Building Maintenance	DIAGNOSE OF CH FURNACE	89.00
	ASPEN BUILDING SERVICES OF O	13-85000	Janitorial Services	CLEANING SERVICES	1,547.50
	VISA	13-83000	Material & Supplies	2020 W-2'S AND 1099-NEC'S	353.61
	PETTY CASH	13-87000	Misc. Expenses	5 YRS SERVICE AWARD COLBER	100.00
	VISA	13-83000	Material & Supplies	BIRTHDAY CAKE	38.01
		13-83000	Material & Supplies	WATER GLASSES FOR MEETING	64.88
	SCHINDLER ELEVATOR CORPORATI	13-84200	Building Maintenance	INSTALLATION-AHEAD CORE	1,500.00
	OCD SPECIALISTS LLC	13-87000	Misc. Expenses	DISINFECTION	1,420.00
		13-87000	Misc. Expenses	TESTING & SPRAYING	700.00
	AT&T 831-001-0000 521	13-84700	Telephone	MONTHLY CHARGES	41.49
	FINLEY & COOK CERTIFIED PUBL	13-86400	Auditing Fees	FINANCIAL STATEMENT AUDIT	6,000.00
	NORTHWEST OKLAHOMA CITY CHAM	13-84300	Training & Membershi	MEMBERSHIP DUES	860.00
	ACE'S THREE PLUMBING INC	13-84200	Building Maintenance	WATER HEATER/SHOWER	1,051.84
	DAVID STOOPS ELECTRIC	13-87000	Misc. Expenses	LIGHT INSTALLATION	2,727.60
		13-87000	Misc. Expenses	POWER OUTAGE	92.00
		13-87000	Misc. Expenses	INSPECTION	92.00
		13-87000	Misc. Expenses	LABOR BREAKER	92.00
	EUREKA WATER CO	13-83000	Material & Supplies	TANK RENTALS	17.50
		13-83000	Material & Supplies	5 GAL WATER	93.60
		13-83000	Material & Supplies	5 GAL WATER	100.60
		13-83000	Material & Supplies	5 GAL WATER	72.20
	FRIDAY	13-87000	Misc. Expenses	NICHOLS HILLS MOBILE APP	1,040.00
		13-86300	Publications	NOTICES AND PUBLICATIONS	133.10
		13-86300	Publications	NOTICES AND PUBLICATIONS	83.60
		13-86300	Publications	NOTICES AND PUBLICATIONS	48.15
		13-86300	Publications	NOTICES AND PUBLICATIONS	47.35
	JOURNAL RECORD PUBLISHING CO	13-86300	Publications	NOTICES AND PUBLICATIONS	55.65
		13-86300	Publications	NOTICES AND PUBLICATIONS	54.26
		13-86300	Publications	NOTICES AND PUBLICATIONS	57.04
		13-86300	Publications	NOTICES AND PUBLICATIONS	125.04
		13-86300	Publications	NOTICES AND PUBLICATIONS	103.02
		13-86300	Publications	NOTICES AND PUBLICATIONS	101.12
	OFFICE DEPOT 35315277	13-83000	Material & Supplies	OFFICE SUPPLIES	194.94
	OG&E	13-84800	Utilities	MONTHLY CHARGES	1,798.42
	OMAG	13-86100	Liability Insurance/	CYBER LIABILITY	3,250.00
		13-86100	Liability Insurance/	POLLUTION POLICY	630.00
	ONG	13-84800	Utilities	MONTHLY CHARGES	35.58
			TOTAL:		40,359.10
Code Department	TRAVIS VOICE & DATA	14-84700	Telephone	MONTHLY CHARGES	48.99
	AT&T 873114971	14-84700	Telephone	MONTHLY CHARGES	203.17
	VERIZON WIRELESS	14-84700	Telephone	MONTHLY CHARGES	121.31
	US FLEET TRACKING	14-84100	Vehicle Maintenance	PW GPS TRACKING	89.85
	PETROLEUM TRADERS CORPORATIO	14-84900	Fuel	UNLEADED FUEL	93.02
	CINTAS CORP. #064	14-81100	Uniform Rental	PW UNIFORMS OCT 2020	32.84
	FIRE PROTECTION CONSULTING I	14-88850	Life and Safety	FIRE ALARM PLAN REVIEW	157.50
	HIBDON TIRES PLUS	14-84100	Vehicle Maintenance	TIRE FOR CODE	61.35
	ONG	14-84800	Utilities	MONTHLY CHARGES	23.63
			TOTAL:		831.66
Risk Manager	VERIZON WIRELESS	15-84700	Telephone	MONTHLY CHARGES	41.29
	LOGIX COMMUNICATIONS	15-84700	Telephone	MONTHLY CHARGES SEPT 20	39.92
	VISA	15-83000	Material & Supplies	AMAZON	308.39

Attachment: Claims List 10 31 20 (4893 : 5 Total Warrants & Claims)

FUND: General Fund

5.a.a

DEPARTMENT	VENDOR NAME	GL ACCOUNT	ACCOUNT DESCRIPTION	DESCRIPTION	AMOUNT
		15-83000	Material & Supplies	SAFETY AWARDS	572.83
		15-84300	Training & Membershi	NSC-MEMBERSHIP	495.00
		15-83000	Material & Supplies	FOREIGN TRANS FEE	7.59
		15-83000	Material & Supplies	FLASHLIGHTS	379.80
		15-84300	Training & Membershi	WALMART	3.76
		15-84300	Training & Membershi	THE DONUT PALACE	21.80
		15-83000	Material & Supplies	SHORT PAID AMAZON ORDER	6.10
	PETROLEUM TRADERS CORPORATIO	15-84900	Fuel	UNLEADED FUEL	78.42
	AT&T 831-001-0000 521	15-84700	Telephone	MONTHLY CHARGES	41.49
				TOTAL:	1,996.39
Information Systems Mg	COX COMMUNICATIONS	16-84600	Lease/Rental	PW INTERNET	287.94
		16-84600	Lease/Rental	INTERNET CITY HALL	539.99
	VERIZON WIRELESS	16-84700	Telephone	MONTHLY CHARGES	249.39
	LOGIX COMMUNICATIONS	16-84700	Telephone	MONTHLY CHARGES SEPT 20	39.92
	VISA	16-84000	Equipment Maintenanc	NOVA BACKUP SERVER	99.98
		16-84000	Equipment Maintenanc	RETURNED CABLES & KITS	36.51-
	VISA	16-84300	Training & Membershi	BANK CHRG VICTORIA FORMS	40.64
		16-84300	Training & Membershi	RENEWAL VF CREATOR	2,032.00
	BEYOND TRUST CORPORATION	16-84000	Equipment Maintenanc	BEYOND TRUST SUPPORT	2,539.70
	AT&T 831-001-0000 521	16-84700	Telephone	MONTHLY CHARGES	41.49
				TOTAL:	5,834.54

FUND: Designated Funds-Pol

5.a.a

DEPARTMENT	VENDOR NAME	GL ACCOUNT	ACCOUNT DESCRIPTION	DESCRIPTION	AMOUNT
Police Department	TROCHTAS FLOWERS INC	06-83000	Material & Supplies	FLOWERS TIM KINMAN	102.00
				TOTAL:	102.00

FUND: Municipal Authority

5.a.a

DEPARTMENT	VENDOR NAME	GL ACCOUNT	ACCOUNT DESCRIPTION	DESCRIPTION	AMOUNT
NON-DEPARTMENTAL	MISC VENDOR	HEINRICHS, J 00-34150	Utility Refunds	01-00029-20	189.66
		FERNANDEZ, T 00-34150	Utility Refunds	01-00048-17	49.99
		MORGAN, MELI 00-34150	Utility Refunds	01-00078-16	44.03
	TYLER TECHNOLOGIES INC	00-34500	Due to Tyler Tech (C	INSITE TRANSACTION FEES	776.25
				TOTAL:	1,059.93
Municipal Authority	TRAVIS VOICE & DATA	12-84700	Telephone	MONTHLY CHARGES	24.50
	WESTLAKE HARDWARE	12-84500	Well Maintenance	BLANKET PO	60.54
		12-84500	Well Maintenance	BLANKET PO	26.12
	EASTON SOD FARMS INC	12-83000	Materials & Supplies	SOD	98.00
	CENTRAL POWER EQUIPMENT INC	12-83000	Materials & Supplies	CHAINSAW	487.99
	TPSI	12-84950	Printing & Processin	UTILITY LATE NOTICES	110.26
		12-84950	Printing & Processin	UTILITY INVOICES	1,059.97
	ACCURATE ENVIRONMENT	12-84550	Water Quality Testin	WELLS SAMPLING	100.00
		12-84550	Water Quality Testin	WATER QUALITY TESTING	1,985.00
		12-84500	Well Maintenance	CHEMICALS FOR WELLS	95.79
		12-84500	Well Maintenance	CHEMICALS FOR WELLS	173.48
	VERIZON WIRELESS	12-84700	Telephone	MONTHLY CHARGES	688.50
	LOGIX COMMUNICATIONS	12-84700	Telephone	MONTHLY CHARGES SEPT 20	39.92
	US FLEET TRACKING	12-84100	Vehicle Maintenance	PW GPS TRACKING	239.60
	PEOPLE'S CHURCH, INC	12-84650	Lease Agreements	GROUNDWATER LEASE	1,686.60
	COMMERCIAL LUBRICATORS INC	12-84500	Well Maintenance	ANTIFREEZE	256.30
	PETROLEUM TRADERS CORPORATIO	12-84900	Fuel	UNLEADED FUEL	1,731.20
		12-84900	Fuel	DIESEL FUEL	506.72
	RICHARD BUTLER TRUCKING LLC	12-83000	Materials & Supplies	TOP SOIL	350.00
	CINTAS CORP. #064	12-81100	Uniform Rental	PW UNIFORMS OCT 2020	506.23
	SOUTH CENTRAL INDUSTRIES INC	12-83500	Safety Supplies	PAPER PRODUCTS	11.98
	VISA	12-83000	Materials & Supplies	DOOR HANGERS FOR UB	78.59
	CITY OF OKLAHOMA CITY	12-87700	OKC Sewer Charges	OKC SEWER CHARGES	57,451.67
	NORTHERN TOOL & EQUIPMENT CO	12-84500	Well Maintenance	TRAILOR WHEEL	24.99
		12-84500	Well Maintenance	CR TO CORRECT VENDOR	24.99-
	VISA	12-83000	Materials & Supplies	COVID SUPPLIES	147.67
		12-83000	Materials & Supplies	FLASHLIGHTS AND BATTERIES	139.88
		12-83000	Materials & Supplies	CAR WASH	20.00
		12-84300	Training & Membershi	LAB CLASS	62.00
		12-84500	Well Maintenance	TRAILOR WHEEL	24.99
	AT&T 831-001-0000 521	12-84700	Telephone	MONTHLY CHARGES	41.49
	FINLEY & COOK CERTIFIED PUBL	12-86400	Auditing Fees	FINANCIAL STATEMENT AUDIT	6,000.00
	OKIE CONSTRUCTION INC	12-83000	Materials & Supplies	DRIVEWAY REPLACEMENT	3,320.00
	CROSSLAND'S A & A RENT ALL	12-84600	Equipment Rental	STUMP GRINDER	165.00
	FRONTIER LOGGING CORP	12-84500	Well Maintenance	WATER WELL MAINTENANCE	8,225.00
	GELLCO CLOTHING & SHOES INC	12-83500	Safety Supplies	BOOTS HENDERSON & WHITE	260.00
		12-83500	Safety Supplies	SAFETY CLOTHING	535.50
	GRAYBAR ELECTRIC INC	12-84500	Well Maintenance	BLANKET PO	228.52
	HOME DEPOT	12-84500	Well Maintenance	WELLS SUPPLIES	215.00
		12-84500	Well Maintenance	BLANKET PO WELLS SUPPLIES	171.48
		12-83000	Materials & Supplies	AIR HOSE	34.46
	NORTHWEST LAWN MAINTENANCE I	12-83000	Materials & Supplies	IRRIGATION REPAIR	418.15
	OFFICE DEPOT 35315277	12-83200	Office Supplies	OFFICE SUPPLIES	344.72
		12-83200	Office Supplies	OFFICE SUPPLIES	12.20
	OG&E	12-84800	Utilities	MONTHLY CHARGES	2,801.58
		12-84800	Utilities	MONTHLY CHARGES	84.07
		12-84800	Utilities	MONTHLY CHARGES	13,752.57
		12-84800	Utilities	MONTHLY CHARGES	576.24

Attachment: Claims List 10 31 20 (4893 : 5 Total Warrants & Claims)

FUND: Municipal Authority

5.a.a

DEPARTMENT	VENDOR NAME	GL ACCOUNT	ACCOUNT DESCRIPTION	DESCRIPTION	AMOUNT
	OK CONTRACTORS SUPPLY	12-83000	Materials & Supplies	RESTRAINT	75.00
		12-84500	Well Maintenance	PARTS FOR WELLS	640.00
	ONG	12-84800	Utilities	MONTHLY CHARGES	95.29
		12-84800	Utilities	MONTHLY CHARGES	95.29
		12-84800	Utilities	MONTHLY CHARGES	104.85
		12-84800	Utilities	MONTHLY CHARGES	36.91
		12-84800	Utilities	MONTHLY CHARGES	23.65
	OREILLY AUTOMOTIVE STORES IN	12-83000	Materials & Supplies	LUBE FOR THE TRACKHOE	39.90
		12-84500	Well Maintenance	ANTIFREEZE	179.88
				TOTAL:	106,640.25

FUND: Municipal Authority

5.a.a

DEPARTMENT	VENDOR NAME	GL ACCOUNT	ACCOUNT DESCRIPTION	DESCRIPTION	AMOUNT
NHMA	3 DIMENSIONAL ROOFING	12-88000	Capital Outlay	REPLACE ROOF AT PW	15,108.88
				TOTAL:	15,108.88

FUND: General Obligation B

5.a.a

DEPARTMENT	VENDOR NAME	GL ACCOUNT	ACCOUNT DESCRIPTION	DESCRIPTION	AMOUNT
2016 GO Bonds	HOWARD-FAIRBAIRN SITE DESIGN	86-99150	Parks	FC-1901 IRRIGATION IMPROV	4,500.00
		86-99150	Parks	GRAND BLVD PARK	13,500.00
	BANC FIRST - GROUNDS GUYS OF	86-99150	Parks	FC-1901 CITYWIDE IRRIGATI	6,049.66
		86-99150	Parks	FC-1901 CityWide Irrigati	12,265.12
		86-99150	Parks	FC-1901 CityWide Irrigati	16,107.18
				TOTAL:	52,421.96
2017 GO Bond	THE VERDIN COMPANY	87-99450	Communications & Dat	REPLACEMENT CARRILLON	3,542.50
				TOTAL:	3,542.50
2019 GO Bond	SMITH ROBERTS BALDISCHWILER	89-98550	Water Projects	WC-1902	2,272.50
		89-98550	Water Projects	WC-1903	2,430.00
		89-98550	Water Projects	WC-1901 WATER LINE RELOCA	17,901.00
	BURGESS ENGINEERING & TESTIN	89-98550	Water Projects	WW-1804 TESTING	148.00
	LAYNE CHRISTENSEN COMPANY	89-98550	Water Projects	WW-1703 WELL #10	39,313.30
		89-98550	Water Projects	WW-1804	38,400.90
		89-98950	Traffic Control Syst	POST AND CLAMPS	703.70
				TOTAL:	101,169.40
	SMITH ROBERTS BALDISCHWILER	90-97550	Paving (Streets)	PC 2002 Drury Ln	1,780.00
		90-97550	Paving (Streets)	PC 2002 N Penn	4,012.50
		90-98550	Water Projects	WC-2001 Greystone Tower	500.00
		90-97550	Paving (Streets)	PC 2001 Devonshire	4,156.64
		90-97550	Paving (Streets)	PC 2001 Kingsbury	4,775.40
		90-97550	Paving (Streets)	PC-2101	8,936.48
		90-97550	Paving (Streets)	PC-2102	4,801.88
		90-97550	Paving (Streets)	PC-2101	2,008.16
		90-97550	Paving (Streets)	PC-2101	2,348.83
		90-99800	Other Expenses Paid	PROFESSIONAL SERVICES	623.07
2020 GO Bond	URBAN CONTRACTORS, LLC	90-98750	Sanitary Sewer Syste	SANITARY SEWER MAINTENANC	900.00
	BURGESS ENGINEERING & TESTIN	90-97550	Paving (Streets)	PC-2001 TESTING	240.00
		90-97550	Paving (Streets)	PC-2001 TESTING	474.00
	CROSSLAND HEAVY CONTRACTORS	90-97550	Paving (Streets)	PC-2001 2020 Paving	292,500.75
	LUTHER SIGN CO	90-98950	Traffic Controls	POST AND CLAMPS	6,767.14
	NORTHWEST LAWN MAINTENANCE I	90-97550	Paving (Streets)	TREE MAINTENANCE	1,049.00
	OK CONTRACTORS SUPPLY	90-98550	Water Projects	PARTS FOR WATER METE	1,471.00
				TOTAL:	337,344.85

FUND: General Obligation B

5.a.a

DEPARTMENT	VENDOR NAME	GL ACCOUNT	ACCOUNT DESCRIPTION	DESCRIPTION	AMOUNT
------------	-------------	------------	---------------------	-------------	--------

===== FUND TOTALS =====				
01	General Fund		134,287.23	
04	Designated Funds-Police		102.00	
06	Municipal Authority		107,700.18	
13	Municipal Authority - CIP		15,108.88	
80	General Obligation Bonds		494,478.71	

	GRAND TOTAL:		751,677.00	

TOTAL PAGES: 11

SELECTION CRITERIA

5.a.a

SELECTION OPTIONS

VENDOR SET: 01-City of Nichols Hills
VENDOR: Exclude: 01-1012, 01-1090, 01-1095, 01-1130, 01-1398, 01-1433, 01-1845, 01-1958, 01-1968, 01-2006
CLASSIFICATION: All
BANK CODE: Exclude: PY
ITEM DATE: 10/01/2020 THRU 10/31/2020
ITEM AMOUNT: 99,999,999.00CR THRU 99,999,999.00
GL POST DATE: 0/00/0000 THRU 99/99/9999
CHECK DATE: 0/00/0000 THRU 99/99/9999

PAYROLL SELECTION

PAYROLL EXPENSES: NO
EXPENSE TYPE: N/A
CHECK DATE: 0/00/0000 THRU 99/99/9999

PRINT OPTIONS

PRINT DATE: None
SEQUENCE: By Department
DESCRIPTION: Distribution
GL ACCTS: YES
REPORT TITLE: C L A I M S L I S T
SIGNATURE LINES: 0

PACKET OPTIONS

INCLUDE REFUNDS: YES
INCLUDE OPEN ITEM:NO



October 28, 2020

Honorable Mayor and City Council
City of Nichols Hills
6407 Avondale Drive
Nichols Hills, OK 73116

RE: AMENDMENT NO. 1 (FINAL)
Nichols Hills Project No. WW-1703
2017 G.O. Bond Issue Water Well #10
Contractor: Layne Christensen Co.
Original Contract Amount: \$719,527.00
Change Order No. 1: \$3,618.00
Amendment No. 1: (\$5,621.00)
Final Contract Amount: \$717,524.00

Dear Mayor and City Council:

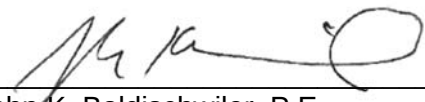
Enclosed please find **Amendment No. 1 (Final)** on the above referenced project in the amount of **(\$5,621.00)**. This Amendment brings the final contract amount to **\$717,524.00**.

We have verified the quantities and recommend approval. Please place this item on the next available council docket for consideration.

Should you have any questions or comments, please contact me.

Sincerely,

Smith Roberts Baldischwiler, LLC



John K. Baldischwiler, P.E.
Nichols Hills City Engineer

JKB/edb
cc: File #114592B
Enclosure

AMENDMENT NO. 1
Nichols Hills 2017 G.O. Bond Issue
 Water Well #10
 Nichols Hills, Oklahoma
 WW-1703
 October 21, 2020

I. SCOPE

The scope of this amendment is to reconcile item quantities for final acceptance.

II. JUSTIFICATION

This Amendment reconciles all final quantities for the project. All amended quantities were necessary due to actual field measured quantities varying from original estimated plan quantity.

III. COST

AMENDMENT NO. 1

Item No.	Quantity	Description	Unit	Unit Cost	Total Cost
4	20.00	PRODUCTION WELL - PERFORATING	EA.	\$39.00	\$780.00
8	-167.00	PRODUCTION WELL - COLUMN PIPE	L.F.	\$24.00	(\$4,008.00)
9	-130.00	PRODUCTION WELL - SUBMERSIBLE CABLE	L.F.	\$7.00	(\$910.00)
42	-1.00	CLEARING AND GRUBBING	L.SUM	\$0.00	\$0.00
44	-1.00	TYPE I PLAIN RIP RAP	C.Y.	\$515.00	(\$515.00)
45	-8.00	TYPE I FENCE	L.F.	\$121.00	(\$968.00)
TOTAL AMENDMENT NO. 1:					(\$5,621.00)

AMENDMENT NO. 1	(\$5,621.00)	0.78% Decrease
CHANGE ORDER NO. 1	\$3,618.00	0.50% Increase
ORIGINAL CONTRACT AMOUNT	\$719,527.00	
REVISED CONTRACT AMOUNT	\$717,524.00	

All construction shall be done in accordance with all applicable state and local codes and the plans and specifications.

The above and foregoing is hereby approved this 28th day of October, 20 20,
 and the undersigned agrees to perform the work at the price indicated.

ATTEST:

Layne Christensen Co.
 4691 NE Hwy 133 Guthrie, OK 73044

 Secretary



AMENDMENT NO. 1
Nichols Hills 2017 G.O. Bond Issue
Water Well #10
Nichols Hills, Oklahoma
WW-1703
October 21, 2020

SMITH ROBERTS BALDISCHWILER, LLC



Grady J. Wade, P.E.

Approved as to form and legality this ____ day of _____, 20____.

City Attorney

Approved by the City of Nichols Hills this ____ day of _____, 20____.

ATTEST:

City Clerk

Mayor

Attachment: WW-1703 Amendment No. 1 Package (4901 : 6 Amendment #1 for Project No. WW-1703)



October 28, 2020

Honorable Mayor and City Council
City of Nichols Hills
6407 Avondale Drive
Nichols Hills, OK 73116

RE: PAY ESTIMATE NO. 10 (FINAL)
Nichols Hills Project No. WW-1703
2017 G.O. Bond Issue – Water Well #10
Contractor: Layne Christensen Co.
Original Contract Amount: \$719,527.00
Current Contract Amount: \$717,524.00
Claims to Date Less Ret.: \$717,524.00
Paid to Date: \$678,210.70
Amount Remaining: \$0.00
Current Amount Due: \$39,313.30

Dear Mayor and City Council:

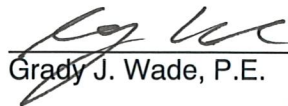
Enclosed please find **Progressive Estimate No. 10** on the above referenced project in the amount of **\$39,313.30**. We have verified the quantities and recommend approval. Please place this item on the next available council docket for payment consideration. This estimate should be paid out of the following accounts:

2019 G.O. Bond Issue Water Account
(Acct. #80-89-98550) **\$39,313.30**

Should you have any questions or comments, please contact me.

Sincerely,

Smith Roberts Baldischwiler, LLC


Grady J. Wade, P.E.

cc: File #114592B
Enclosure

PROGRESSIVE ESTIMATE NO. 10 (FINAL)Nichols Hills 2017 G.O. Bond Issue
Water Well #10Layne Christensen Co.
4691 NE Hwy 33
Guthrie, OK 73044From: 9/10/2020 To: 10/10/2020
Notice to Proceed: 10/14/2019
Project Duration: 90 Calendar Days
Days Used: 90
Days Remaining: 0**WW-1703**

ITEM NO.	DESCRIPTION	UNIT	CONTRACT QUANTITY	WORK DONE THIS EST.	WORK DONE PREVIOUS	TOTAL WORK ON CONTRACT	UNIT PRICE	AMOUNT	% COMPLETE
1	PILOT WELL (COMPLETE)	LF	720.00	0.00	720.00	720.00	\$30.00	\$27,360.00	100.00
2	ZONE ISOLATION TESTING (PILOT WELL)	EA	5.00	0.00	5.00	5.00	\$5,000.00	\$25,000.00	100.00
3	PRODUCTION WELL (COMPLETE)	EA	1.00	0.00	1.00	1.00	\$163,495.00	\$163,495.00	100.00
4	PRODUCTION WELL - PERFORATING	EA	404.00	0.00	404.00	404.00	\$39.00	\$15,756.00	100.00
5	PRODUCTION WELL - DISINFECTION	EA	1.00	0.00	1.00	1.00	\$7,072.00	\$7,072.00	100.00
6	PRODUCTION WELL - TEST PUMPING	EA	1.00	0.00	1.00	1.00	\$14,805.00	\$14,805.00	100.00
7	PRODUCTION WELL - PUMP/MOTOR & APPURTENANCES	EA	1.00	0.00	1.00	1.00	\$34,641.00	\$34,641.00	100.00
8	PRODUCTION WELL - COLUMN PIPE	LF	483.00	0.00	483.00	483.00	\$24.00	\$11,592.00	100.00
9	PRODUCTION WELL - SUBMERSIBLE CABLE	LF	520.00	0.00	520.00	520.00	\$7.00	\$3,640.00	100.00
10	GEOPHYSICAL LOGGING	EA	1.00	0.00	1.00	1.00	\$24,220.00	\$24,220.00	100.00
11	ELECTRICAL EQUIPMENT AND CONTROLS (COMPLETE)	EA	1.00	0.00	1.00	1.00	\$65,780.00	\$65,780.00	100.00
12	STANDBY EMERGENCY GENERATOR (COMPLETE)	EA	1.00	0.00	1.00	1.00	\$53,013.00	\$53,013.00	100.00
13	WELL HEAD FITTINGS (COMPLETE)	EA	1.00	0.00	1.00	1.00	\$25,525.00	\$25,525.00	100.00
14	START-UP SERVICE	EA	1.00	0.00	1.00	1.00	\$4,045.00	\$4,045.00	100.00
15	WATER QUALITY SAMPLING	EA	1.00	0.00	1.00	1.00	\$94.00	\$94.00	100.00
16	WELL HOUSE (COMPLETE)	EA	1.00	0.00	1.00	1.00	\$92,916.00	\$92,916.00	100.00
17	6" PVC SCH 40 DRAIN LINE	LF	30.00	0.00	30.00	30.00	\$113.00	\$3,390.00	100.00
18	PORTLAND CEMENT CONCRETE PAVEMENT (8")	SY	340.00	0.00	340.00	340.00	\$57.00	\$19,380.00	100.00
19	GRADED DROP INLET (PRECAST) (BLOW OFF BOX)	EA	1.00	0.00	1.00	1.00	\$4,907.00	\$4,907.00	100.00
20	4" C150 DIP WATERLINE	LF	4.00	0.00	4.00	4.00	\$63.00	\$252.00	100.00
21	4" DP 60 DEGREE BEND (DIP) COMPACT (M)	EA	1.00	0.00	1.00	1.00	\$50.00	\$50.00	100.00
22	4" MEGA-LUG (SERIES 1104)	EA	4.00	0.00	4.00	4.00	\$170.00	\$680.00	100.00
23	6" GATE VALVE & BOX	EA	1.00	0.00	1.00	1.00	\$899.00	\$899.00	100.00
24	6" PLUG	EA	1.00	0.00	1.00	1.00	\$890.00	\$890.00	100.00
25	6"x4" DIP REDUCER (DIP) COMPACT (M)	EA	1.00	0.00	1.00	1.00	\$93.00	\$93.00	100.00
26	6" C300 PVC WATERLINE (PUSH-ON JOINT) (DR-14)	LF	35.00	0.00	35.00	35.00	\$223.00	\$7,805.00	100.00
27	6"x3" TEE (DIP) COMPACT (M)	EA	1.00	0.00	1.00	1.00	\$175.00	\$175.00	100.00
28	6" DP 45 DEGREE BEND (DIP) COMPACT (M)	EA	2.00	0.00	2.00	2.00	\$154.00	\$308.00	100.00
29	6" DP SOLID SLEEVE	EA	1.00	0.00	1.00	1.00	\$151.00	\$151.00	100.00
30	6" DP CAP (DIP) COMPACT (M)	EA	1.00	0.00	1.00	1.00	\$62.00	\$62.00	100.00
31	6" MEGA-LUG (SERIES 1106)	EA	1.00	0.00	1.00	1.00	\$90.00	\$90.00	100.00
32	6" MEGA-LUG (SERIES 2006PV)	EA	11.00	0.00	11.00	11.00	\$100.00	\$1,100.00	100.00
33	6"x3" WYE CONNECTION (PVC)	EA	1.00	0.00	1.00	1.00	\$540.00	\$540.00	100.00
34	WET CONNECTION (P)	EA	1.00	0.00	1.00	1.00	\$956.00	\$956.00	100.00
35	4" GATE VALVE (FLANGE BY MJ)	EA	1.00	0.00	1.00	1.00	\$855.00	\$855.00	100.00
36	3" GATE VALVE & BOX (M)	EA	1.00	0.00	1.00	1.00	\$731.00	\$731.00	100.00
37	3" C300 PVC WATERLINE (PUSH-ON JOINT) (DR-14)	LF	35.00	0.00	35.00	35.00	\$67.00	\$2,345.00	100.00
38	3" MEGA-LUG (SERIES 2003PV)	EA	3.00	0.00	3.00	3.00	\$74.00	\$222.00	100.00
39	REMOVE EXISTING PLUG	EA	1.00	0.00	1.00	1.00	\$183.00	\$183.00	100.00
40	PRE & POST CONSTRUCTION VIDEOS	L SUM	1.00	0.00	1.00	1.00	\$556.00	\$556.00	100.00
41	MOBILIZATION	L SUM	1.00	0.00	1.00	1.00	\$24,846.00	\$24,846.00	100.00
42	CLEARING AND GRUBBING	L SUM	0.00	0.00	0.00	0.00	\$0.00	\$0.00	100.00
43	SIDEWALK (5)	SY	53.00	0.00	53.00	53.00	\$97.00	\$5,141.00	100.00
44	TYPE I PLAIN RAP	CY	0.00	0.00	0.00	0.00	\$515.00	\$0.00	100.00
45	TYPE I FENCE	LF	394.00	0.00	394.00	394.00	\$121.00	\$47,674.00	100.00
46	SOLID SLAB SOD	L SUM	1.00	0.00	1.00	1.00	\$5,949.00	\$5,949.00	100.00
47	EROSION CONTROL	L SUM	1.00	0.00	1.00	1.00	\$2,310.00	\$2,310.00	100.00
48	ARC FLASH TEST	EA	1.00	0.00	1.00	1.00	\$9,014.00	\$9,014.00	100.00
CHANGE ORDER NO. 1									
COI 1 2' CANTILEVER SLIDE GATE			EA	1.00	1.00	1.00	\$3,618.00	\$3,618.00	100.00

ORIGINAL CONTRACT AMOUNT: \$719,527.00

CHANGES TO DATE:

Amendment No. 1
Change Order No. 1(\$5,621.00)
\$3,618.00

CURRENT CONTRACT AMOUNT:

\$717,524.00

TOTAL AMOUNT OF WORK TO DATE: \$717,524.00
 MATERIAL ON HAND: \$0.00
 TOTAL TO DATE: \$717,524.00
 LESS 0% RETAINAGE: \$0.00
 DIFFERENCE: \$717,524.00
 SUBTOTAL: \$717,524.00
 LESS PREVIOUS PAYMENTS: \$678,210.70

CONTRACT AMOUNT DUE THIS ESTIMATE: \$39,313.30

AFFIDAVIT OF CLAIMANT:

STATE OF OKLAHOMA, COUNTY OF OKLAHOMA:

I, Layne Christensen, of lawful age, being first duly sworn, says that (s)he is the agent authorized by claimant/contractor to submit the attached claim or contract to the CITY OF NICHOLS HILLS, Oklahoma, and that claimant/contractor has not paid, given or donated or agreed to pay, give or donate to any officer or employee of the CITY OF NICHOLS HILLS, any money or other thing of value, either directly or indirectly, in the procuring of the contract under which the attached claim for payment is submitted/attached.

CERTIFICATE OF ENGINEER:

I certify that this estimate of \$39,313.30 on this contract is correct, due and payable under the terms of this contract.

SMITH ROBERTS BALDISCHWILER, LLC

BY: Grady J. Wade, P.E.

OFFICER'S APPROVAL:

I hereby certify the articles listed hereon have been received or services performed and this claim is

APPROVED for payment for \$

this _____ day of _____ 20____.

CITY OF NICHOLS HILLS

LAYNE CHRISTENSEN CO.

Subscribed and sworn to before me _____, 20____.

Notary Public, Clerk or Judge

My Commission Expires: _____



October 28, 2020

Honorable Mayor and City Council
City of Nichols Hills
6407 Avondale Drive
Nichols Hills, OK 73116

RE: PAY ESTIMATE NO. 8
Nichols Hills Project No. WW-1804
2018 G.O. Bond Issue – Water Well #17
Contractor: Layne Christensen Co.
Original Contract Amount: \$848,678.90
Current Contract Amount: \$860,803.90
Claims to Date Less Ret.: \$751,170.65
Paid to Date: \$712,769.75
Amount Remaining: \$109,633.25
Current Amount Due: \$38,400.90

Dear Mayor and City Council:

Enclosed please find **Progressive Estimate No. 8** on the above referenced project in the amount of **\$38,400.90**. We have verified the quantities and recommend approval. Please place this item on the next available council docket for payment consideration. This estimate should be paid out of the following account:

2019 G.O. Bond Issue Water Account
(Acct. #80-89-98550) **\$38,400.90**

Should you have any questions or comments, please contact me.

Sincerely,

Smith Roberts Baldischwiler, LLC

Grady J. Wade, P.E.

cc: File #114592F
Enclosure

PROGRESSIVE ESTIMATE NO. 8Nichols Hills 2018 G.O. Bond Issue
Water Well #17Layne Christensen Co
4691 NE Hwy 33
Guthrie, OK 73044From: 9/10/2020 To: 10/10/2020
Notice to Proceed: 1/20/2020
Project Duration: 90 Calendar Days
Days Used: 90
Days Remaining: 0

WW-1804

ITEM NO.	DESCRIPTION	UNIT	CONTRACT QUANTITY	WORK DONE THIS EST.	WORK DONE PREVIOUS	TOTAL WORK ON CONTRACT	UNIT PRICE	AMOUNT	% COMPLETE
1	DEMO EXISTING WELL HOUSE, CONCRETE FOUNDATIONS & STRUCTURES	EA	100	0.00	1.00	1.00	\$20,100.00	\$20,100.00	100.00
2	PLUG & ABANDON EXISTING WELL	LF	100	0.00	1.00	1.00	\$8,430.00	\$8,430.00	100.00
3	PILOT WELL (COMPLETE)	LF	720.00	0.00	720.00	720.00	\$43.20	\$31,104.00	100.00
4	ZONE ISOLATION TESTING (PILOT WELL)	EA	500	0.00	5.00	5.00	\$6,600.00	\$32,800.00	100.00
5	PRODUCTION WELL (COMPLETE)	EA	100	0.00	1.00	1.00	\$192,000.00	\$192,000.00	100.00
6	PRODUCTION WELL - PERFORATING	EA	394.00	0.00	448.00	448.00	\$42.20	\$18,905.60	116.67
7	PRODUCTION WELL - DISINFECTION	EA	100	0.00	1.00	1.00	\$7,150.00	\$7,150.00	100.00
8	PRODUCTION WELL - TEST PUMPING	EA	100	0.00	1.00	1.00	\$22,650.00	\$22,650.00	100.00
9	PRODUCTION WELL - PUMP/MOTOR & APPURTENANCES	EA	100	0.00	1.00	1.00	\$36,320.00	\$36,320.00	100.00
10	PRODUCTION WELL - COLUMN PIPE	LF	720.00	0.00	550.00	550.00	\$22.95	\$12,622.50	76.39
11	PRODUCTION WELL - SUBMERSIBLE CABLE	EA	750.00	0.00	550.00	550.00	\$8.60	\$4,730.00	73.33
12	GEOPHYSICAL LOGGING	EA	100	0.00	1.00	1.00	\$25,450.00	\$25,450.00	100.00
13	ELECTRICAL EQUIPMENT AND CONTROLS (COMPLETE)	EA	113	0.00	113	113	\$71,125.00	\$80,371.25	100.00
14	STANDBY EMERGENCY GENERATOR (COMPLETE)	EA	100	0.00	3.00	3.00	\$67,400.00	\$20,220.00	30.00
15	WELL HEAD FITTINGS (COMPLETE)	EA	100	0.00	1.00	1.00	\$25,390.00	\$25,390.00	100.00
16	START-UP SERVICE	EA	100	0.00	3.00	3.00	\$7,620.00	\$22,810.00	100.00
17	WATER QUALITY SAMPLING	EA	100	0.00	2.00	2.00	\$877.50	\$1,755.00	200.00
18	WELL HOUSE (COMPLETE)	EA	100	0.00	1.00	1.00	\$112,175.00	\$112,175.00	100.00
19	6" PVC SCH 40 DRAIN LINE	LF	121.00	0.00	121.00	121.00	\$35.90	\$4,331.90	100.00
20	PORTLAND CEMENT CONCRETE PAVEMENT (8")	SY	170.00	0.00	170.00	170.00	\$124.20	\$21,114.00	100.00
21	GRADED DROP INLET (PRECAST) (BLOW OFF BOX)	EA	100	0.00	1.00	1.00	\$5,492.00	\$5,492.00	100.00
22	4" C150 DIP WATERLINE	LF	400	0.00	4.00	4.00	\$66.20	\$264.80	100.00
23	4" X 3" TEE	EA	100	0.00	1.00	1.00	\$306.60	\$306.60	100.00
24	4" DIP 90° BEND (DIP) COMPACT (M)	EA	100	0.00	1.00	1.00	\$94.00	\$94.00	100.00
25	4" MEGA-LUG (SERIES 1104)	EA	400	0.00	4.00	4.00	\$75.00	\$300.00	100.00
26	4" C900 PVC WATERLINE (PUSH ON JOINT) (CR-14)	EA	40.00	0.00	40.00	40.00	\$215.00	\$8,600.00	100.00
27	4" DIP SOLD SLEEVE	EA	100	0.00	1.00	1.00	\$150.00	\$150.00	100.00
28	4" MEGA-LUG (SERIES 2004PV)	EA	800	0.00	3.00	3.00	\$82.00	\$246.00	100.00
29	3" MEGA-LUG (SERIES 2004PV)	LF	300	0.00	3.00	3.00	\$77.50	\$232.50	100.00
30	8"x3" WYE CONNECTION (PVC)	EA	100	0.00	1.00	1.00	\$162.00	\$162.00	100.00
31	WET CONNECTION (4")	EA	100	0.00	1.00	1.00	\$1,012.00	\$1,012.00	100.00
32	4" GATE VALVE (FLANGE BY MJ)	EA	100	0.00	1.00	1.00	\$990.00	\$990.00	100.00
33	3" GATE VALVE & BOX (MJ)	EA	100	0.00	1.00	1.00	\$900.00	\$900.00	100.00
34	PRE & POST CONSTRUCTION VIDEOS	L SUM	100	0.00	3.00	3.00	\$1,012.00	\$3,036.00	50.00
35	MOBILIZATION	L SUM	100	0.00	1.00	1.00	\$25,250.00	\$25,250.00	100.00
36	CLEARING AND GRUBBING	L SUM	100	0.00	1.00	1.00	\$19,500.00	\$19,500.00	100.00
37	STRUCTURE REMOVAL 14" DIP WATERLINE	LF	6.00	0.00	6.00	6.00	\$338.00	\$2,028.00	100.00
38	SIDEWALK (5)	SY	53.00	0.00	53.00	53.00	\$102.00	\$5,406.00	100.00
39	TYPE I PLAIN RIP RAP	CY	200	0.00	3.00	3.00	\$607.00	\$1,821.00	100.00
40	TYPE I FENCE	LF	288.00	277.00	3.00	277.00	\$136.00	\$37,672.00	96.18
41	SOLID SLAB SOD	L SUM	100	0.00	3.00	3.00	\$6,550.00	\$19,650.00	100.00
42	EROSION CONTROL	L SUM	100	0.00	1.00	1.00	\$2,430.00	\$2,430.00	100.00
43	ARC FLASH TEST	EA	100	0.00	3.00	3.00	\$9,450.00	\$28,350.00	100.00

CHANGE ORDER NO. 1

C01.1 CANTILEVER GATE

ORIGINAL CONTRACT AMOUNT:

\$848,078.90

CHANGES TO DATE:

Amendment No. 1

Change Order No. 1

\$9,375.00

\$2,750.00

CURRENT CONTRACT AMOUNT:

\$860,803.90

TOTAL AMOUNT OF WORK TO DATE:

\$790,705.95

MATERIAL ON HAND:

\$0.00

TOTAL TO DATE:

\$790,705.95

LESS 5% RETAINAGE:

\$39,535.30

DIFFERENCE:

\$751,170.65

SUBTOTAL:

\$751,170.65

LESS PREVIOUS PAYMENTS:

\$712,769.75

CONTRACT AMOUNT DUE THIS ESTIMATE:

\$38,400.90

AFFIDAVIT OF CLAIMANT:

STATE OF OKLAHOMA, COUNTY OF OKLAHOMA:

I, Grady J. Wade, of lawful age, being first duly sworn, says that (s)he is the agent authorized by claimant/contractor to submit the attached claim or contract to the CITY OF NICHOLS HILLS, Oklahoma, and that claimant/contractor has not paid, given or donated or agreed to pay, give or donate to any officer or employee of the CITY OF NICHOLS HILLS, any money or other thing of value, either directly or indirectly, in the procuring of the contract under which the attached claim for payment is submitted/attached.

CERTIFICATE OF ENGINEER:

I certify that this estimate of \$38,400.90 on this contract is correct, due and payable under the terms of this contract.

SMITH ROBERTS BALDISCHWILER, LLC

BY: Grady J. Wade, P.E.

OFFICER'S APPROVAL:

I hereby certify the articles listed hereon have been received or services performed and this claim is

APPROVED for payment for \$

this _____ day of _____ 20____.

CITY OF NICHOLS HILLS

LAYNE CHRISTENSEN CO.

Subscribed and sworn to before me _____, 20____.

Notary Public, Clerk or Judge

My Commission Expires: _____



ENGINEERING | SURVEYING | PLANNING

SMITH ROBERTS BALDISCHWILER, LLC

October 28, 2020

Honorable Mayor and City Council
City of Nichols Hills
6407 Avondale Drive
Nichols Hills, OK 73116

RE: PAY ESTIMATE NO. 5
Nichols Hills Project No. PC-2001
2020 G.O. Bond Issue – Paving
Improvements to the 1800 Block of
Devonshire Blvd. and 1700 Block of
Kingsbury Lane
Contractor: Crossland Heavy Contractors, Inc
Original Contract Amount: \$1,619,763.46
Current Contract Amount: \$1,665,558.88
Claims to Date Less Ret.: \$862,925.19
Paid to Date: \$570,424.44
Amount Remaining: \$802,633.69
Current Amount Due: \$292,500.75

Dear Mayor and City Council:

Enclosed please find **Progressive Estimate No. 5** on the above referenced project in the amount of **\$292,500.75**. We have verified the quantities and recommend approval. Please place this item on the next available council docket for payment consideration. This estimate should be paid out of the following account:

2020 G.O. Bond Issue Paving Account
(Acct. #80-90-97550).....\$292,500.75

Total\$292,500.75

Should you have any questions or comments, please contact me.

Sincerely,

Smith Roberts Baldischwiler, LLC


Grady J. Wade, P.E.

cc: File #116002A
Enclosure

PROGRESSIVE ESTIMATE NO. 5

Nichols Hills 2020 G.O. Bond Issue
1800 Block of Devonshire Blvd. and
1700 Block of Kingsbury Lane
PC-2001

Crossland Heavy Contractors, Inc.
P.O. Box 350
Columbus, KS 66725

From: 9/10/2020 To: 5/1/2020
Notice to Proceed: 5/1/2020
Project Duration: 224 Calendar Days
Days Used: 133
Days Remaining: 91

ITEM NO.	DESCRIPTION	UNIT	CONTRACT QUANTITY	WORK DONE THIS EST.	WORK DONE PREVIOUS	TOTAL WORK ON CONTRACT	UNIT PRICE	AMOUNT	% COMPLETE
1	AGGREGATE BASE (TYPE A) W/ EXCAVATION (6")	CY	1,335.63	273.10	345.50	618.60	177.00	\$109,492.20	46.32
2	PORTLAND CEMENT CONCRETE PAVEMENT (6")	SY	7,481.37	1,753.70	1,754.04	3,507.74	55.50	\$194,679.57	46.89
3	INTEGRAL CURB (6" BARRIER)	LF	4,937.00	868.50	817.25	1,685.75	11.25	\$18,964.69	34.15
4	(RCP) STORM SEWER (18") "O" RING	LF	142.02	35.00	54.50	89.50	75.00	\$6,712.50	63.02
5	(RCP) STORM SEWER (30") "O" RING	LF	75.75	58.00	108.00	156.00	135.00	\$21,060.00	205.94
6	(HP PIPE) STORM SEWER (18")	LF	23.44	19.00	0.00	19.00	83.00	\$1,577.00	81.06
7	(HP PIPE) STORM SEWER (24")	LF	607.42	0.00	484.58	484.58	87.00	\$42,158.46	79.78
8	(HP PIPE) STORM SEWER (30")	LF	1,613.85	0.00	0.00	0.00	107.00	\$0.00	0.00
9	(HP PIPE) STORM SEWER (42")	EA	300.82	0.00	231.00	231.00	145.00	\$33,485.00	76.79
10	(HP PIPE) STORM SEWER (48")	EA	238.39	0.00	236.40	236.40	182.00	\$43,024.80	100.00
11	MANHOLE (4' DIA.)	EA	15.00	5.00	8.00	13.00	3,093.00	\$40,209.00	86.67
12	MANHOLE (6' DIA.)	EA	4.00	0.00	3.00	3.00	5,150.00	\$15,450.00	75.00
13	MANHOLE ADDED DEPTH (4' DIA.)	VF	5.82	0.00	7.75	7.75	110.00	\$852.50	133.16
14	MANHOLE ADDED DEPTH (6' DIA.)	VF	3.53	0.00	4.24	4.24	275.00	\$1,166.00	120.11
15	DESIGN 2-0 INLET COMPLETE IN PLACE	EA	11.00	4.00	5.00	9.00	9,100.00	\$81,900.00	81.82
16	DESIGN 2-1 INLET COMPLETE IN PLACE	EA	1.00	0.00	0.00	0.00	7,850.00	\$0.00	0.00
17	CAST IRON CURB HOOD (R-3262)(SP)	EA	45.00	15.00	29.00	44.00	110.00	\$4,840.00	97.78
18	WATER SERVICE LINE LONG (2")	EA	16.00	0.00	10.00	10.00	652.00	\$6,520.00	62.50
19	WATER LINE LOWERING (COMPLETE)(6") (COMPLETE IN PLACE)	EA	2.00	1.00	0.00	1.00	6,335.00	\$6,335.00	50.00
20	WATER LINE LOWERING (COMPLETE)(8") (COMPLETE IN PLACE)	EA	2.00	0.00	0.00	0.00	6,400.00	\$0.00	0.00
21	WATER LINE ABANDONED (COMPLETE)(6") (COMPLETE IN PLACE)	EA	3.00	1.00	0.00	1.00	3,035.00	\$3,035.00	33.33
22	WATER LINE ABANDONED (COMPLETE)(8") (COMPLETE IN PLACE)	EA	3.00	0.00	0.00	0.00	3,075.00	\$0.00	0.00
23	REMOVE AND RESET SIGN	EA	4.00	1.00	3.00	4.00	228.00	\$912.00	100.00
24	COLOR AUDIO/VIDEO RECORDING, PRE & POST CONSTRUCTION (RECORDED DIGITALLY)	LS	1.00	0.00	0.50	0.50	1,000.00	\$500.00	50.00
25	CONSTRUCTION STAKING	LS	1.00	0.20	0.40	0.60	20,200.00	\$12,120.00	60.00
26	CONSTRUCTION SIGNING AND TRAFFIC CONTROL	LS	1.00	0.20	0.40	0.60	6,300.00	\$3,780.00	60.00
27	MOBILIZATION	LS	1.00	0.00	1.00	1.00	57,000.00	\$57,000.00	100.00
28	REMOVE STORM SEWER (RCP)	LF	2,110.00	301.00	1,269.47	1,570.47	12.70	\$19,944.97	74.43
29	STRUCTURAL REMOVAL (INLET)	EA	3.00	1.00	2.00	3.00	495.00	\$1,485.00	100.00
30	STRUCTURAL REMOVAL (MANHOLE)	EA	8.00	0.00	6.00	6.00	507.00	\$3,042.00	75.00
31	REMOVE SIDEWALK	SY	21.00	14.60	15.64	30.24	14.50	\$438.48	144.00
32	STREET PAVEMENT REMOVAL	SY	7,134.70	1,582.60	2,149.76	3,732.36	6.40	\$23,887.10	52.31
33	REMOVE DRIVEWAY	SY	920.00	251.30	688.44	939.74	9.20	\$8,645.61	102.15
34	ADJUST EXISTING STRUCTURE (VALVE)	EA	1.00	0.00	0.00	0.00	717.00	\$0.00	0.00
35	ADJUST EXISTING STRUCTURE (MANHOLE)	EA	1.00	0.00	0.00	0.00	967.00	\$0.00	0.00
36	SEPARATOR FABRIC FOR BASES (SP)	SY	7,855.44	1,959.60	2,299.40	4,259.00	1.35	\$5,749.65	54.22
37	GEOTEXTILE FOR BASES	SY	300.00	0.00	226.77	226.77	9.75	\$2,211.01	75.59
38	SIDEWALK (4")	SY	6.00	0.00	0.00	0.00	94.50	\$0.00	0.00
39	6" P.C. CONC. DRIVEWAY (HES)	SY	758.00	98.40	521.02	619.42	62.50	\$38,713.75	81.72
40	6" PERFORATED PIPE UNDERDRAIN (COMPLETE IN PLACE)	LF	4,617.00	1,260.00	1,388.00	2,648.00	12.20	\$32,305.60	57.35
41	6" NON-PERF. PIPE UNDERDRAIN (COMPLETE IN PLACE)	LF	59.00	0.00	0.00	0.00	12.00	\$0.00	0.00
42	SOLID SLAB SODDING	EA	1.00	0.00	0.10	0.50	22,000.00	\$11,000.00	50.00
43	TREE REMOVAL	EA	1.00	0.00	0.00	0.00	950.00	\$0.00	0.00
44	ROCK BAG INLET BARRIER	LF	280.00	0.00	232.00	232.00	6.50	\$1,508.00	82.86
45	DESIGN 1-0 INLET COMPLETE IN PLACE	EA	1.00	0.00	0.00	0.00	18,500.00	\$0.00	0.00
46	REMOVE & RESET LAWN IRRIGATION PIPE (1/2" TO 2" DIA. PVC)	LF	1,175.00	505.00	1,289.50	1,794.50	6.50	\$11,670.75	152.81
47	REMOVE & RESET LAWN IRRIGATION HEAD	EA	141.00	33.00	86.00	119.00	65.00	\$7,735.00	84.40
CHANGE ORDER NO. 1									
CO1.1	MODIFY STRUCTURE B10	LS	1.00	0.00	1.00	1.00	2,642.28	\$2,642.28	100.00
CO1.2	MODIFY STRUCTURE B9	LS	1.00	0.00	1.00	1.00	13,860.00	\$13,860.00	100.00
CHANGE ORDER NO. 2									
CO2.1	FLOWABLE FILL	CY	40.00	34.00	0.00	34.00	120.00	\$4,080.00	85.00
CHANGE ORDER NO. 3									
CO3.1	DELAY COST AND REVISED SEQUENCE	LS	1.00	1.00	0.00	1.00	13,639.39	\$13,639.39	100.00

ORIGINAL CONTRACT AMOUNT: \$1,619,763.46

CHANGES TO DATE:

Amendment No. 1 \$10,853.75

Change Order No. 1 \$16,502.28

Change Order No. 2 \$4,800.00

Change Order No. 3 \$13,639.39

CURRENT CONTRACT AMOUNT: \$1,665,558.88

TOTAL AMOUNT OF WORK TO DATE: \$908,342.31

MATERIAL ON HAND: \$0.00

TOTAL TO DATE: \$908,342.31

LESS 5% RETAINAGE: \$45,417.12

DIFFERENCE: \$862,925.19

SUBTOTAL: \$862,925.19

LESS PREVIOUS PAYMENTS: \$570,424.44

CONTRACT AMOUNT DUE THIS ESTIMATE: \$292,500.75

AFFIDAVIT OF CLAIMANT:

STATE OF OKLAHOMA, COUNTY OF OKLAHOMA:

Eric J. Wade, of lawful age, being first duly sworn, says that (s)he is the agent authorized by claimant/contractor to submit the attached claim or contract to the CITY OF NICHOLS HILLS, Oklahoma, and that claimant/contractor has not paid, given or donated or agreed to pay, give or donate to any officer or employee of the CITY OF NICHOLS HILLS, any money or other thing of value, either directly or indirectly, in the procuring of the contract under which the attached claim for payment is submitted/attached.

Crossland Heavy Contractors, Inc.

Subscribed and sworn to before me October 29 2020.

Notary Public, Clerk or Judge

My Commission Expires: 09/08/21

**CERTIFICATE OF ENGINEER:**

I certify that this estimate of \$292,500.75 on this contract is correct, due and payable under the terms of this contract.

SMITH ROBERTS BALDISCHWILER, LLC

BY: Grady J. Wade, P.E.

OFFICER'S APPROVAL:

I hereby certify the articles listed hereon have been received or services performed and this claim is

APPROVED for payment for \$

this _____ day of _____, 20____.

CITY OF NICHOLS HILLS

Grounds Guys of Edmond
P.O. Box 5084
Edmond, OK 73083
405-757-3181

Invoice

**BILL TO**

City of Nichols Hills
6407 Avondale Drive
Nichols Hills, OK 73116

SHIP TO

City of Nichols Hills
6407 Avondale Drive
Nichols Hills, OK 73116

INVOICE #	DATE	TOTAL DUE	DUE DATE	ENCLOSED
14185	10/07/2020	\$12,265.12	11/06/2020	

DESCRIPTION	QTY	RATE	AMOL
Sod	113	6.00	678
As Built	1	8,000.00	8,000
DVD	1	3,525.00	3,525
Spray Irrigation at Parking	3	17.44	52
1" Pipe PVC	20	0.49	9

BALANCE DUE

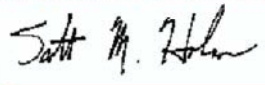
\$12,265.

☒ Approved ☐ Revise & Resubmit ☐ Rejected ☐ Reviewed
☐ Approved as noted ☐ Furnish as Corrected ☐ Submit Specific Item

This review is only for general conformance with the design concept of the project a general compliance with the information given in the Contract Documents. Correcti or comments made on the shop drawings during this review do not relieve the contractor from compliance with the requirements of the plans and specifications. Approval of a specific item shall not include approval of an assembly of which the it is a component. Contractor is responsible for: dimensions to be confirmed and correlated at the jobsite; information that pertains solely to the fabrication processes to the means, methods, techniques, sequences, and procedures or construction; an coordination of the Work of all trades.

HOWARD – FAIRBAIRN SITE DESIGN, INC.

Date: 11/04/20

By: 

Attachment: FC-1901-Pay App No. 6_City of Nichols Hills 11420 (4918 : 6 Pay Est No. 6 FC-1901 Grounds Guys of Edmond)

Grounds Guys of Edmond
P.O. Box 5084
Edmond, OK 73083
405-757-3181

Invoice


BILL TO

City of Nichols Hills
6407 Avondale Drive
Nichols Hills, OK 73116

SHIP TO

City of Nichols Hills
6407 Avondale Drive
Nichols Hills, OK 73116

INVOICE #	DATE	TOTAL DUE	DUE DATE	ENCLOSED
13813	09/04/2020	\$11,558.16	10/04/2020	

DESCRIPTION	QTY	RATE	AMOUNT
SOUTH CENTRAL AREA	1	0.00	0.00
HFS - 380 FLOW SENSOR WITH SCHEDULE 80 PVC TEE HOUSING - 3"	1	1,837.00	1,837.00
HUNTER ACC - 1200 CONTROLLER	1	1,612.15	1,612.15
BACKFLOW - 860 REDUCED PRESSURE 1 1/2 INLINE W/BY FEBCO 1 1/2	1	814.34	814.34
BOX-ASSE ENCLOSURE 48L X 17W X 27.5H CLASS II INSULATED GREEN DEKORRA	1	562.41	562.41
HEAT TAPE 12 FT SELF REG 12FT	1	99.04	99.04
CNTRAL AREA NORTH OF HUNTINGTON	1	0.00	0.00
BACKFLOW - 860 REDUCED PRESSURE 1 1/2 INLINE W/BY FEBCO 1 1/2	1	814.34	814.34
BOX ASSE ENCLOSURE 48L X 17W X 27.5 CLASS II INSULATED GREEN DEKORRA	1	562.41	562.41
HEAT TAPE 12 FT SELF REG 12 FT	1	99.04	99.04
HUNTER - SOLAR SYNC RAIN/FREEZE SENSOR	1	178.95	178.95
EXISTING AREA NORTH OF HUNTINGTON AROUND PAVING AREA	1	0.00	0.00
VALVE 1-1/2" PVC SLIP B-VALVE	2	324.25	648.50
PIPE 1" SDR CLASS 200	371	0.49	181.99
PIPE 1.25" SDR CLASS 200	100	0.71	71.00
PIPE 1.5" SDR CLASS 200	40	0.89	35.60
PIPE 2" SDR CLASS 200	100	1.27	127.00
VALVE 1 1 2 GLOBE VALVE FLOW	2	324.25	648.50
YELLOW TRACKING WIRE	611	0.19	116.09
HUNTER ROTOR 6"	16	32.38	518.08
NORTH AREA BALL FIELD 1	1	0.00	0.00
VALVE 1 1/2" PVC SLIP B-VALVE FLOW CONTROL	1	3.19	3.19

Attachment: FC-1901 Change Order No. 1 11420 (4920 : 6 Change Order #1 for Project No. FC-1901)

DESCRIPTION	QTY	RATE	AMOUNT
PIPE 1.5" SDR CLASS 200	80	0.89	71
VALVE 1 1/2 GLOBE VLV FLOW 1-1/2 GLOBE VLV CONTROL	1	324.25	324
PIPE 1.25" SDR CLASS 200	100	0.71	71
PIPE 1" SDR CLASS 200	220	0.49	107
YELLOW TRACKING WIRE	400	0.19	76
HUNTER ROTER 6"	9	32.38	291
NORTH AREA NORTH OF NORTH BALL DIAMOND	1	0.00	0
BACKFLOW 860 REDUCED PRESSURE 1 1/2 INLINE W/BY FEBCO 1 1/2	1	814.34	814
BOX - ASSE ENCLOSURE 48L X 17W X 27.5H CLASS II INSULATED GREEN DEKORRA	1	562.41	562
HEAT TAPE 12 FT SELF REG 12 FT	1	99.04	99
VALVE - BALL VALVE 2"	1	32.32	32
HUNTER SOLAR SYNC RAIN/FREEZE SENSOR	1	178.95	178

BALANCE DUE

\$11,558.
☒ Approved ☐ Revise & Resubmit ☐ Rejected ☐ Reviewed

☐ Approved as noted ☐ Furnish as Corrected ☐ Submit Specific Item

This review is only for general conformance with the design concept of the project ; general compliance with the information given in the Contract Documents. Correct or comments made on the shop drawings during this review do not relieve the contractor from compliance with the requirements of the plans and specifications. Approval of a specific item shall not include approval of an assembly of which the it is a component. Contractor is responsible for: dimensions to be confirmed and correlated at the jobsite; information that pertains solely to the fabrication processes to the means, methods, techniques, sequences, and procedures or construction; and coordination of the Work of all trades.

HOWARD – FAIRBAIRN SITE DESIGN, INC.

Date: 11/04/20

By:

Change Order No. 1 updates and completes the Irrigation Systems by adding (3) Backflow Preventers, New Irrigation Controller, new water source and accessories, piping, valves, and irrigation spray heads in open areas where existing irrigation heads were to be located and we located in the field.

Grounds Guys of Edmond
P.O. Box 5084
Edmond, OK 73083
405-757-3181

Invoice


BILL TO

City of Nichols Hills
6407 Avondale Drive
Nichols Hills, OK 73116

SHIP TO

City of Nichols Hills
6407 Avondale Drive
Nichols Hills, OK 73116

INVOICE #	DATE	TOTAL DUE	DUE DATE	ENCLOSED
13813	09/04/2020	\$11,558.16	10/04/2020	

DESCRIPTION	QTY	RATE	AMOUNT
SOUTH CENTRAL AREA	1	0.00	0.00
HFS - 380 FLOW SENSOR WITH SCHEDULE 80 PVC TEE HOUSING - 3"	1	1,837.00	1,837.00
HUNTER ACC - 1200 CONTROLLER	1	1,612.15	1,612.15
BACKFLOW - 860 REDUCED PRESSURE 1 1/2 INLINE W/BY FEBCO 1 1/2	1	814.34	814.34
BOX-ASSE ENCLOSURE 48L X 17W X 27.5H CLASS II INSULATED GREEN DEKORRA	1	562.41	562.41
HEAT TAPE 12 FT SELF REG 12FT	1	99.04	99.04
CNTRAL AREA NORTH OF HUNTINGTON	1	0.00	0.00
BACKFLOW - 860 REDUCED PRESSURE 1 1/2 INLINE W/BY FEBCO 1 1/2	1	814.34	814.34
BOX ASSE ENCLOSURE 48L X 17W X 27.5 CLASS II INSULATED GREEN DEKORRA	1	562.41	562.41
HEAT TAPE 12 FT SELF REG 12 FT	1	99.04	99.04
HUNTER - SOLAR SYNC RAIN/FREEZE SENSOR	1	178.95	178.95
EXISTING AREA NORTH OF HUNTINGTON AROUND PAVING AREA	1	0.00	0.00
VALVE 1-1/2" PVC SLIP B-VALVE	2	324.25	648.50
PIPE 1" SDR CLASS 200	371	0.49	181.89
PIPE 1.25" SDR CLASS 200	100	0.71	71.00
PIPE 1.5" SDR CLASS 200	40	0.89	35.60
PIPE 2" SDR CLASS 200	100	1.27	127.00
VALVE 1 1 2 GLOBE VALVE FLOW	2	324.25	648.50
YELLOW TRACKING WIRE	611	0.19	116.09
HUNTER ROTOR 6"	16	32.38	518.08
NORTH AREA BALL FIELD 1	1	0.00	0.00
VALVE 1 1/2" PVC SLIP B-VALVE FLOW CONTROL	1	3.19	3.19

Attachment: FC-1901 Change Order No. 1 11420 (4921 : 6 Pay Invoice FC-1901 Grounds Guys of Edmond)

DESCRIPTION	QTY	RATE	AMOUNT
PIPE 1.5" SDR CLASS 200	80	0.89	71
VALVE 1 1/2 GLOBE VLV FLOW 1-1/2 GLOBE VLV CONTROL	1	324.25	324
PIPE 1.25" SDR CLASS 200	100	0.71	71
PIPE 1" SDR CLASS 200	220	0.49	107
YELLOW TRACKING WIRE	400	0.19	76
HUNTER ROTER 6"	9	32.38	291
NORTH AREA NORTH OF NORTH BALL DIAMOND	1	0.00	0
BACKFLOW 860 REDUCED PRESSURE 1 1/2 INLINE W/BY FEBCO 1 1/2	1	814.34	814
BOX - ASSE ENCLOSURE 48L X 17W X 27.5H CLASS II INSULATED GREEN DEKORRA	1	562.41	562
HEAT TAPE 12 FT SELF REG 12 FT	1	99.04	99
VALVE - BALL VALVE 2"	1	32.32	32
HUNTER SOLAR SYNC RAIN/FREEZE SENSOR	1	178.95	178

BALANCE DUE

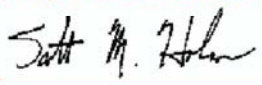
\$11,558.
☒ Approved ☐ Revise & Resubmit ☐ Rejected ☐ Reviewed

☐ Approved as noted ☐ Furnish as Corrected ☐ Submit Specific Item

This review is only for general conformance with the design concept of the project; general compliance with the information given in the Contract Documents. Correct or comments made on the shop drawings during this review do not relieve the contractor from compliance with the requirements of the plans and specifications. Approval of a specific item shall not include approval of an assembly of which the item is a component. Contractor is responsible for: dimensions to be confirmed and correlated at the jobsite; information that pertains solely to the fabrication processes to the means, methods, techniques, sequences, and procedures or construction; and coordination of the Work of all trades.

HOWARD – FAIRBAIRN SITE DESIGN, INC.

Date: 11/04/20

By: 

Change Order No. 1 updates and completes the Irrigation Systems by adding (3) Backflow Preventers, New Irrigation Controller, new water source and accessories, piping, valves, and irrigation spray heads in open areas where existing irrigation heads were to be located and were located in the field.

Grounds Guys of Edmond
P.O. Box 5084
Edmond, OK 73083
405-757-3181

Invoice

**BILL TO**

City of Nichols Hills
6407 Avondale Drive
Nichols Hills, OK 73116

SHIP TO

City of Nichols Hills
6407 Avondale Drive
Nichols Hills, OK 73116

INVOICE #	DATE	TOTAL DUE	DUE DATE	TERMS	ENCLOSED
14349	11/04/2020	\$10,598.68	12/04/2020	Net 30	

DESCRIPTION	QTY	RATE	AMOL
Retainage 5% Invoice 12778	1	2,770.53	2,770
Retainage 5% Invoice 13605	1	3,349.49	3,349
Retainage 5% Invoice 13361	1	1,917.26	1,917
Retainage 5% Invoice 13143	1	2,561.40	2,561

BALANCE DUE

\$10,598.1

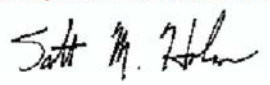
☒ Approved ☐ Revise & Resubmit ☐ Rejected ☐ Reviewed

☐ Approved as noted ☐ Furnish as Corrected ☐ Submit Specific Item

This review is only for general conformance with the design concept of the project and general compliance with the information given in the Contract Documents. Corrected or comments made on the shop drawings during this review do not relieve the contractor from compliance with the requirements of the plans and specifications. Approval of a specific item shall not include approval of an assembly of which the item is a component. Contractor is responsible for: dimensions to be confirmed and correlated at the jobsite; information that pertains solely to the fabrication processes; to the means, methods, techniques, sequences, and procedures or construction; and coordination of the Work of all trades.

HOWARD - FAIRBAIRN SITE DESIGN, INC.

Date: 11/04/20

By: 

Attachment: FC-1901 Retainage Final 11420 (4919 : 6 Pay Retainage FC-1901 Grounds Guys of Edmond)

RESOLUTION

(No. 1396)

A RESOLUTION AUTHORIZING THE DISPOSAL AND DESTRUCTION OF CERTAIN ORIGINAL RECORDS AND PAPERS OF RECORDS PRIOR TO THE RETENTION PERIOD FOR SUCH RECORDS ESTABLISHED IN THE REVISED RECORDS RETENTION MANUAL, 2015; AND DIRECTING THE CITY MANAGER TO TAKE ALL ACTIONS NECESSARY TO PROVIDE FOR THE DISPOSAL AND DESTRUCTION OF SUCH RECORDS.

WHEREAS, 11 O.S. § 22-131(A) prescribes retention periods for the destruction, sale or disposition of certain enumerated municipal records; and

WHEREAS, 11 O.S. § 22-131(B) provides that time limits for the disposition of records, papers and documents which are not mentioned in 11 O.S. § 22-131(A) “may be determined and set by ordinance or resolution of the municipal governing body;” and

WHEREAS, on September 8, 2015 the Council of the City of Nichols Hills, Oklahoma adopted a Resolution which: (a) established the Revised Records Retention Manual, 2015, for the City of Nichols Hills, Oklahoma (“Records Retention Manual”); and (b) in accordance with 11 O.S. § 22-132(C), declared that whenever photostatic copies, photographs, microphotographs, reproductions on films or optical disks of the records described in the Records Retention Manual shall be placed in conveniently accessible files and provisions made for preserving, examining and using same, the City Manager may certify those facts to the City Council; and, following such certification, the City Council may, by resolution, authorize the disposal, archival storage or destruction of the original records and papers before the expiration of the retention period established in the Records Retention Manual; and

WHEREAS, the City Manager has made certification in the attached Exhibit A that photostatic copies, photographs, reproductions on films or optical disks of the records described in Exhibit A have been placed in conveniently accessible files and provisions have been made for preserving, examining and using the same; and

WHEREAS, the Council of the City of Nichols Hills, Oklahoma deems it to be in the best interests of the City of Nichols Hills, Oklahoma to make available additional storage space in the offices of the City by authorizing the disposal and destruction of the original records and papers of the records identified in Exhibit A of this Resolution;

NOW, THEREFORE, BE IT RESOLVED, that in accordance with 11 O.S. § 22-132(C), the Council of the City of Nichols Hills, Oklahoma hereby authorizes the disposal and destruction of the original records and papers of records identified in the attached Exhibit A prior to the retention periods for such records established in the Revised Retention Manual, 2015, and directs the City Manager to take all actions necessary to provide for the disposal and destruction of such records.

ADOPTED AND APPROVED by the Council and **SIGNED** by the Mayor of the City of Nichols Hills, Oklahoma, this 10th day of November, 2020.

Mayor

(SEAL)
ATTEST:

City Clerk

Approved as to form:

City Attorney

Attachment: 7 NH-Records Destruction Reso-9 2 15-2 1 (4894 : 7 Disposal & Destruction of original documents)

Exhibit A

CERTIFICATION

I, S. Shane Pate II, City Manager for the City of Nichols Hills, Oklahoma, hereby certify that photostatic copies, photographs, reproductions on films or optical disks of the following records have been placed in conveniently accessible files and provisions have been made for preserving, examining and using the same:

Record Classification:**Record Dates:****Agendas**

City Council – Special Meeting	October 13, 2020
City Council	October 13, 2020
Municipal Authority	October 13, 2020
Building Commission	October 20, 2020
Board of Adjustment	October 21, 2020
Planning Commission – Special Meeting	November 2, 2020
Planning Commission	November 3, 2020
Environmental, Health and Sustainability Commission	October 28, 2020

Agreements and Contracts

CoxComm, LLC – Cable Service Franchise Agreement	September 23, 2020
Washington Prime Group – Community Day	October 6, 2020

Bank Statements and Reconciliations

Openedge	September 31, 2020
Bank of Oklahoma	July 1, 2020 – September 30, 2020
Pooled Cash	October 2020
Flex Claims	October 2020
Municipal Court	October 2020
Sinking Fund	October 2020
2016 GO Bond	October 2020
2017 GO Bond	October 2020
2018 GO Bond	October 2020
2019 GO Bond	October 2020
2020 GO Bond	October 2020
Utility Deposit	October 2020
Health Insurance Account	October 2020

Certificates of Deposit, T-Bills and Withdrawals

MidFirst CD – 4400496888	October 15, 2020
MidFirst CD – 4400496887	October 15, 2020
MidFirst CD – 4400496889	October 15, 2020
BOK T-Bill – 9127964Y2	October 15, 2020

Collateral – Letters of Credit – Certificates of Deposit

MidFirst Bank – Irrevocable Letter of Credit	October 15, 2020
BancFirst – Investment Portfolio Pledged Securities	October 31, 2020
First National Bank of Oklahoma – Pledging Report	October 31, 2020

General Obligation Bonds

WW-1804 Change Order No. 1 – Layne Christensen	October 13, 2020
WW-1804 Pay Estimate No. 7 – Layne Christensen	October 13, 2020
SC-2001 Amendment No.1 – Urban Contractors, LLC	October 13, 2020
SC-2001 Pay Estimate No.1 – Urban Contractors, LLC	October 13, 2020
PC-2001 Change Order No. 2 – Crossland Heavy Contractors, Inc	October 13, 2020
PC-2001 Change Order No. 3 – Crossland Heavy Contractors, Inc	October 13, 2020
PC-2001 Pay Estimate No. – Crossland Heavy Contractors, Inc	October 13, 2020
WW-1703 Pay Estimate No. 9 – Layne Christensen Co.	October 13, 2020
Task Order PC-2003	October 26, 2020
Ground Guys Invoice 13700	October 13, 2020
Notice to Bidders – PC-2002 Paving Improvements	October 15, 2020
Notice to Bidders – WC-1902, WC-1903, WC-2001 Waterline Improvements	October 15, 2020
Addendum #1 – WC-1902, WC-1903, WC-2001	October 30, 2020
Addendum #1 – PC-2002	November 2, 2020
Addendum #2 – PC-2002	November 2, 2020

Minutes

City Council	October 2, 2020
Municipal Authority	September 8, 2020
City Council	September 8, 2020
Planning Commission	September 1, 2020
Building Commission	September 15, 2020

Miscellaneous

Frank Sewell Letter to City Council	September 16, 2020
Frank Sewell Letter to Planning Commission	September 16, 2020
Ramsey Drake Letter to City Council	October 1, 2020
Ramsey Drake Letter to Planning Commission	October 1, 2020
Craig Story Letter to City Council	September 23, 2020
Craig Story Letter to Planning Commission	September 23, 2020
Planning Commission Oath of Office – Doug Franklin	November 2, 2020
Sinking Fund Schedules June 30, 2020 and Estimate of Needs June 30, 2021	September 28, 2020

Payroll Records

OkMRF – Live-Run Activity Report	August 20, 2020
Nationwide – Investment Option Summary	September 30, 2020

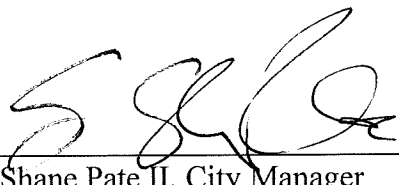
Nationwide – Investment Activity Report	September 30, 2020
American Funds – Investment Confirm List	October 5, 2020 – October 19, 2020

Proofs of Publication/Public Hearing Notices

PC-2020-02 – Public Hearing Notice	October 12, 2020
BC-2020-16 – Public Hearing Notice	October 7, 2020
BC-2020-17 – Public Hearing Notice	October 7, 2020
BC-2020-18 – Public Hearing Notice	October 7, 2020
Journal Record – Notice of Public Hearing – BC-2020-16	October 7, 2020
Journal Record – Notice of Public Hearing – BC-2020-17	October 7, 2020
Journal Record – Notice of Public Hearing – BC-2020-18	October 7, 2020
Journal Record – Notice of Public Hearing – PC-2020-02	October 14, 2020
OKC Friday – Notice of Public Hearing – PC-2020-02	October 16, 2020
OKC Friday – Notice of Public Hearing – BC-2020-16	October 16, 2020
OKC Friday – Notice of Public Hearing – BC-2020-17	October 16, 2020
OKC Friday – Notice of Public Hearing – BC-2020-18	October 16, 2020
OKC Friday – Notice to Bidders – PC-2002	October 23, 2020
OKC Friday – Notice to Bidders – WC-1902, WC-1903, WC-2001	October 23, 2020
Journal Record – Notice to Bidders – WC-1902, WC-1903, WC-2001	October 15, 2020
Journal Record – Notice to Bidders – PC-2002	October 15, 2020
BC-2020-19 – Public Hearing Notice	November 4, 2020
BC-2020-20 – Public Hearing Notice	November 4, 2020
BOA-2020-03 – Public Hearing Notice	November 4, 2020
BOA-2020-04 – Public Hearing Notice	November 4, 2020
PUD-1781 - Oklahoma City	November 12, 2020

Resolutions

Resolution # 1394 – Disposal and Destruction of Records	October 13, 2020
Resolution #1395 – Weighted Voting Amendment	October 13, 2020


 S. Shane Pate II, City Manager

11/5/20
 Date

Attachment: Exhibit A (4894 : 7 Disposal & Destruction of original documents)



Schedule of Regular Meetings for the Nichols Hills City Council in 2021

All meetings of the City Council are scheduled to take place on the 2nd Tuesday of the month at 5:30 p.m. in the City Council Chambers, City Hall, 6407 Avondale Dr., Nichols Hills, OK.

Meeting Date of:

January 12, 2021

February 09, 2021

March 09, 2021

April 13, 2021

May 11, 2021

June 08, 2021

July 13, 2021

August 10, 2021

September 14, 2021

October 12, 2021

November 09, 2021

December 14, 2021

Name: Amanda Copeland
Title: City Clerk
Address: 6407 Avondale Drive
 Nichols Hills, OK 73116
Phone: (405) 843-6637

Filed in the office of the Municipal Clerk on _____ at _____ PM

Signed: _____
 City Clerk/Deputy City Clerk



Borehole Geophysics

FRANCIS L. WEEDON
PRESIDENT
7221 NW 3 RD
OKLAHOMA CITY, OK. 73127

Telephone 405-787-3952 Fax 405-787-3977
E-mail borholog@sbcglobal.net
www.frontierlogging.com

Oct 5, 2020

Mr. David White
City of Nichols Hills
1009 NW 75th Street
Nichols Hills, OK. 73116

The perforations in the upper sections of the well look to be open. (Above 350'). While the casing is encrusted with iron fixing bacteria all the way to bottom, running a casing scraper and wire brushes should take this off the sides. The acid should be spotted along the entire length of the well from 350' to 650'. This is 300 feet with 10 3/4" pipe volume approximately four (4) gallons per foot that means that 1,200 gallons of acid would be needed to cover the length stated. The yearly contract for acidizing a well is quoted for 1000 gallons so 200 gallons more would need to be approved at a cost of \$1,870.00.

The proposed work pricing for the repair of Nichols Hills Water Well #14 as in the yearly maintenance contract.

2020-2021 Maintenance Agreement Line No.

# 30	Cleaning by scraper and brush	\$ 6,310.00
# 8	Perforation acidizing per 1000 gallons	\$13,700.00
	Extra 200 gallons of acid needed	\$ 1,870.00
	Total	\$ 21,880.00

Thanks

Johnny Just
Frontier Logging Corp.



Total Pump & Supply

2800 S. High • Oklahoma City, OK 73129
1-866-497-4413

DATE: 10/6/10

Number of Pages Faxed
(Including Cover Sheet)
1

Faxed To:

David White / Nichols Hills

Faxed From:

Bobby Brown

MESSAGE

1230' - 4" BLACK TFP Pipe \$8⁵⁰ per ft / \$5355⁰⁰ net
1000' - 2/3 HD Jacketed Cable \$4.39 per ft / \$4390⁰⁰
1 - 50HP 460V 3p 4 Litechi Motor \$3541⁰⁰ net
1 - 50HP 230gpm 16Stg Pump \$2999⁰⁰ net
10 - 2" Pipe WRAP \$5⁶² ea / \$562⁰⁰ net
3 - 4" DI Check \$262⁰⁰ / \$786⁰⁰ net
1 - 4" X 6" Stainless Nipple \$55⁶⁹ net
1 - #2 splice kit \$95⁰⁰ ea.
1 - #8 splice kit \$220⁰⁰ ea.

www.totalpump.net

Total: 17,194.61



1220 N.W. 3rd Street, Oklahoma City, OK 73106
 Phone 405-235-2695 Fax 405-235-9897
 Pumpsok.com

QUOTE	Number	10072020A
Quote is valid for 30 days from Quote Date		

To:	City of Nichols Hills	Date:	10/7/2020
	6407 Avondale Drive		
	Nichols Hills OK 73116	Phone:	405-520-2907
Attn:	David	Fax:	

Project: 230GPM 50HP Well

QTY	Item number	Description	Each	Total
		230GPM 50HP Well Materials Includes the following		\$21,730.00
1	230S500-16	Grundfos 230GPM 16 Stage 50HP Pump End		
1	100-0503426	50HP Hitachi 3/60/460 3600RPM Motor		
630	Bpipe40	630 feet 4" Black Pipe T&C		
1000	23JG	1000 feet #2-3Wire + Ground Jacketed Sub Cable		
3	676SB	4" Simmons DI Check Valve w/ Breakoff Plug		
10	Bpipewrap	Black Pipe Wrap 10Mil-2X100Feet		
1	K2	#2 Wire Heat Shrink Kit		
1	K8	#8 Wire Heat Shrink Kit		
1	SSN40X120	4" SS Nipple 12" Long		
5-7 Day Lead Time on motor. All other items are in stock @ Pumps of Oklahoma				
Price quoted Does NOT include Freight charges			Total	\$21,730.00

Price does not include Tax unless otherwise noted

FOB

Terms

Net 30

Attachment: Well 14 Pumps (4910 : 7 Public Works Department CIP Request)



Order Acknowledgement - Quote Order

7.d.a

Quote Date

10/06/20

Quote #

22026925-00

Bill To CITY OF NICHOLS HILL
1009 NORTHWEST 75TH STREET
OKLAHOMA CITY, OK 73113
Cust # 2263015

Branch PPE - Oklahoma City, OK
6 S. Vermont
OKLAHOMA CITY, OK 73107-6524
Phone: (405)948-4003
Fax: 405-948-3318

Ship To CITY OF NICHOLS HILL
1009 NORTHWEST 75TH STREET
OKLAHOMA CITY, OK 73113

Instructions	Reference	Slsrep Out
		MEK
Terms	Ship Via	Quoted By
net 30 days	Delivery	mek

Ln #	Product And Description	List Price	Quantity	Qty UM	Unit Price	Extension
1	WCB007 4"Sch40 21'Blk T&C Imp		630	FT	8.2806	5216.75
2	6H50463 6 Motor 50HP 460V 3PH Hitachi 2P/3600 100-0503426		1	EA	3041.1111	3041.11
3	GF230S500-16 6 Inch 50HP 230GPM 16 Stage Grundfos Pump End 15B70016		1	EA	3456.1279	3456.13
4	SIM676SB Check Vlv4"Iron W/Plug		3	EA	250.6441	751.93
5	MAT003 Tape 2"X100'Pipe Wrap Unprinted		12	EA	4.95	59.40
6	ES-SP#2C-HD4 Wire Splice Kit #2 Awg 4-Pak Hd Clear		1	EA	15.62	15.62
7	ES-SP8C-4 Wire Splice Kit #8 Awg 4-Pak Clear		1	EA	4.69	4.69
8	NS-#2 FLAT JACKETED 2/3 FLAT JACKET W/ GROUND		1000	each	5.00	5000.00
8	Lines Total		Qty Shipped Total	1649	Total Quote Total	17545.63 17545.63

Print Time: 10/06/20 11:45*

Customer Copy

Page 1 of 1

Customer Acceptance of Quote

Signature: _____

Date: _____

PO#: _____

Quote is valid only for 30 days from the above Quote Date

Attachment: Well 14 Pumps (4910 : 7 Public Works Department CIP Request)



3 Dimensional Roofing

COMMERCIAL & RESIDENTIAL
2308 SE. 34TH ST.
MOORE, OK. 73160
(405)561-7065
3Dimensionalroofing.com
CIB#80003791

Insured: CITY of NICHOLS HILLS
Property: 1009 NW 75TH ST.
NICHOLS HILLS, OK 73116
Business: 6407 AVONDALE DRIVE
NICHOLS HILLS, OK 73116

Business: (405) 843-5222

Claim Rep.: Jack Ashton
Business: 2308 SE 34th St
Moore , OK 73160

Cellular: (405) 919-6035
Business: (405) 561-7065
E-mail: jack@3droofing.co

Estimator: Jack Ashton
Business: 2308 SE 34th St
Moore , OK 73160

Cellular: (405) 919-6035
E-mail: jack@3droofing.co

Contractor: Jack Ashton
Company: 3 Dimensional Roofing
Business: 2308 SE. 34th St.
Moore, OK 73160

Cellular: (405) 919-6035

Claim Number:

Policy Number:

Type of Loss:

Date of Loss: 3/18/2020
Date Inspected:

Date Received:
Date Entered: 7/17/2020 4:24 PM

Price List: OKOC8X_MAR20
Restoration/Service/Remodel
Estimate: CITY_NICHOLS_HILLS-1



3 Dimensional Roofing

COMMERCIAL & RESIDENTIAL
2308 SE. 34TH ST.
MOORE, OK. 73160
(405)561-7065
3Dimensionalroofing.com
CIB#80003791

3 Dimensional Roofing will not intentionally remove more roof than can be covered in the same day, never leaving the decking open to the weather. This proposal does not include hidden or unseen damages.' We hereby propose to furnish material and labor -complete in accordance with the specifications, of the attached estimate, for the sum of **\$ 3,158.80**. Any balance still outstanding after thirty (30) days from completion of the roof will be considered delinquent and subject to a 1 ½ % per month late charge plus any attorney fees, and all other fees, associated with the collection of the past due account. All warranties and discounts are void if payment becomes delinquent. **3 Dimensional Roofing** reserves the right to place a lien upon the property if payment is not paid in full with in 30 days after completion of the roof.

"Buyers Right To Cancel": Buyer may cancel this agreement by providing written notice to the seller in person or by mail. This notice must indicate that buyer does not want the goods or services, and must be delivered or postmarked before midnight of the (3rd) business day after date of acceptance. Seller may not keep any part of down payment that may have been made. Any cancellation not complying with "Buyers Right To Cancel" or "Acceptance of Proposal" will be subject o a service charge in an amount equal to twenty (20) percent of the full contract amount or insurance claim amount, whichever is applicable.

5 Year 3 Dimensional Roofing Standard Labor Warranty plus Manufacturer Warranty. **3 Dimensional Roofing** 's warranty excludes damage due to tornadoes, hail, gale force winds, fire, or other acts of nature normally covered under your Homowner's Insurance Policy. This guarantees applies to the present owner at the time when roof installation is completed. **3 Dimensional Roofing** warranty and repairs apply to the roof only. Any work done by person(s) not authorized by **3 Dimensional Roofing**, in writing will automatically void any guarantee and liability by **3 Dimensional Roofing**.

Estimator's Signature: Jack Ashton

Date of Estimate: 10/25/2020

ACCEPTANCE OF PROPOSAL

The above price, specifications, and conditions
Are satisfactory and are hereby accepted. You are
Authorized to perform the work as specified.
Payments will be made as outlined above.

Signature: _____

Date of Acceptance: _____

AGREEMENT PENDING INSURANCE APPROVAL

This is written agreement for **3 Dimensional Roofing**
to do roof repairs. The price to do repairs is to
Be determined by the insurance company. The
Only out of pocket expense for the homeowner
will be the deductible.

(On insurance replacement cost only)

Signature: _____

Date of Acceptance: _____



3 Dimensional Roofing

COMMERCIAL & RESIDENTIAL
 2308 SE. 34TH ST.
 MOORE, OK. 73160
 (405)561-7065
 3Dimensionalroofing.com
 CIB#80003791

CITY_NICHOLS_HILLS-2

Supplemental_ Gutter

DESCRIPTION	QUANTITY	UNIT PRICE	TAX	RCV	DEPREC.	ACV
Gutter / box - 24 gauge pre finished to match new gutter profile *	108.00 LF	23.00	0.00	2,484.00	(0.00)	2,484.00
R&R Downspout - box - pre-finished - 6"*	70.00 LF	9.64	0.00	674.80	(0.00)	674.80
Totals: Supplemental_ Gutter			0.00	3,158.80	0.00	3,158.80
Line Item Totals: CITY_NICHOLS_HILLS-2			0.00	3,158.80	0.00	3,158.80

Attachment: CITY_NICHOLS_HILLS-1_FINAL_DRAFT_DEPREC_CAR (4911 : 7 Public Works Department CIP Request)



3 Dimensional Roofing

COMMERCIAL & RESIDENTIAL
 2308 SE. 34TH ST.
 MOORE, OK. 73160
 (405)561-7065
 3Dimensionalroofing.com
 CIB#80003791

Summary for Dwelling

Line Item Total	3,158.80
Replacement Cost Value	\$3,158.80
Net Claim	\$3,158.80

Jack Ashton

HOW TO PREPARE FOR YOUR NEW ROOF

THANK YOU FOR SELECTING 3 DIMENSIONAL ROOFING

AT THIS POINT, PLEASE CONTACT YOUR MORTGAGE COMPANY (IF APPLICABLE) TO GET ANY PAPERWORK AND OR INFORMATION NEEDED TO PROCEED WITH THE PAYMENT.

BEFORE WORK STARTS

1. Remove all items from around the house where roofing debris or bundles of shingles may fall. This includes autos, patio furniture, potted plants, etc.
2. Remove all loose or breakable items from walls and shelves including pictures, plates, keepsakes, etc. Constant hammering, walking, moving and stacking of material may create vibration, dislodging items from walls and shelves.
3. **RE-DECK:** The attic will be exposed while the deck is being replaced, therefore, debris will accumulate in this area. Please remove or cover with plastic any valuables which you are concerned about. This will help minimize your clean-up of the dust and debris. We are not responsible for the clean-up of the attic, so please take precautions.
4. **ACCESS:** Please park cars, trucks, boats, etc., out of the driveway and garage. We will need access to your driveway when the materials are delivered and work is in progress.
5. **NOISE:** Be prepared for the noise! There will be constant hammering for one or more days.
6. **CHILDREN & PETS:** Please keep children and pets away from the work area.
7. **POWER TOOLS:** We will need access to electrical power as occasionally we must use power tools to cut decking or shingles. After we leave, please check circuit breakers to determine that none are tripped.

WHEN INSTALLATION OF YOUR ROOF IS COMPLETED

9. **Check heating unit, hot water heater, and stove vents in the attic to make sure none have dislodged.** _____ (initial)
10. A magnetic nail roller will be used to pick up nails that have fallen from the roof. We will try our best to pick up all of the nails, however, a few nails may remain hidden in the grass or shrubbery. We are not responsible for the clean-up of the attic.

Payment Arrangments: _____

Insurance Company: _____



3 Dimensional Roofing

COMMERCIAL & RESIDENTIAL
2308 SE. 34TH ST.
MOORE, OK. 73160
(405)561-7065
3Dimensionalroofing.com
CIB#80003791

Insurance Claim# _____/phone _____

Mortgage Info _____

PLEASE MAKE ALL CHECKS PAYABLE TO 3 DIMENSIONAL ROOFING

Our on site foreman will be picking up a check for the roof replacement/and or repair on day of completion.

Please note: We will not be responsible to fix any damage to A/C lines, gas lines, electrical lines, and water lines less than 4" from roof deck.

Shingle Manufacturer: _____ Type _____

Color: _____

Layers: _____ Decking: _____ Pitch: _____

Underlayment : _____

Ridge Type: _____ LF _____

Valley Type: _____ LF _____

Drip edge: _____ Color _____

Vent jacks Type: _____ Quantity _____ Paint to match

Fixed Vents Type: _____ Quantity _____

Ventilation: Wind Turbine _____ Elect _____ Solar _____ Ridge Vent _____ LF _____

Coil nail _____ Other _____

HVAC Caps: ____3" ____4" ____5" ____6" Collar ____3" ____4" ____5" ____6"

Base ____3" ____4" ____5" ____6"

Decking Type: _____ Repairs \$ _____ per SF

Load Instructions: _____

Special Instructions: _____

**RESOLUTION AUTHORIZING SALE OF BONDS
AND MATTERS RELATED THERETO**
Including Transcript of Proceedings

THE CITY COUNCIL OF THE CITY OF NICHOLS HILLS, OKLAHOMA, MET IN REGULAR SESSION IN COUNCIL CHAMBERS AT CITY HALL, ALSO KNOWN AS TOWN HALL, 6407 AVONDALE DRIVE, IN SAID CITY ON NOVEMBER 10, 2020, AT 5:30 P.M.

PRESENT:

ABSENT:

Notice of the schedule of regular meetings of the governing body of the City of Nichols Hills, Oklahoma for the calendar year 2020 having been given in writing to the City Clerk of said City at ____ o'clock ____m. on October 8, 2019, and public notice of this meeting, setting forth the date, time, place and agenda was posted at ____ o'clock ____m. _____, 2020, by posting on the City's Internet website (www.nicholshills.net) the date, time, place and agenda for the meeting in accordance with Section 3106.2 of Title 74 of the Oklahoma Statutes, and was posted at the place of this meeting in prominent view and open to the public twenty-four (24) hours each day, seven (7) days each week at ____ o'clock ____m., on _____, 2020, each being twenty-four (24) hours or more prior to this meeting, excluding Saturdays, Sundays and State designated legal holidays (as attached hereto), all in compliance with the Oklahoma Open Meeting Act. Further, as required by 25 O.S. § 311A(9)(b), the City made the notice of this public meeting available to the public in the principal office of the public body (6407 Avondale Drive, Nichols Hills, Oklahoma County, Oklahoma) during normal business hours at least twenty-four (24) hours prior to the meeting.

(OTHER PROCEEDINGS)

Thereupon, the Mayor introduced a Resolution, copies having been distributed to the Councilmembers, and upon motion by Councilmember _____, seconded by Councilmember _____, said Resolution was adopted by the following vote:

AYE:

NAY:

Said Resolution was thereupon signed by the Mayor, attested by the City Clerk, sealed with the seal of said City, and is as follows:

RESOLUTION NO. _____

A RESOLUTION AUTHORIZING THE SALE OF \$7,800,000 OF GENERAL OBLIGATION BONDS, SERIES 2021 OF THE CITY OF NICHOLS HILLS, OKLAHOMA; FIXING THE AMOUNT OF BONDS TO MATURE EACH YEAR; FIXING THE MANNER, TIME AND PLACE THE BONDS ARE TO BE SOLD; AUTHORIZING THE CITY CLERK TO GIVE NOTICE OF SAID SALE AS REQUIRED BY LAW AND TO PUBLISH NOTICE OF SALE; APPROVING AND AUTHORIZING OFFICIAL STATEMENTS; AUTHORIZING AND APPROVING CONTRACTS FOR BOND COUNSEL, FINANCIAL ADVISOR AND PAYING AGENT-REGISTRAR SERVICES AND AMENDMENTS THERETO, AND WAIVING SELECTION PURSUANT TO A COMPETITIVE PROCESS; AND, AUTHORIZING EXECUTIONS AND ACTIONS NECESSARY FOR ISSUANCE AND DELIVERY OF THE BONDS, AND PROVIDING FOR OTHER ASPECTS OF THE BONDS.

WHEREAS, the issuance of \$13,000,000 of Streets Bonds by the City of Nichols Hills, Oklahoma (the "City"), has been duly authorized at an election held for that purpose on February 12, 2019; and \$1,800,000 of said bonds have been issued; and

WHEREAS, the issuance of \$6,550,000 of Water System Bonds by the City of Nichols Hills, Oklahoma, has been duly authorized at an election held for that purpose on February 12, 2019; and \$0.00 of said bonds have been issued; and

WHEREAS, the issuance of \$1,600,000 of Sanitary Sewer System Bonds by the City of Nichols Hills, Oklahoma, has been duly authorized at an election held for that purpose on February 12, 2019; and \$0.00 of said bonds have been issued; and

WHEREAS, the issuance of \$4,050,000 of Fire Bonds by the City of Nichols Hills, Oklahoma, has been duly authorized at an election held for that purpose on February 12, 2019; and \$2,230,000 of said bonds have been issued; and

WHEREAS, the issuance of \$1,000,000 of Technology Improvements Bonds by the City of Nichols Hills, Oklahoma, has been duly authorized at an election held for that purpose on February 12, 2019; and \$270,000 of said bonds have been issued; and

WHEREAS, the issuance of \$1,000,000 of Public Works Facility Improvements Bonds by the City of Nichols Hills, Oklahoma, has been duly authorized at an election held for that purpose on February 12, 2019; and \$0.00 of said bonds have been issued; and

WHEREAS, the issuance of \$750,000 of Parks Bonds by the City of Nichols Hills, Oklahoma, has been duly authorized at an election held for that purpose on February 12, 2019; and \$0.00 of said bonds have been issued; and

WHEREAS, the issuance of \$500,000 of Police Bonds by the City of Nichols Hills, Oklahoma, has been duly authorized at an election held for that purpose on February 12, 2019; and \$100,000 of said bonds have been issued; and

WHEREAS, the Council of the City of Nichols Hills, Oklahoma has determined that with respect to the bonds authorized by the February 12, 2019 election, \$3,019,000 of the total authorized \$13,000,000 of Streets Bonds is needed at this time to finance streets improvement projects; and

WHEREAS, the Council of the City of Nichols Hills, Oklahoma has determined that with respect to the bonds authorized by the February 12, 2019 election, \$2,989,000 of the total authorized \$6,550,000 of Water System Bonds is needed at this time to finance water system projects; and

WHEREAS, the Council of the City of Nichols Hills, Oklahoma has determined that with respect to the bonds authorized by the February 12, 2019 election, \$320,000 of the total authorized \$1,600,000 of Sanitary Sewer System Bonds is needed at this time to finance sanitary sewer system projects; and

WHEREAS, the Council of the City of Nichols Hills, Oklahoma has determined that with respect to the bonds authorized by the February 12, 2019 election, \$0.00 of the total authorized \$4,050,000 of Fire Bonds is needed at this time to finance fire projects; and

WHEREAS, the Council of the City of Nichols Hills, Oklahoma has determined that with respect to the bonds authorized by the February 12, 2019 election, \$0.00 of the total authorized \$1,000,000 of Technology Improvements Bonds is needed at this time to finance communications and data systems projects.

WHEREAS, the Council of the City of Nichols Hills, Oklahoma has determined that with respect to the bonds authorized by the February 12, 2019 election, \$730,000 of the total authorized \$1,000,000 of Public Works Facility Improvements Bonds is needed at this time to finance public works facility improvements projects; and

WHEREAS, the Council of the City of Nichols Hills, Oklahoma has determined that with respect to the bonds authorized by the February 12, 2019 election, \$630,000 of the total authorized \$750,000 of Parks Bonds is needed at this time to finance parks projects; and

WHEREAS, the Council of the City of Nichols Hills, Oklahoma has determined that with respect to the bonds authorized by the February 12, 2019 election, \$112,000 of the total authorized \$500,000 of Police Bonds is needed at this time to finance traffic control.

NOW, THEREFORE, BE IT RESOLVED BY THE COUNCIL OF THE CITY OF NICHOLS HILLS, OKLAHOMA, STATE OF OKLAHOMA:

Section 1. That with respect to the February 12, 2019 election, the \$3,019,000 Streets Bonds, the \$2,989,000 Water System Bonds, the \$320,000 Sanitary Sewer System Bonds, the \$630,000 Parks Bonds, the \$730,000 Public Works Facility Improvements Bonds, and \$112,000 Police Bonds, each as referenced above, shall be combined for the purpose of sale as authorized by 62 Oklahoma Statutes 2011, Section 354 and shall be called General Obligation Bonds, Series 2021 (the “Bonds” or the “Series 2021 Bonds”), shall collectively total \$7,800,000 and shall be combined for purposes of sale and sold as one unit with bids received for this combined purpose bond issue as only one unit.

Section 2. That the \$7,800,000 of General Obligation Bonds, Series 2021 of the City of Nichols Hills, Oklahoma, voted on February 12, 2019 shall be offered for sale in the City Clerk’s office at 6407 Avondale Drive, Nichols Hills, Oklahoma, on December 8, 2020, at 11:30 a.m. local time, and that said Bonds shall become due as follows:

\$650,000 on July 1, 2023 and \$650,000 annually each year thereafter until paid, with the last maturity on July 1, 2034 to likewise be \$650,000.

The General Obligation Bond, Series 2021 maturing in the years 2023 through 2027 are not subject to redemption prior to maturity. The General Obligation Bonds, Series 2021 maturing in the years 2028 through 2034 are subject to redemption at the option of the City on any date on or after July 1, 2027, at the principal amount thereof, plus accrued interest to the date of redemption.

Section 3. That the City Clerk is hereby authorized and directed to cause a Notice of Sale of said Bonds to be given as required by law.

Section 4. The Council of the City of Nichols Hills, Oklahoma hereby determines and stipulates that bids for the Bonds shall be made by sealed or electronic bids, that the Bonds shall be sold to the bidder bidding the lowest interest cost, to be determined based on true interest cost, and that each bidder on the Bonds shall submit with its bid a sum in cash, cashier's check, or electronic (wire) transfer payable to the Treasurer of the City of Nichols Hills, Oklahoma equal to two percent (2%) of the principal amount of the Bonds.

Section 5. The form of Preliminary Official Statement outlining the terms, conditions and security for the Bonds is hereby adopted and approved, is deemed by the Council of the City of Nichols Hills, Oklahoma to be "near final" in accordance with the requirements of Rule 15c2-12 of the Securities and Exchange Commission promulgated pursuant to the Securities and Exchange Act of 1934, and the Mayor or City Manager is authorized to approve any corrections, additions or deletions thereto for and on behalf of the City, and thereupon the Mayor or City Manager is authorized and directed to execute and deliver same for and on behalf of the City; and further, the Mayor or City Manager is authorized and directed to approve, execute and deliver a Final Official Statement or Official Statement for and on behalf of the City upon issuance of the Bonds.

Section 6. With respect to bonds to be issued pursuant to the election held February 12, 2019 and the Bonds, the Mayor or Vice Mayor is authorized to enter into a Contract for Bond Counsel Services by and between the City and Williams, Box, Forshee & Bullard, P.C., a Financial Services Contract by and between the City and BOK Financial Securities, Inc. and a Registrar, Transfer Agent and Paying Agent Agreement by and between the City and BOKF, NA dba Bank of Oklahoma, and amendments thereto each with respect to the Bonds, which contracts and amendments are hereby approved; and, in connection with the foregoing, selection pursuant to a competitive request for proposal process is hereby waived.

Section 7. The Mayor, Vice Mayor, City Manager, Treasurer, Director of Finance and City Clerk are hereby authorized and directed to execute, separately or jointly, and deliver such documents and take such other action as may be necessary or appropriate in order to effectuate the issuance, execution and delivery of the Bonds, including specifically, but not limited to, the Bonds, the Bond forms, tax or tax compliance documents, closing certificates, continuing disclosure or other security or securities related documents or any other letter, representation or certification otherwise necessary and attendant to the issuance and delivery of the Bonds.

ADOPTED AND APPROVED by the Mayor and the Council and signed by the Mayor of the City of Nichols Hills, Oklahoma on November 10, 2020.

Mayor

ATTEST:

City Clerk

STATE OF OKLAHOMA)
) SS
 COUNTY OF OKLAHOMA)

I, the undersigned, the duly qualified and acting Clerk of the City of Nichols Hills, Oklahoma, hereby certify that the foregoing is a true and complete copy of a Resolution authorizing the sale of Bonds and related matters adopted by the governing body of said municipality and Transcript of Proceedings of said governing body had at a regular meeting thereof held on the date therein set out, insofar as the same relates to the introduction, reading and adoption thereof as the same appears of record in my office.

I further certify that attached hereto is a true and complete copy of the public Notice of the schedule of regular meetings of the governing body of the City of Nichols Hills, Oklahoma for the calendar year 2020 having been given in writing to the City Clerk of said City at _____ o'clock _____m. on October 8, 2019, and public notice of this meeting, setting forth the date, time, place and agenda was posted at _____ o'clock _____m. _____, 2020, by posting on the City's Internet website (www.nicholshills.net) the date, time, place and agenda for the meeting in accordance with Section 3106.2 of Title 74 of the Oklahoma Statutes, and was posted at the place of this meeting in prominent view and open to the public twenty-four (24) hours each day, seven (7) days each week at _____ o'clock _____m., on _____, 2020, each being twenty-four (24) hours or more prior to this meeting, excluding Saturdays, Sundays and State designated legal holidays (as attached hereto), all in compliance with the Oklahoma Open Meeting Act. Further, as required by 25 O.S. § 311A(9)(b), the City made the notice of this public meeting available to the public in the principal office of the public body (6407 Avondale Drive, Nichols Hills, Oklahoma County, Oklahoma) during normal business hours at least twenty-four (24) hours prior to the meeting.

WITNESS my hand and seal on November 10, 2020.

 City Clerk

(SEAL)

(To be published by *The Journal Record* on November 20 and 27, and December 4, 2020)

NOTICE OF SALE OF BONDS

In accordance with Title 62, Oklahoma Statutes, 2011, Section 354, as amended, notice is hereby given that the City of Nichols Hills, Oklahoma, will receive sealed or electronic bids on December 8, 2020, until 11:30 a.m., local time, at the City Clerk's office at 6407 Avondale Drive, Nichols Hills, Oklahoma, for the sale of \$7,800,000 General Obligation Bonds, Series 2021, dated January 1, 2021, of said City, which Bonds will mature \$650,000 on July 1, 2023 and \$650,000 annually each year thereafter until paid, with the last maturity on July 1, 2034 to likewise be \$650,000.

The General Obligation Bond, Series 2021 maturing in the years 2023 through 2027 are not subject to redemption prior to maturity. The General Obligation Bonds, Series 2021 maturing in the years 2028 through 2034 are subject to redemption at the option of the City on any date on or after July 1, 2027, at the principal amount thereof, plus accrued interest to the date of redemption.

Bids filed with the City Clerk shall be opened and read in the City Clerk's Office at the time stated above and shall be awarded thereafter on said date by the City Council of the City of Nichols Hills, Oklahoma in Council Chambers, 6407 Avondale Drive, Nichols Hills, Oklahoma. Bids received after 11:30 a.m. on the above mentioned date will not be accepted and will be returned to the bidder unopened. There will be no exceptions to this policy.

The General Obligation Bonds, Series 2021 shall be sold to the bidder bidding the lowest interest cost which the Bonds shall bear and agreeing to pay par and accrued interest for the Bonds. Each bidder shall submit with its bid a sum in cash, cashier's check, or electronic (wire) transfer payable to the Treasurer of the City of Nichols Hills, Oklahoma equal to two percent (2%) of the principal amount of the Bonds. The right is reserved to reject all bids.

The General Obligation Bonds, Series 2021 is a combined purpose bond issue composed of \$3,019,000 Streets Bonds, \$2,989,000 Water System Bonds, \$320,000 Sanitary Sewer System Bonds, \$630,000 Parks Bonds, \$730,000 Public Works Facility Improvements Bonds, and \$112,000 Police Bonds from a February 12, 2019 election authorization, all of which shall be sold together as one unit, and bids will be received for this combined purpose bond issue as one unit only.

WITNESS my official hand and seal on November 10, 2020.

(SEAL)

Amanda Copeland
City Clerk

PRELIMINARY OFFICIAL STATEMENT DATED NOVEMBER 27, 2020**NEW ISSUE - QUALIFIED TAX-EXEMPT OBLIGATIONS
BOOK-ENTRY-ONLY****Rating: S&P “__”**

In the opinion of Bond Counsel, interest on the Series 2021 Bonds is excludable from the gross income of the payee thereof in the computation of Federal income tax under present law and interpretation thereof. In addition, such interest is not treated as a preference item in calculating alternative minimum taxable income imposed under the Internal Revenue Code of 1986 (the “Code”). Under the Code, however, interest on the Series 2021 Bonds is to be taken into account in the computation of certain taxes that may be imposed with respect to corporations, including, without limitation, the alternative minimum tax, the environmental tax and the foreign branch profits tax. Furthermore, an individual who owns the Series 2021 Bonds may be required under the Code to include in gross income a portion of his or her Social Security or railroad retirement payments. The Series 2021 Bonds have been designated by the City as “qualified tax-exempt obligations” within the meaning of Section 265(b) of the Code. Interest on the Series 2021 Bonds is exempt from Oklahoma income tax. SEE “TAX EXEMPTION” HEREIN.

\$7,800,000**CITY OF NICHOLS HILLS, OKLAHOMA
GENERAL OBLIGATION BONDS, SERIES 2021****Dated: January 1, 2021****Due: July 1, as shown on inside cover**

The Series 2021 Bonds are issuable in fully registered form and when initially issued will be registered in the name of Cede & Co., as nominee of The Depository Trust Company, New York, New York (“DTC”). DTC will act as securities depository for the Series 2021 Bonds. Purchases of beneficial ownership interests in the Series 2021 Bonds will be made in book-entry form only, in \$5,000 principal amounts or integral multiples thereof. Beneficial owners of the Series 2021 Bonds will not receive physical delivery of certificates evidencing their ownership interest in the Series 2021 Bonds so long as DTC or a successor securities depository acts as the securities depository with respect to the Series 2021 Bonds. Interest on the Series 2021 Bonds is payable each January 1 and July 1, commencing July 1, 2022, as more fully described herein. So long as DTC or its nominee is the registered owner of the Series 2021 Bonds, payments of the principal and interest on the Series 2021 Bonds will be payable by BOKF, NA, as the City’s Paying Agent-Registrar, to DTC and disbursement of such payments to DTC Participants is the responsibility of DTC and disbursement of such payments to the Beneficial Owners is the responsibility of DTC Participants. See “THE SERIES 2021 BONDS—Book-Entry-Only System” herein.

The Series 2021 Bonds constitute direct and general obligations of the City of Nichols Hills, Oklahoma, payable as to principal and interest from ad valorem taxes which may be levied without limitation as to rate or amount on all taxable property within the City, excepting homestead and disabled veteran exemptions, as prescribed in Article X, Section 27 of the Constitution of the State of Oklahoma. The Series 2021 Bonds were approved by the qualified electors of the City at an election held on February 12, 2019.

The Series 2021 Bonds are offered when, as and if issued and received by the original purchaser thereof, subject to prior sale, to withdrawal or modification of the offer without notice, and to the approval of legality of the Series 2021 Bonds by Williams, Box, Forshee & Bullard, P.C., Oklahoma City, Oklahoma, Bond Counsel, and the Attorney General of the State of Oklahoma. It is anticipated that the Series 2021 Bonds in definitive form will be available for delivery to DTC in New York, New York on or about January 28, 2021.

Financial Advisor

Official Statement dated _____, 2020.

\$7,800,000
CITY OF NICHOLS HILLS, OKLAHOMA
GENERAL OBLIGATION BONDS, SERIES 2021

Dated: January 1, 2021

Due: July 1, as shown below

MATURITY SCHEDULE

<u>Maturity</u>	<u>Principal Amount</u>	<u>Interest Rate</u>	<u>Yield</u>	<u>CUSIP Base 653794</u>
7-1-2023	\$	%	%	
7-1-2024				
7-1-2025				
7-1-2026				
7-1-2027				
7-1-2028†				
7-1-2029†				
7-1-2030†				
7-1-2031†				
7-1-2032†				
7-1-2033†				
7-1-2034†				

† Subject to optional redemption. See “THE SERIES 2021 BONDS – Redemption Provisions” herein.

CUSIP® is a registered trademark of the American Bankers Association. CUSIP data herein provided by CUSIP Global Services (“CGS”), managed on behalf of the American Bankers Association by S&P Capital IQ. This information is not intended to create a database and does not serve in any way as a substitute for services provided by CGS. CUSIP numbers have been assigned by an independent company not affiliated with the City or the Underwriter and are included solely for the convenience of the registered and beneficial owners of the applicable Series 2021 Bonds. Neither the City nor the Underwriter is responsible for the selection or uses of these CUSIP numbers, and no representation is made as to their correctness on the applicable Series 2021 Bonds or as included herein. The CUSIP number for a specific maturity is subject to being changed after the issuance of the Series 2021 Bonds as a result of various subsequent actions including, but not limited to, a refunding in whole or in part or as a result of the procurement of secondary market portfolio insurance or other similar enhancement by investors that is applicable to all or a portion of certain maturities of the Series 2021 Bonds.

This Official Statement does not constitute an offer to sell or a solicitation of an offer to buy within any jurisdiction to any person to whom it is unlawful to make such offer or solicitation within such jurisdiction. In connection with the offering of the Series 2021 Bonds, no dealer, salesman or any other person has been authorized to give any information or to make any representation other than contained herein. If given or made, such information or representation must not be relied upon.

The information contained in this Official Statement, including the cover page and exhibits hereto, has been obtained from public officials, official records and from other sources which are deemed to be reliable. No representation or warranty is made, however, as to the accuracy or completeness of such information and nothing contained in this Official Statement is or shall be relied upon as a promise or representation by the Financial Advisor. The delivery of this Official Statement does not at any time imply that information contained herein is correct as of any time subsequent to its date.

Any statements contained in this Official Statement involving matters of opinion, estimations or projections, whether or not expressly so stated, are intended as such and not as representations of fact. This Official Statement shall not be construed as a contract or agreement between the City of Nichols Hills, Oklahoma and the purchasers or holders of any of the Series 2021 Bonds.

For purposes of compliance with Rule 15c2-12(b)(1) of the Securities and Exchange Commission, this Preliminary Official Statement is deemed final as of the date hereof; however, it is subject to revision, amendment and completion as a Final Official Statement.

FINANCING PARTICIPANTS

CITY OF NICHOLS HILLS, OKLAHOMA

City Council

Sody ClementsMayor
 E. Peter Hoffman, Jr..... Vice Mayor
 Steven GoetzingerCouncilmember

Administration

Shane Pate City Manager
 Amanda Copeland..... Finance Director
 John Michael Williams City Attorney

BOND COUNSEL

Williams, Box, Forshee & Bullard, P.C.
 Oklahoma City, Oklahoma

FINANCIAL ADVISOR

BOK Financial Securities, Inc.
 Oklahoma City, Oklahoma

PAYING AGENT-REGISTRAR

BOKE, NA
 Oklahoma City, Oklahoma

TABLE OF CONTENTS

	<u>Page</u>
Summary Statement.....	i
Introduction	1
Authority and Security for the Series 2021 Bonds	1
The Series 2021 Bonds.....	2
Purpose of the Bond Issue	5
Tax Levy and Collection Procedures	5
City of Nichols Hills, Oklahoma	6
Financial Information	8
Auditor’s Report and Financial Statements.....	13
Tax Exemption	13
Qualified Tax-Exempt Obligations	14
Global Health Emergency Risk.....	15
Legal Matters.....	16
Continuing Disclosure Agreement	16
Absence of Material Litigation.....	17
Underwriting.....	17
Credit Rating	17
Financial Advisor	17
Miscellaneous	18
Certification as to Official Statement	18
 Exhibits	
A - Debt Service Schedule	
B - City of Nichols Hills, Oklahoma Comprehensive Annual Financial Report and Accompanying Independent Auditor’s Report for the Fiscal Year Ended June 30, 2019	
C - Form of Bond Counsel Opinion	
D - Form of Continuing Disclosure Agreement	

SUMMARY STATEMENT

The information contained on these pages is introductory only and is subject in all respects to the complete information in the Official Statement, including the Exhibits attached hereto.

ISSUER:	City of Nichols Hills, Oklahoma (the “City”).
DESCRIPTION:	\$7,800,000 City of Nichols Hills, Oklahoma General Obligation Bonds, Series 2021, dated January 1, 2021.
AUTHORITY:	The Series 2021 Bonds are being issued pursuant to the authority of Article X, Section 27, of the Oklahoma Constitution and Oklahoma Statutes supplementary thereto.
USE OF PROCEEDS:	The proceeds of the Series 2021 Bonds will be used for Streets improvement projects, Water System projects, Sanitary Sewer System projects, Parks projects, Public Works Facility Improvements projects, and Police projects.
SECURITY:	The Series 2021 Bonds are, in the opinion of counsel, a general obligation of the City and will be payable from ad valorem taxes which MAY BE LEVIED WITHOUT LIMITATION AS TO RATE OR AMOUNT on all taxable property within the boundaries of the City, excepting homestead and disabled veteran exemptions.
INTEREST PAYMENTS:	Interest on the Series 2021 Bonds will be payable beginning July 1, 2022, and semi-annually on each January 1 and July 1 thereafter.
BOOK-ENTRY ONLY:	The Bonds will be initially issued and registered in the name of Cede & Co., as nominee of The Depository Trust Company, New York, New York pursuant to its Book-Entry Only System.
DENOMINATIONS:	\$5,000 or any integral multiple thereof.
REDEMPTION:	The Series 2021 Bonds are subject to optional redemption beginning July 1, 2027, as herein described.
TAX MATTERS:	The Series 2021 Bonds have been designated by the City as “Qualified Tax-Exempt Obligations,” as that term is defined in the Code.
LEGALITY:	Issuance of the Series 2021 Bonds is subject to the approval of the Attorney General of the State of Oklahoma, and Williams, Box, Forshee & Bullard, P.C., Oklahoma City, Oklahoma, Bond Counsel.
RATING:	S&P Global Ratings “___”

OFFICIAL STATEMENT

\$7,800,000

CITY OF NICHOLS HILLS, OKLAHOMA GENERAL OBLIGATION BONDS, SERIES 2021

INTRODUCTION

This Official Statement, including the cover page and the exhibits, is furnished in connection with the offering by the City of Nichols Hills, Oklahoma (the “City”) of its General Obligation Bonds, Series 2021 (the “Series 2021 Bonds”), dated January 1, 2021. The Series 2021 Bonds were approved by the qualified electors of the City at an election held on February 12, 2019. See “AUTHORITY AND SECURITY FOR THE SERIES 2021 BONDS” below. The Series 2021 Bonds will be a direct and general obligation of the City, and the full faith and credit of the City will be pledged to the payment thereof. All references to and summaries of certain provisions of the Constitution of the State of Oklahoma, the laws of the State of Oklahoma and any other documents referred to herein do not purport to be complete and are qualified in their entirety by reference to the complete provisions thereof.

AUTHORITY AND SECURITY FOR THE SERIES 2021 BONDS

The Series 2021 Bonds are being issued in accordance with the provisions contained in Article X, Section 27 of the Constitution of the State of Oklahoma, as amended, and laws of the State of Oklahoma supplementary thereto, including without limitation, Title 62, Oklahoma Statutes 2011, Sections 353 and 354, as amended. In the opinion of Bond Counsel, the Series 2021 Bonds will be a general obligation of the City and will be payable from ad valorem taxes which may be levied WITHOUT LIMITATION AS TO RATE OR AMOUNT on all taxable property within the City excepting homestead and disabled veteran exemptions, to pay the principal of and interest on the Series 2021 Bonds when due.

Political subdivisions of the State of Oklahoma must have authorization from the voters of such political subdivision in order to become indebted for either a period of time beyond the end of the fiscal year or for an amount in excess of the income and revenue provided for such year.

At an election held on February 12, 2019, the voters of the City voted to incur indebtedness and authorized the issuance of bonds to provide funds for the purpose of (1) constructing, reconstructing, repairing, and improving streets and sidewalks in the amount of \$13,000,000 (the “Streets Bonds”) (the vote was 320 in favor and 31 opposed (91.17% in favor)); (2) improving, rehabilitating, repairing and equipping the City’s water system in the amount of \$6,550,000 (the “Water System Bonds”) (the vote was 328 in favor and 23 opposed (93.45% in favor)); (3) repairing, rehabilitating and improving the City’s sanitary sewer system in the amount of \$1,600,000 (the “Sanitary Sewer System Bonds”) (the vote was 327 in favor and 23 opposed (93.43% in favor)); (4) renovating, expanding, repairing, improving, furnishing and equipping the City’s existing fire station, as well as constructing, furnishing, and equipping a new fire training tower facility, and other fire department improvements and equipment in the amount of \$4,050,000 (the “Fire Bonds”) (the vote was 307 in favor and 44 opposed (87.46% in favor)); (5) acquiring and installing technology improvements, facilities and equipment in the amount of \$1,000,000 (the “Technology Improvements Bonds”) (the vote was 293 in favor and 57 opposed (83.71% in favor)); (6) improving and equipping the City’s Public Works Complex and facilities in the amount of \$1,000,000 (the “Public Works Facility Improvements Bonds”) (the vote was 293 in favor and 55 opposed (84.20% in favor)); (7) improving and equipping parks in the amount of \$750,000 (the “Parks Bonds”) (the vote was 289 in favor and 59 opposed (83.05% in favor)); and (8) purchasing and equipping police vehicles and related equipment in the amount of \$500,000 (the “Police Bonds”) (the vote was 306 in favor and 42

opposed (87.93% in favor)); and, levying and collecting an annual tax in addition to all other taxes upon the taxable property in the City sufficient to pay the interest on the Series 2021 Bonds as it falls due and to constitute a sinking fund for the payment of the principal of the Series 2021 Bonds when due.

The City Council proposes to issue the Series 2021 Bonds as outlined in the table below:

History of 2019 Election and Bonds Issued (000s)

	Streets	Water System	Sanitary Sewer System	Fire	Technology	Public Works Facility	Parks	Police	Total
February 2019 Authorization	\$ 13,000	\$ 6,550	\$ 1,600	\$ 4,050	\$1,000	\$ 1,000	\$750	\$500	\$ 28,450
Series 2020	1,800	-	-	2,230	270	-	-	100	4,400
Series 2021	3,019	2,989	320	-	-	730	630	112	7,800
Remaining	\$ 8,181	\$ 3,561	\$ 1,280	\$ 1,820	\$ 730	\$ 270	\$120	\$288	\$ 16,250

THE SERIES 2021 BONDS

Description

The Series 2021 Bonds shall bear interest at the rates and mature in the principal amounts and on the dates shown on the inside cover hereof. The Series 2021 Bonds are being issued in fully registered form in denominations of \$5,000 each and, with respect to principal maturing on the same date, in integral multiples thereof. The Series 2021 Bonds are transferable on the registration books maintained at the corporate trust office of BOKF, NA as the City's Paying Agent-Registrar ("Paying Agent-Registrar").

The Series 2021 Bonds will be dated January 1, 2021, and will bear interest from that date. Principal is payable on July 1 on the dates shown on the inside cover hereof, beginning July 1, 2023. Interest on the Series 2021 Bonds shall be payable semiannually on January 1 and July 1 of each year, commencing July 1, 2022 until the principal amount of the Series 2021 Bonds is paid.

Interest on the Series 2021 Bonds will be paid by check mailed to the registered owner in whose name such Series 2021 Bonds are registered on the registration books of the Paying Agent-Registrar at the close of business on the Record Date. The Record Date is the 15th day preceding each interest payment date for the Series 2021 Bonds. The principal of the Series 2021 Bonds will be payable upon presentation thereof at the corporate trust office of the Paying Agent-Registrar. If any of the Series 2021 Bonds are lost, mutilated, stolen or destroyed, the City shall execute and the Paying Agent-Registrar shall authenticate a new Bond or Bonds of the same date, maturity and aggregate denomination, and the City and the Paying Agent-Registrar may require satisfactory indemnification prior to replacement.

The Series 2021 Bonds will be initially registered in the name of Cede & Co., as nominee of The Depository Trust Company, New York, New York ("DTC") which will act as securities depository for the Series 2021 Bonds. Principal and interest on the Series 2021 Bonds will be paid by the Paying Agent-Registrar to DTC. Disbursements of such payments to the DTC participants is the responsibility of DTC and disbursement of such payments to the beneficial owners is the responsibility of the DTC participants and the Indirect Participants, as more fully described herein. See "Book-Entry-Only System" below.

Book-Entry-Only System

THE INFORMATION IN THIS SECTION, “BOOK-ENTRY-ONLY SYSTEM”, HAS BEEN FURNISHED BY THE DEPOSITORY TRUST COMPANY. NO REPRESENTATION IS MADE BY THE CITY AS TO THE COMPLETENESS OR ACCURACY OF SUCH INFORMATION OR AS TO THE ABSENCE OF MATERIAL ADVERSE CHANGES IN SUCH INFORMATION SUBSEQUENT TO THE DATE HEREOF. NO ATTEMPT HAS BEEN MADE BY THE CITY TO DETERMINE WHETHER DTC IS OR WILL BE FINANCIALLY OR OTHERWISE CAPABLE OF FULFILLING ITS OBLIGATIONS. THE CITY SHALL HAVE NO RESPONSIBILITY OR OBLIGATION TO DTC PARTICIPANTS, INDIRECT PARTICIPANTS OR THE PERSONS FOR WHICH THEY ACT AS NOMINEES WITH RESPECT TO THE SERIES 2021 BONDS, OR FOR ANY PRINCIPAL, PREMIUM, IF ANY, OR INTEREST PAYMENT THEREOF.

The Depository Trust Company (“DTC”), New York, NY, will act as securities depository for the Series 2021 Bonds. The Series 2021 Bonds will be issued as fully-registered securities registered in the name of Cede & Co. (DTC’s partnership nominee) or such other name as may be requested by an authorized representative of DTC. One fully-registered Series 2021 Bond Certificate will be issued for each maturity of the Series 2021 Bonds, each in the aggregate principal amount of such maturity, and will be deposited with DTC at the office of the Trustee on behalf of DTC utilizing the DTC FAST system of registration.

DTC, the world’s largest securities depository, is a limited-purpose trust company organized under the New York Banking Law, a “banking organization” within the meaning of the New York Banking Law, a member of the Federal Reserve System, a “clearing corporation” within the meaning of the New York Uniform Commercial Code, and a “clearing agency” registered pursuant to the provisions of Section 17A of the Securities Exchange Act of 1934. DTC holds and provides asset servicing for over 3.5 million issues of U.S. and non-U.S. equity issues, corporate and municipal debt issues, and money market instruments (from over 100 countries) that DTC’s participants (“Direct Participants”) deposit with DTC. DTC also facilitates the post-trade settlement among Direct Participants of sales and other securities transactions in deposited securities, through electronic computerized book-entry transfers and pledges between Direct Participants’ accounts. This eliminates the need for physical movement of securities certificates. Direct Participants include both U.S. and non-U.S. securities brokers and dealers, banks, trust companies, clearing corporations, and certain other organizations. DTC is a wholly-owned subsidiary of The Depository Trust & Clearing Corporation (“DTCC”). DTCC is the holding company for DTC, National Securities Clearing Corporation and Fixed Income Clearing Corporation, all of which are registered clearing agencies. DTCC is owned by the users of its regulated subsidiaries. Access to the DTC system is also available to others such as both U.S. and non-U.S. securities brokers and dealers, banks, trust companies, and clearing corporations that clear through or maintain a custodial relationship with a Direct Participant, either directly or indirectly (“Indirect Participants”). DTC has a Standard & Poor’s rating of “AA+”. The DTC Rules applicable to its Participants are on file with the Securities and Exchange Commission. More information about DTC can be found at www.dtcc.com.

Purchases of the Series 2021 Bonds under the DTC system must be made by or through Direct Participants, which will receive a credit for the Series 2021 Bonds on DTC’s records. The ownership interest of each actual purchaser of each Series 2021 Bond (“Beneficial Owner”) is in turn to be recorded on the Direct and Indirect Participants’ records. Beneficial Owners will not receive written confirmation from DTC of their purchase. Beneficial Owners are, however, expected to receive written confirmations providing details of the transaction, as well as periodic statements of their holdings, from the Direct or Indirect Participant through which the Beneficial Owner entered into the transaction. Transfers of ownership interests in the Series 2021 Bonds are to be accomplished by entries made on the books of Direct and Indirect Participants acting on behalf of Beneficial Owners. Beneficial Owners will not receive

certificates representing their ownership interests in the Series 2021 Bonds, except in the event that use of the book-entry system for the Series 2021 Bonds is discontinued.

To facilitate subsequent transfers, all Series 2021 Bonds deposited by Participants with DTC (or the Trustee on behalf of DTC utilizing the DTC FAST system of registration) are registered in the name of DTC's partnership nominee, Cede & Co, or such other name as maybe requested by an authorized representative of DTC. The deposit of the Series 2021 Bonds with DTC (or the Trustee on behalf of DTC utilizing the DTC FAST system of registration) and their registration in the name of Cede & Co or such other DTC nominee do not effect any change in beneficial ownership. DTC has no knowledge of the actual Beneficial Owners of the Series 2021 Bonds; DTC's records reflect only the identity of the Direct Participants to whose accounts such Series 2021 Bonds are credited, which may or may not be the Beneficial Owners. The Direct or Indirect Participants will remain responsible for keeping account of their holdings on behalf of their customers.

Conveyance of notices and other communications by DTC to Direct Participants, by Direct Participants to Indirect Participants, and by Direct Participants and Indirect Participants to Beneficial Owners will be governed by arrangements among them, subject to any statutory or regulatory requirements as may be in effect from time to time.

Redemption notices shall be sent to DTC. If less than all of the Series 2021 Bonds within an issue are being redeemed, DTC's practice is to determine by lot the amount of the interest of each Direct Participant in such issue to be redeemed.

Neither DTC nor Cede & Co (nor any other DTC nominee). will consent or vote with respect to the Series 2021 Bonds unless authorized by a Direct Participant in accordance with DTC's Procedures. Under its usual procedures, DTC mails an Omnibus Proxy to the Issuer as soon as possible after the record date. The Omnibus Proxy assigns Cede & Co.'s consenting or voting rights to those Direct Participants to whose accounts the Series 2021 Bonds are credited on the record date (identified in a listing attached to the Omnibus Proxy).

Redemption proceeds, principal and interest payments on the Series 2021 Bonds will be made to Cede & Co., or such other nominee as may be requested by an authorized representative of DTC. DTC's practice is to credit Direct Participants' accounts upon DTC's receipt of funds and corresponding detail information from the Issuer or the Agent on the payable date in accordance with their respective holdings shown on DTC's records. Payments by Participants to Beneficial Owners will be governed by standing instructions and customary practices, as is the case with securities held for the accounts of customers in bearer form or registered in "street name," and will be the responsibility of such Participant and not of DTC, the Agent, or the Issuer, subject to any statutory or regulatory requirements as may be in effect from time to time. Payment of redemption proceeds, principal and interest on the Series 2021 Bonds to Cede & Co. (or such other nominee as may be requested by an authorized representative of DTC) is the responsibility of the Issuer or the Agent, disbursement of such payments to Direct Participants shall be the responsibility of DTC, and disbursement of such payments to the Beneficial Owners shall be the responsibility of Direct and Indirect Participants.

DTC may discontinue providing its services as depository with respect to the Series 2021 Bonds at any time by giving reasonable notice to the Issuer or Agent. Under such circumstances, in the event that a successor depository is not obtained, Series 2021 Bonds certificates are required to be printed and delivered.

The Issuer may decide to discontinue use of the system of book-entry transfers through DTC (or a successor securities depository). In that event, Series 2021 Bonds certificates will be printed and delivered.

The information in this section concerning DTC and DTC's book-entry system has been obtained from sources that the Issuer believes to be reliable, but the Issuer takes no responsibility for the accuracy thereof.

Redemption Provisions

The Series 2021 Bonds maturing in the years 2023 through 2027 are not subject to redemption prior to maturity. The Series 2021 Bonds maturing in the years 2028 through 2034 are subject to redemption at the option of the City, on any date on or after July 1, 2027 at the principal amount thereof plus accrued interest to the date of redemption.

Payment Record

The City has never defaulted in the payment of principal and interest on its bonds.

PURPOSE OF THE BOND ISSUE

The proceeds of the Series 2021 Bonds shall be used to provide funds for various purposes of improving and expanding facilities for municipal services in the City. These improvements are set out below:

Streets	\$3,019,000
Water System	2,989,000
Sanitary Sewer System	320,000
Public Works Facility	730,000
Parks	630,000
Police	<u>112,000</u>
Total	<u>\$7,800,000</u>

TAX LEVY AND COLLECTION PROCEDURES

Oklahoma statutes require that the City each year make an ad valorem tax levy for a Sinking Fund which shall, with cash and investments in the fund, be sufficient to pay all the bonded indebtedness, interest and one-third of all outstanding judgments coming due in the following year.

After review and approval by the City Council, copies of the Sinking Fund Estimates are submitted to the County Excise Board to determine the ad valorem tax levy appropriations. This submission is required to be made by July 20 of each year. The estimates are for the purposes of determining ad valorem taxes required to fund general operations and the Sinking Fund. The amounts contained in the estimate of needs are verified by the County Excise Board and, upon verification, the levies contained therein are ordered to be certified to the County Assessor in order that the County Assessor may extend said levies upon the tax rolls for the year for which the estimate of needs is being submitted. The County Excise Board further certifies that the appropriations contained in the estimate of needs and the mill rate levies are within the limitations provided by law. While the County Excise Board may make recommendations with respect to the levy request, it only has the authority to change the reserve for delinquent taxes.

Cities and counties in Oklahoma are limited to a total of ten (10) mills from ad valorem taxes for operating purposes between them. Traditionally, the Oklahoma County Excise Board has not approved any millage for the City for operating purposes since the ten (10) mills have gone to Oklahoma County for its operating fund. For purposes of the general obligation bond debt service, however, there is no limitation as to the mill levy for debt issued under Section 27, Article X of the Oklahoma Constitution (Section 35, which provides for development of industrial facilities, does provide for an annual five (5) mill levy limitation).

State Statutes make it mandatory for any unit of local government to annually provide for a tax sufficient to pay the principal and interest as they become due on any outstanding debt. If the proper officer whose duty it is to annually provide the necessary tax for debt service fails to do so it becomes the duty of the County Clerk, who serves as Secretary of the County Excise Board, to do so. Failure by either the particular unit of government or the County Clerk to levy the necessary taxes for debt service makes it the duty of the State Auditor to certify the proper amount to the County Treasurer who is then required to make the necessary levy.

The County Assessor is required to file a tax roll report on or before October 1 of each year with the County Treasurer indicating the net assessed valuation for each municipality within the County. This report includes the assessed valuation for all real, personal and public service property (public service property assessed valuations are determined by the Oklahoma Tax Commission). The County Treasurer must begin collecting taxes by November 1.

Under Title 68, Oklahoma Statutes 2011, §§ 3101 et seq., taxes upon real property are a lien for seven (7) years from the date upon which such tax became due and payable. Further, if the taxes remain unpaid for a period of three (3) years or more as of the date such taxes first became due and payable, such property will be sold at public auction unless the delinquent taxes, interest and penalty are paid in full prior to the time of the tax sale.

In most cases, the deadline for paying property taxes is December 31. The “split payment” option allows a taxpayer to pay half of the taxes by December 31 and the remainder before April 1 without any penalty. Otherwise, taxes that are unpaid on January 1 are delinquent, and penalty and interest charges are added to the original amount.

Each taxing jurisdiction holds a tax lien on each item of taxable property. This tax lien gives the County the power to foreclose on the lien and attach the property, even if its ownership has changed. The property will then be auctioned, and the proceeds used to pay the taxes.

CITY OF NICHOLS HILLS, OKLAHOMA

The City, founded by its namesake, Dr. G. A. Nichols, was incorporated in 1929. The approximately two and one-half square miles of rolling hills, numerous trees and wide gently curving streets has developed into one of the most unique residential communities in Oklahoma today. The City is almost entirely residential with few office complexes and one shopping center. The City is located wholly within the Oklahoma City School District. The City of Oklahoma City and the City of The Village surround the City on all sides.

The 2019 estimated population of the City was 3,938 according to the U.S. Census Bureau. The 2020 estimated population of the City has not yet been published by the U.S. Census Bureau.

City Government

The City is a separate and independent municipality which has operated under the Council-Manager form of government since 1959. The present City Charter was adopted April 7, 1959. The elected officers consist of three City Council members who represent the three wards of the City and are elected at large for three-year terms. The Mayor is elected from among the council members to serve a one-year term. The City Council appoints the City Manager by a majority vote. The City Manager is the

administrative head of the municipal government and responsible only to the City Council. The present elected officers and administrators of the City are:

Sody Clements	Ward 1
E. Peter Hoffman, Jr.	Ward 2
Steven Goetzinger	Ward 3

Municipal departments and/or services of the City include the following:

- A. General Government consists of the City Manager, an Administrative Assistant and clerical staff.
- B. The Police Department consists of a chief of police, an assistant chief of police and police personnel and staff. The police station is located at Town Hall.
- C. The Fire Department consists of a chief, an assistant chief, firemen and staff. The fire station is located at Town Hall.
- D. The Municipal Court consists of the municipal judge who is appointed by the City Council for an indefinite term and a court clerk appointed by the City Manager.
- E. The Public Works Department is supervised by a director and a deputy director. This department is divided into three divisions:
 - 1. The Utility Division which supervises the water and sewer systems.
 - 2. The Sanitation Division which is responsible for garbage pick-up.
 - 3. The Parks Division which is responsible for the City's numerous parks.

The school system for public education in the City is not operated by the City but is operated by the Oklahoma City Public Schools, Independent School District No. 89 of Oklahoma County.

The uniformed fire personnel are represented by the International Association of Firefighters. The uniformed police and the other city employees are not represented by unions.

Utility Services

Water and Sanitary Sewer. The City's water and sanitary sewer collection system are municipally owned. The source of water is from water wells, which are operated by the City and/or the Nichols Hills Municipal Authority.

Natural Gas. Oklahoma Natural Gas, a division of ONEOK, Inc., supplies natural gas to the City.

Electric. Oklahoma Gas & Electric Company ("OG&E") supplies electric power to the City.

FINANCIAL INFORMATION

Computation of Outstanding General Obligation Indebtedness

2020 Net Assessed Valuation ⁽¹⁾	\$163,658,717
General Obligation Bonds Outstanding at October 28, 2020	\$31,530,000
Plus the Series 2021 Bonds	7,800,000
Less Debt Service Fund Balance at June 30, 2020 ⁽²⁾	<u>(530,138)</u>
Net General Obligation Bonds Outstanding	\$ 38,799,862
Ratio of Net G.O Indebtedness to Net Assessed Valuation	23.71%

(1) Source: Oklahoma County Assessor.

(2) Source: *City of Nichols Hills, Oklahoma Sinking Fund Schedules June 30, 2020 and Sinking Fund Estimate of Needs for Fiscal Year Ending June 30, 2021.*

Direct Indebtedness

The City has gross outstanding general obligation bonded indebtedness of \$39,330,000 (which includes the Series 2021 Bonds), as detailed below:

City of Nichols Hills General Obligation Indebtedness (000s)

	2009	2010	2011	2012	2012	2013	2014	2015	2016	2017	2018	2019	2020	2021	
	G.O.	G.O.	G.O.	G.O.	Rfdg	G.O.	G.O.	G.O.	G.O.	G.O.	G.O.	G.O.	G.O.	G.O.	
Mat.	(July 1)	(July 1)	(Aug. 1)	(July 1)	(July 1)	(July 1)	(July 1)	(July 1)	(July 1)	(July 1)	(July 1)	(July 1)	(July 1)	(July 1)	Total
2021	\$ 230	\$ 200	\$ 450	\$ 205	\$ 270	\$ 330	\$ 325	\$ 350	\$ 350	\$ 350	\$ 250	\$ 250			\$ 3,560
2022	270	200	450	205	--	330	325	350	350	350	250	250	\$ 650		3,980
2023	--	200	450	205	--	330	325	350	350	350	250	250	650	\$ 650	4,360
2024	--	--	450	205	--	330	325	350	350	350	250	250	650	650	4,160
2025	--	--	--	245	--	330	325	350	350	350	250	250	650	650	3,750
2026	--	--	--	--	--	370	325	350	350	350	250	250	650	650	3,545
2027	--	--	--	--	--	--	325	350	350	350	250	250	650	650	3,175
2028	--	--	--	--	--	--	--	350	350	350	250	250	650	650	2,850
2029	--	--	--	--	--	--	--	--	350	350	250	250	650	650	2,500
2030	--	--	--	--	--	--	--	--	--	350	250	250	650	650	2,150
2031	--	--	--	--	--	--	--	--	--	--	250	250	650	650	1,800
2032	--	--	--	--	--	--	--	--	--	--	--	250	650	650	1,550
2033	--	--	--	--	--	--	--	--	--	--	--	--	650	650	1,300
2034	--	--	--	--	--	--	--	--	--	--	--	--	--	650	650
TOTAL	\$ 500	\$ 600	\$ 1,800	\$ 1,065	\$ 270	\$ 2,020	\$ 2,275	\$ 2,800	\$ 3,150	\$ 3,500	\$ 2,750	\$ 3,000	\$ 7,800	\$ 7,800	\$ 39,330

Direct and Overlapping Debt

	<u>The City of Nichols Hills</u>	<u>Oklahoma City Public Schools</u>	<u>Metro Tech Vo-Tech</u>	<u>Oklahoma County</u>	<u>Total</u>
Net Indebtedness	\$38,799,862	\$90,239,911 ⁽¹⁾	\$80,000,000 ⁽¹⁾	\$20,952,849 ⁽¹⁾	\$229,992,622
Net Direct or Overlapping Indebtedness	\$38,799,862	\$6,217,530	\$6,800,000	\$429,533	\$52,246,925
Ratio of Net Direct or Overlapping Indebtedness to the City's 2020 Net Assessed Valuation ⁽²⁾	23.71%	3.80%	4.15%	0.26%	31.92%
Per Capita Net Direct and Overlapping Indebtedness ⁽³⁾	\$9,853	\$1,579	\$1,727	\$109	\$13,268

(1) Source: *Oklahoma Financial Survey and Municipal Bond Ratings 2020 Edition* compiled by The Municipal Rating Committee of Oklahoma, Inc.; figures shown reflect bonded debt as of June 30, 2019, net of sinking fund balances and excluding judgments. (The 2021 Edition has not yet been published.)

(2) The 2020 Net Assessed Valuation for each entity above is as follows: City of Nichols Hills \$163,658,717; Oklahoma City Public Schools \$2,373,532,189; Metro Tech Vo-Tech District \$1,923,578,405; and, Oklahoma County \$7,970,017,633. Source: Oklahoma County Assessor.

(3) The 2019 estimated population of the City was 3,938 according to the U.S. Census Bureau. The 2020 estimated population of the City has not yet been published by the U.S. Census Bureau.

Direct Indebtedness at June 30, 2018

The City had gross outstanding general obligation indebtedness of \$28,210,000 at June 30, 2018. As of June 30, 2018, the combined outstanding general obligation indebtedness matures as follows:

Fiscal Year Ending June 30,	Principal	Interest	Total
2020	\$ 3,530,000	\$ 807,463	\$ 4,337,463
2021	3,560,000	669,988	4,229,988
2022	3,330,000	577,710	3,907,710
2023	3,060,000	482,922	3,542,922
2024	2,860,000	401,748	3,261,748
2025-2029	9,770,000	971,986	10,741,986
2030-2034	1,600,000	81,437	1,681,437
TOTALS	\$ 27,710,000	\$ 3,993,254	\$ 31,703,254

Source: *City of Nichols Hills, Oklahoma Comprehensive Annual Financial Report and Accompanying Independent Auditor's Reports for the Fiscal Year Ended June 30, 2019.*

Overlapping Indebtedness*

The Oklahoma City School District, wherein 100% of the Net Assessed Valuation of the City is situated, had net outstanding general obligation indebtedness of \$90,239,911 at June 30, 2019, consisting of gross outstanding general obligation indebtedness of \$141,855,000 less sinking fund of \$51,615,089. The

2020 Net Assessed Valuation of the Oklahoma City School District, excluding Tax Increment Financing districts, is \$2,373,532,189.

Metro Tech Vo-Tech District #22, wherein 100% of the Net Assessed Valuation of the City is situated, had net outstanding general obligation indebtedness of \$80,000,000 at June 30, 2019, consisting of gross outstanding general obligation indebtedness of \$80,000,000 less sinking fund of \$0. The 2020 Net Assessed Valuation of the Metro Tech Vo-Tech District #22, excluding Tax Increment Financing districts, is \$1,923,578,405.

Oklahoma County, wherein 100% of the Net Assessed Valuation of the City is situated, had net outstanding general obligation indebtedness of \$20,952,849 at June 30, 2019, consisting of gross outstanding general obligation indebtedness of \$27,410,000 less sinking fund of \$6,457,151. The 2020 Net Assessed Valuation of Oklahoma County, excluding Tax Increment Financing districts, is \$7,970,017,633.

*Sources: General obligation indebtedness figures are published in the *Oklahoma Financial Survey and Municipal Bond Ratings 2020 Edition*, compiled by The Municipal Rating Committee of Oklahoma, Inc., and reflect bonded debt as of June 30, 2019, net of sinking fund balances and excluding judgments. (The 2021 Edition has not yet been published.)

Net Assessed Valuation figures are published by the Oklahoma County Assessor.

Net Assessed Valuation of the City

Historical Composition Breakdown

<u>Year</u>	<u>Real Property</u>	<u>Personal Property</u>	<u>Public Service Property</u>	<u>Gross Assessed Valuation</u>	<u>Homestead Exemption</u>	<u>Disabled Veteran Exemption</u>	<u>Net Assessed Valuation</u>
2020	\$161,356,877	\$2,762,073	\$ 856,267	\$164,975,217	\$ 969,000	\$347,500	\$163,658,717
2019	158,117,873	2,237,518	801,287	161,156,678	981,000	316,147	159,859,531
2018	149,757,271	2,096,104	826,084	152,679,459	1,003,000	222,127	151,454,332
2017	145,046,585	1,891,037	779,411	147,717,033	1,038,000	219,021	146,460,012
2016	138,741,187	1,756,754	1,097,508	141,595,449	1,031,000	213,505	140,350,944
2015	131,851,749	1,670,395	1,150,601	134,672,745	1,034,000	202,975	133,435,770
2014	124,654,042	1,689,260	1,135,752	127,479,054	1,042,000	197,927	126,239,127
2013	118,937,793	1,655,979	1,212,225	121,805,997	1,072,000	340,217	120,393,780
2012	114,218,989	1,754,755	1,228,414	117,202,158	1,087,000	305,074	115,810,084
2011	111,131,220	2,007,817	1,317,677	114,456,714	1,099,000	282,569	113,075,145

Source: Oklahoma County Assessor.

The Growth

Year	Gross Assessed Valuation		Homestead Exemption		Net Assessed Valuation	
	Amount*	Change	Amount*	Change	Amount*	Change
2020	\$ 164,975,217	2.37%	\$ 969,000	-1.22%	\$ 163,658,717	2.38%
2019	161,156,678	5.55%	981,000	-2.19%	159,859,531	5.55%
2018	152,679,459	3.36%	1,003,000	-3.37%	151,454,332	3.41%
2017	147,717,033	4.32%	1,038,000	0.68%	146,460,012	4.35%
2016	141,595,449	5.14%	1,031,000	-0.29%	140,350,944	5.18%
2015	134,672,745	5.64%	1,034,000	-0.77%	133,435,770	5.70%
2014	127,479,054	4.66%	1,042,000	-2.80%	126,239,127	4.86%
2013	121,805,997	3.93%	1,072,000	-1.38%	120,393,780	3.96%
2012	117,202,158	2.40%	1,087,000	-1.09%	115,810,084	2.42%
2011	114,456,714	2.63%	1,099,000	-0.18%	113,075,145	2.65%

*Source: Oklahoma County Assessor.

Major Property Taxpayers

City of Nichols Hills Ten Principal Taxpayers*		2019 Assessed Value	Percentage of City's 2019 Net Assessed Value
Description			
Oklahoma City Golf & Country Club	Golf course	\$ 1,584,912	0.99%
OKC NHP LLC	Shopping center	1,129,911	0.71%
Cumberland Drive LLC	Multiple residential lots	560,341	0.35%
Crutchmer Clyde V TRS	Resident (2 properties)	523,667	0.33%
TG NHOB LLC	Office building	495,000	0.31%
Mills Robert L & Margaret S TRS	Resident	460,575	0.29%
Oliver Michael & Deborah TRS	Resident	457,958	0.29%
Albers Leigh Ann TRS	Resident	456,802	0.29%
JLJ Properties LLC	Resident (2 properties)	445,546	0.28%
Arnall Sue Ann TRS	Resident	435,213	0.27%
		<u>\$ 6,549,925</u>	<u>4.10%</u>
City of Nichols Hills 2019 Net Assessed Value		\$ 159,859,531	100.00%

Source: Oklahoma County Assessor.

Note: 2020 Principal Taxpayers list is not yet available.

* Companies listed are single parcel accounts with their real and personal values combined, except for public service companies. It is possible that a taxpayer might be excluded from this ranking, such as a company that owns several smaller parcels which if combined might be larger than those listed above.

Sinking Fund Tax Collections

Fiscal Year	Total Tax Levy	Current Tax Collections	Delinquent Tax Collections	Total Tax Collections	% of Total Collected to Total Levy
2019	\$ 4,500,433	\$ 4,422,859	\$ 104,903	\$ 4,527,762	100.61%
2018	3,963,102	3,857,037	117,138	3,974,175	100.28%
2017	4,089,261	3,969,958	122,754	4,092,712	100.08%
2016	3,786,396	3,647,898	124,642	3,772,540	99.63%
2015	3,316,335	3,205,328	--	3,205,328	96.65%
2014	2,853,369	2,766,386	57,200	2,823,586	98.96%
2013	3,021,644	2,925,990	77,044	3,003,034	99.38%
2012	3,321,020	3,251,542	67,690	3,319,232	99.95%
2011	2,923,866	2,827,500	21,662	2,849,162	97.45%
2010	2,808,007	2,711,838	96,169	2,808,007	100.00%

Source: *City of Nichols Hills, Oklahoma Comprehensive Annual Financial Report and Accompanying Independent Auditor's Report for the Fiscal Year Ended June 30, 2019* (Table 9).

Trend of Tax Rates for Major Taxing Units ^{(1), (2)}

Year	City of Nichols	Oklahoma City Public Schools	Metro Tech Vo-Tech #22	Oklahoma County	Total Levy
2019	28.10	58.73	21.37	23.49	131.69
2018	29.71	58.84	15.45	23.64	127.64
2017	27.06	59.36	15.45	23.28	125.15
2016	29.14	59.36	15.45	23.81	127.76
2015	28.38	59.71	15.45	23.72	127.26
2014	26.27	59.36	15.45	23.58	124.66
2013	23.70	60.39	15.45	23.87	123.41
2012	26.09	59.29	15.45	23.97	124.80
2011	29.37	62.09	15.45	24.06	130.97
2010	26.54	58.70	15.45	24.27	124.96
2009	26.99	58.43	15.45	24.79	125.66

(1) Tax levy per \$1,000 of Net Assessed Valuation.

(2) Source: Oklahoma County Assessor.

City of Nichols Hills Population

The 2019 estimated population of the City was 3,938 according to the U.S. Census Bureau. The 2020 estimated population of the City has not yet been published by the U.S. Census Bureau. Select historical Census counts for the City are as follows:

2010 – Historical	3,710
2000 – Historical	4,056
1990 – Historical	4,020
1980 – Historical	4,153

Source: U.S. Census Bureau.

AUDITOR'S REPORT AND FINANCIAL STATEMENTS

The audited financial statements of the City for the year ended June 30, 2019 reported on by Arledge & Associates, P.C., Certified Public Accountants, are set forth as Exhibit B to this Official Statement.

TAX EXEMPTION

In the opinion of Bond Counsel, under existing statutes, regulations, published rulings and court decisions, interest on the Series 2021 Bonds is excludable from the gross income of the owners of the Series 2021 Bonds for Federal income tax purposes. Interest on the Series 2021 Bonds is exempt from Oklahoma income taxes. In expressing their opinion that interest on the Series 2021 Bonds is excludable from the gross income of the owners of the Series 2021 Bonds, Bond Counsel will rely on the City's Non-Arbitrage and Use of Proceeds Certificate and will assume compliance by the City with certain covenants of the City with respect to the use and investment of the proceeds of the Series 2021 Bonds. Failure by the City to comply with these covenants may cause the interest on the Series 2021 Bonds to become includable in gross income retroactively to the date of issuance of the Series 2021 Bonds. Except as stated above with respect to the exclusion of the interest on the Series 2021 Bonds from gross income, and except as set out in its opinion, Bond Counsel expresses no opinion as to any other Federal income tax consequences of acquiring, carrying, owning or disposing of the Series 2021 Bonds.

The law upon which Bond Counsel has based their opinion is subject to change by the Congress and Department of the Treasury and to subsequent judicial and administrative interpretation. There can be no assurance that such law or the interpretation thereof will not be changed in a manner, which would adversely affect the tax treatment of ownership of the Series 2021 Bonds.

A portion of the interest on the Series 2021 Bonds will be includable in adjusted earnings and profits to calculate alternative minimum tax imposed on corporations by Section 55 of the Internal Revenue Code of 1986, as amended (the "Code"), and for purposes of the environmental tax imposed on corporations by Section 59A of the Code. In addition, certain foreign corporations doing business in the United States may be subject to the "branch profits tax" on their effectively connected earnings and profits including tax-exempt interest such as interest on the Series 2021 Bonds. Furthermore, in the case of a Subchapter S Corporation, interest on the Series 2021 Bonds is treated as passive investment income which is subject to the tax imposed by Section 1375 of the Code.

The Code includes as an individual and corporate alternative minimum tax preference item the interest on certain "private activity bonds" issued after August 7, 1986. The Series 2021 Bonds are not "private activity bonds" and the interest on the Series 2021 Bonds is not an individual or corporate alternative minimum tax preference item under Section 57(a)(5) of the Code.

Prospective purchasers of the Series 2021 Bonds should be aware that the ownership of tax-exempt obligations may result in collateral Federal income tax consequences to financial institutions, property and casualty insurance companies, individual recipients of Social Security or Railroad Retirement benefits and taxpayers who may be deemed to have incurred or continued indebtedness to purchase or carry tax-exempt obligations. And, although it is anticipated that Bond Counsel will render an opinion to the effect that interest on the Series 2021 Bonds will not be included in gross income for federal income tax purposes, the accrual or receipt of interest thereon may otherwise affect the federal income tax liability of the recipient. Prospective purchasers should consult their own tax advisers as to the applicability of these consequences.

Original Issue Discount Bonds - The excess, if any, of the principal amount payable when a maturity of the Series 2021 Bonds is scheduled to come due over the initial offering price to the public

(excluding bond houses, brokers, or similar persons or organizations acting in the capacity of underwriters or wholesalers) at which price a substantial amount of such Series 2021 Bonds of the same maturity (the “Discount Bonds”) was sold, constitutes original issue discount which is not includable in gross income for Federal income tax purposes to the same extent as interest on the Series 2021 Bonds. Further, such original issue discount accrues actuarially on a constant interest rate basis over the term of each Discount Bond, and the basis of each Discount Bond acquired at such initial offering price by an initial purchaser thereof will be increased by the amount of such accrued original issue discount. The accrual of original issue discount may be taken into account as an increase in the amount of tax-exempt income for purposes of determining various other tax consequences of owning the Discount Bonds even though there will not be a corresponding cash payment.

Owners of Discount Bonds are advised that they should consult with their own tax advisors with respect to the determination for Federal income tax purposes of original issue discount accrued upon the sale, redemption or other disposition of such Discount Bonds, and with respect to the state and local tax consequences of owning such Discount Bonds.

Original Issue Premium Bonds - In general, if an owner acquires Series 2021 Bonds for a purchase price (excluding accrued interest) or otherwise at a tax basis that reflects a premium over the sum of all amounts payable on such Series 2021 Bonds after the acquisition date (excluding certain “qualified stated interest” that is unconditionally payable at least annually at prescribed rates), such Series 2021 Bonds (the “Premium Bonds”) will have bond premium. In general, under Section 71 of the Code, an owner of Premium Bonds must amortize the bond premium over the remaining term of the Premium Bonds, based on the owner’s yield over the remaining term of the Premium Bonds, determined on the basis of constant yield principals. An owner of Premium Bonds must amortize the bond premium by offsetting the qualified stated interest allocable to each interest accrual period, under the owner’s regular method of accounting, against the bond premium allocable to that period. In the case of tax-exempt bonds, such as the Series 2021 Bonds, that are Premium Bonds, if the bond premium allocable to an accrual period exceeds the qualified stated interest allocable to that accrual period, the excess is a nondeductible loss. Under certain circumstances, the owners of Premium Bonds may realize a taxable gain upon disposition of Premium Bonds even though they are sold or redeemed for an amount less than or equal to the owner’s original acquisition cost.

Owners of Premium Bonds are advised that they should consult with their tax advisors with respect to the treatment of bond premium for Federal income tax purposes, including various special rules relating thereto, and state and local tax consequences, in connection with the acquisition, ownership, and disposition of such Premium Bonds.

QUALIFIED TAX-EXEMPT OBLIGATIONS

Section 265 of the Code provides, in general, that interest expense incurred to acquire or carry tax-exempt obligations is not deductible from the gross income of the holder. For certain holders that are “financial institutions” within the meaning of such section, complete disallowance of such expense would apply to taxable years beginning after December 31, 1986, with respect to tax-exempt obligations acquired after August 7, 1986. Section 265(b) of the Code provides an exception to this rule for interest expense incurred by financial institutions to acquire or carry tax-exempt obligations (other than “private activity bonds”) which are designated by an issuer as “qualified tax-exempt obligations” where not more than \$10 million of tax-exempt obligations are issued by the issuer during the calendar year in which the issue so designated is issued.

The City will designate the Series 2021 Bonds as “qualified tax-exempt obligations” by an ordinance to be passed on November 10, 2020. Furthermore, the City will represent that it has or will take such actions as necessary for the Series 2021 Bonds to constitute “qualified tax-exempt obligations.”

GLOBAL HEALTH EMERGENCY RISK

The outbreak of COVID-19, a respiratory illness caused by a new strain of coronavirus, has been characterized as a pandemic (the “Pandemic”) by the World Health Organization and is currently affecting global, national, state and local economic activity. In response to the spread of the disease, national, state and local governments, businesses and other institutions, and individuals appear to be altering behaviors in a manner that may negatively impact economies. In addition, there has been significant volatility in the U.S. and global stock and bond markets that has been attributed to concerns about the spread of COVID-19. In light of concerns regarding the spread of COVID-19, on January 31, 2020 the Secretary of Health and Human Services (HHS) declared a public health emergency, under section 319 of the Public Health Service Act (42 U.S.C. 247d). On March 13, 2020 the President of the United States found and proclaimed that, beginning March 1, 2020, the COVID-19 outbreak in the United States constitutes a national emergency. Subsequently, the President’s Coronavirus Guidelines for America and the United States Centers for Disease Control and Prevention called upon Americans to take actions to slow the spread of COVID-19 in the United States.

The first case of COVID-19 in Oklahoma was confirmed on March 6, 2020, and the first case of community spread was reported on March 16, 2020. On March 17, 2020, the Governor of Oklahoma issued Executive Order 2020-7 declaring that a state of emergency caused by the impending threat of COVID-19 to the people of Oklahoma and the public’s peace, health and safety existed in all 77 of Oklahoma’s counties. On the same day, Executive order 2020-7 was amended to commit the resources of all State Departments and Agencies in areas such as public health, civil emergency preparedness and actions intended to address the spread of COVID-19. The Governor has since issued (or amended) a number of executive orders relating to COVID-19 preparedness and mitigation. These include, for example, imposing limitations on social gatherings of more than 10 people, temporarily closing school districts and non-essential businesses throughout the state, and a “safer-at-home” advisement. On April 24, 2020, the Governor issued Fourth Amended Executive Order 2020-13 which added guidelines under the Open Up and Recover Safely (OURS) plan that is a three-phased approach to open Oklahoma’s economy starting April 24, 2020. Phase 1 – Part 1 began April 24, 2020. Phase 1 – Part 2 began May 1, 2020. Phase 2 was implemented on May 15, 2020 and allowed bars to open with diminished capacity and allowed funerals and weddings under social distancing and sanitation protocols. Phase 3 allows employers to resume unrestricted staffing of worksites and allows Summer Camps to open but maintains restrictions on visits to Senior Care Facilities. Phase 3 began on June 1, 2020. The Oklahoma State Department of Health provides information relating to COVID-19 and related developments in the State of Oklahoma on its website, <https://coronavirus.health.ok.gov/>. None of the information on such website is incorporated by reference herein.

The current spread of COVID-19 is altering the behavior of businesses and people in a manner that may have negative effects on economic activity and therefore could adversely impact the financial condition of the City. As discussed herein in the section titled “AUTHORITY AND SECURITY FOR THE SERIES 2021 BONDS,” the Series 2021 Bonds are direct and general obligations of the City, payable as to both principal and interest from ad valorem taxes to be levied against all taxable property within the City, without limitation as to rate or amount. The Sinking Fund ad valorem tax rates are set by determining the actual dollars of revenues required for payment of principal and interest payments on indebtedness and judicial judgments. Such total amount may be reduced by any surplus from the prior fiscal year and any contribution made into the Sinking Fund. To the resulting net requirements, a reserve for delinquent taxes, in an amount of not less than 5% nor more than 20% of the net required tax collection, shall be added to the required collections. Such final total requirements shall then be divided by the total net assessed valuation of all real,

personal and public service property in order to determine the appropriate tax rate for each property owner. One hundred percent (100%) of the City's Sinking (Debt Service) Fund revenues come from locally collected ad valorem taxes, not sales or use taxes or State of Oklahoma revenues. Pursuant to State law, the City has the ability to increase the "additional levy for delinquencies" up to 20%. The City is working to assess the economic and social effects of COVID-19 and what impact it will have on the City's financial position.

LEGAL MATTERS

All legal matters incident to the authorization and issuance of the Series 2021 Bonds are subject to the approval of legality by Williams, Box, Forshee & Bullard, P.C., Oklahoma City, Oklahoma, Bond Counsel, and the Attorney General of the State of Oklahoma.

CONTINUING DISCLOSURE AGREEMENT

In accordance with the requirements of Rule 15c2-12 ("Rule 15c2-12"), promulgated by the U.S. Securities and Exchange Commission (the "SEC"), the City has entered into a Continuing Disclosure Agreement in substantially the form set forth in Exhibit D to this Official Statement. This Continuing Disclosure Agreement sets forth the continuing obligation of the City to provide certain financial and other information with respect to the City and the Series 2021 Bonds.

In March of 2014, the SEC announced its Municipalities Continuing Disclosure Cooperation Initiative (the "MCDC") pursuant to which underwriters and municipal issuers could self-report instances where official statements of municipal issuers failed to report instances in which the municipal issuer failed to comply in all material respects with its continuing disclosure undertakings. Pursuant to the MCDC, in November of 2014 the City reported certain prior failures to the SEC.

In February 2016, the City executed an Offer of Settlement (the "Offer") with the SEC under the MCDC, which Offer was accepted by the SEC on August 24, 2016. As described in the Offer, the City participated in three competitive offerings in 2014, 2013 and 2012 and one negotiated offering in 2012 in which the final official statements stated in relevant part that the City had complied with the requirements of each continuing disclosure undertaking entered into by it pursuant to Rule 15c2-12 in connection with previous financings to which Rule 15c2-12 was applicable. Notwithstanding such statements, however, the City's annual financial information for the City's fiscal years 2008, 2009, 2010, and 2011 were filed late, and the City failed to file timely notices of late filings for each of these. The City subsequently filed notices of such late filings.

Pursuant to the Offer, the City has agreed to (i) establish written policies and procedures and undertake periodic training regarding continuing disclosure obligations, including designation of an individual or officer responsible for ensuring compliance with such policies and procedures, (ii) comply with existing continuing disclosure undertakings, and, if not currently in compliance, update past delinquent filings, (iii) disclose in clear and conspicuous fashion the terms of the Offer in any official statement for an offering of the City within five years of the Offer, (iv) cooperate with any subsequent investigation by the SEC regarding false statements and/or material omissions and (v) not later than one year from the date of the Offer, certify, in writing, compliance with the foregoing undertakings.

The March 18, 2018 S&P Global Ratings rating upgrade of the City's outstanding general obligation debt was not filed within the time outlined in outstanding continuing disclosure agreements. A failure to timely provide event filing information has been posted.

The City has updated its continuing disclosure procedures in order to ensure filings are done in accordance with its continuing disclosure agreements.

ABSENCE OF MATERIAL LITIGATION

There is not now pending or, to the knowledge of the City, threatened any litigation seeking to restrain or enjoin or in any way limit the approval or the issuance and delivery of the Official Statement or the Series 2021 Bonds or the proceedings or authority under which they are to be issued. There is no litigation pending or to the knowledge of the City, threatened which in any manner challenges or threatens the powers of the City to enter into or carry out the transactions contemplated herein.

UNDERWRITING

The Series 2021 Bonds are being purchased at competitive sale by _____ (the “Underwriter”). The Underwriter has agreed, subject to certain conditions, to purchase the Series 2021 Bonds at a price equal to \$_____ plus accrued interest from January 1, 2021.

The Underwriter may offer and sell the Series 2021 Bonds to certain dealers (including dealers depositing the Bonds into unit investment trusts) and others at prices lower than the offering price set forth on the cover page hereof.

CREDIT RATING

The Series 2021 Bonds have received an unenhanced rating of “___” by S&P Global Ratings, a Standard & Poor’s Financial Services LLC business (“S&P”). The rating assigned by S&P expresses only the view of that rating agency. The explanation of the significance of the rating may be obtained from S&P. There is no assurance that the rating will continue for any period of time or that the rating will not be revised or withdrawn. Any revision or withdrawal of such rating may have an effect on the market price and marketability of the Series 2021 Bonds.

FINANCIAL ADVISOR

BOK Financial Securities, Inc. is employed as Financial Advisor to the City in connection with the issuance of the Bonds. The Financial Advisor’s fee for services rendered with respect to the sale of the Bonds is contingent upon the issuance and delivery of the bonds. In the normal course of business, the Financial Advisor may also from time to time sell investment securities to the City for the investment of debt proceeds or other funds of the City, upon the request of the City.

BOK Financial Securities, Inc., in its capacity of Financial Advisor, has not verified and does not assume any responsibility for the information, covenants and representations contained in any of the legal documents with respect to the federal income tax status of the Bonds or the possible impact of any present, pending or future actions taken by any legislative or judicial bodies.

The Financial Advisor to the City has provided the following sentence for inclusion in the official statement. The Financial Advisor has reviewed the information in the official statement in accordance with, and as part of, its responsibilities to the City and, as applicable, to investors under the federal securities laws as applied to the facts and circumstances of this transaction, but the Financial Advisor does not guarantee the accuracy of such information.

BOK Financial Securities, Inc. (“BOKFS”) and BOKF, NA are both wholly-owned subsidiaries of BOK Financial Corporation, a bank holding company organized under the laws of the State of Oklahoma. Thus, BOKFS and BOKF, NA are affiliated, but BOKFS is not a bank. Affiliates of BOKFS may provide banking services or engage in other transactions with the City. The corporate trust department of BOKF, NA has been appointed to serve as the City’s Paying Agent-Registrar.

MISCELLANEOUS

All quotations from and summaries and explanations of law herein do not purport to be complete and reference is made to said laws for full and complete statements of their provisions.

The form of this Official Statement, and its distribution and use by the Underwriter, has been approved by the City. This Official Statement is not to be construed as a contract or agreement between the City and the purchasers or holders of any of the Series 2021 Bonds. The City has provided the factual and financial information herein. However, the City has not made any warranty or representation regarding either the accuracy or sufficiency of any material contained herein. Any statements made in this Official Statement involving matters of opinion are intended merely as opinion and not as representation of fact. The information and expressions of opinion herein are subject to change without notice and neither the delivery of this Official Statement nor any sale made hereunder shall, under any circumstances, create any implication that there has been no change in the affairs of the City, or its agencies and authorities, since the date hereof.

CERTIFICATION AS TO OFFICIAL STATEMENT

At the time of delivery of the Series 2021 Bonds, the City shall execute a certificate to the effect that (i) the descriptions and statements of or pertaining to the City, contained in this Official Statement and any exhibits thereto, for the Series 2021 Bonds, as of the date of such Official Statement, on the date of sale of the Series 2021 Bonds and on the date of the delivery, were and are true and correct in all material respects; (ii) insofar as the City and its affairs, including its financial affairs, are concerned, such Official Statement did not and does not contain an untrue statement of a material fact or omit to state a material fact required to be stated therein or necessary to make the statements therein, in the light of the circumstances under which they were made, not misleading; (iii) insofar as the descriptions and statements, including financial data of or pertaining to entities, other than the City, and their activities contained in this Official Statement are concerned, such statements and data have been obtained from sources which the City believes to be reliable and the City has no reason to believe that they are untrue in any material respect; and (iv) there has been no material adverse change in the financial condition of the City since June 30, 2019.

Reference is made to the Exhibits hereto which are an integral part of this Official Statement and must be read together with the rest of the Official Statement.

CITY OF NICHOLS HILLS, OKLAHOMA

By: _____
Mayor

EXHIBIT A – DEBT SERVICE SCHEDULE

\$7,800,000

CITY OF NICHOLS HILLS, OKLAHOMA GENERAL OBLIGATION BONDS, SERIES 2021

Fiscal Year Ending	Principal	Coupon	Interest	Total Debt Service
06/30/2023			\$	\$
06/30/2024	\$ 650,000	%		
06/30/2025	650,000			
06/30/2026	650,000			
06/30/2027	650,000			
06/30/2028	650,000			
06/30/2029	650,000			
06/30/2030	650,000			
06/30/2031	650,000			
06/30/2032	650,000			
06/30/2033	650,000			
06/30/2034	650,000			
06/30/2035	650,000			
Total	<u>\$7,800,000</u>		<u>\$</u>	<u>\$</u>

EXHIBIT B

**CITY OF NICHOLS HILLS, OKLAHOMA
COMPREHENSIVE ANNUAL FINANCIAL REPORT
AND ACCOMPANYING INDEPENDENT AUDITOR'S REPORT
FOR THE FISCAL YEAR ENDED JUNE 30, 2019**

EXHIBIT C - FORM OF BOND COUNSEL OPINION

<TO BE PROVIDED BY COUNSEL>

EXHIBIT D - FORM OF CONTINUING DISCLOSURE AGREEMENT

<TO BE PROVIDED BY COUNSEL>

AGREEMENT FOR BOND COUNSEL SERVICES

THIS AGREEMENT (“Agreement”), effective as of November 1, 2020, is made and entered into by and between the CITY OF NICHOLS HILLS, OKLAHOMA (“City”) and any related public trusts having the City as its beneficiary or for which the City is a representative member (collectively, the “ISSUER”), and the law firm of WILLIAMS, BOX, FORSHEE & BULLARD, P.C. (“BOND COUNSEL”), which hereby amends, replaces and supersedes all previous Contracts for Bond Counsel Services, provides as follows:

RECITALS

WHEREAS, ISSUER desires to engage BOND COUNSEL to assist ISSUER for the purposes of and consultation on any contemplated financing which ISSUER may from time to time undertake, and with respect to other matters as described herein; and

AGREEMENT

PART A. ISSUANCE OF BONDS, NOTES AND OTHER OBLIGATIONS.

1. Scope of Engagement for Issuance of Bonds, Notes and Other Obligations.

The provisions of this Agreement apply to the issuance of bonds, notes and other obligations, to include financing leases (all references herein to “bonds and notes” or “bonds, notes and other obligations” shall include other obligations and financing leases of the ISSUER); and in connection therewith, BOND COUNSEL will render the following services as bond counsel to ISSUER:

- a) Consultation with representatives of ISSUER, including the City Manager, Trust/Authority Managers, Finance Director, Debt Manager, General Manager, financing and accounting staff, financial advisors, and others, with respect to the timing, terms and legal structure of any proposed financings, including but not limited to general obligation bond issues, revenue bond/note issues, bond anticipation notes, lease financings, commercial paper programs, and possible grants or state and federal loan programs that may be available.
- b) Furnish full directions of all steps necessary to be taken by ISSUER in compliance with the constitution and statutes of the State of Oklahoma for the proposed issuance of each series of bonds or notes.
- c) Preparation of loan, security and other authorizing documents necessary for the issuance of such bond or note issues, along with lease financings (the “Financing Documents”); provided however, Bond Counsel shall be separately compensated on an hourly basis for election proceeding documents.

- d) Review of documentation with respect to any letter of credit, standby bond purchase agreement, bond insurance and/or reserve fund surety policy, or other credit enhancement provided in connection with any bond or note issue (or lease financing) of ISSUER, if any.
- e) Attendance and participation at such meetings or hearings of ISSUER and working group meetings or conference calls as ISSUER may request, and assistance to the ISSUER staff in preparation of such explanations or presentations to the governing body of ISSUER or the ISSUER as they may request.
- f) Preparation of final closing papers to be executed by the ISSUER required to effect delivery of any bond or note issue of the ISSUER and coordination of the closing of said bond or note issue or lease financing.
- g) Rendering of bond counsel's customary final legal opinion on the validity of the obligations and, with respect to the tax-exempt obligations, the exclusion from gross income for federal income tax purposes and from Oklahoma personal income tax of interest thereon.

2. Independent Registered Municipal Advisor.

BOND COUNSEL and ISSUER further acknowledge that ISSUER shall be represented by BOK Financial Securities, Inc, a municipal advisor pursuant to the terms of SEC Rule 15Ba1-1, or such other municipal advisor as may be selected by ISSUER from time to time (collectively, referred to herein as an "Independent Registered Municipal Advisor" or "IRMA"). BOND COUNSEL is a firm of attorneys who provide legal advice or services of a traditional legal nature to a client, and do not represent themselves to be financial advisors or financial experts. Therefore, BOND COUNSEL is excluded from the definition of Municipal Advisor, and BOND COUNSEL does not intend to provide any advice with respect to municipal financial products or the issuance of municipal obligations outside of the scope of traditional legal services and advice customarily rendered by bond counsel in public finance transactions. Notwithstanding the foregoing, in the event certain advice may be construed as beyond the scope of traditional legal services, ISSUER specifically acknowledges that BOND COUNSEL may avail itself of the IRMA exemption under SEC Rule 15Ba1-1 on the basis that (i) ISSUER is represented by an Independent Registered Municipal Advisor not associated with BOND COUNSEL, (ii) ISSUER hereby advises BOND COUNSEL that ISSUER is represented by and will rely on the advice of its duly retained Independent Registered Municipal Advisor, and (iii) ISSUER has been advised that BOND COUNSEL is not a municipal advisor and BOND COUNSEL owes no federal statutory fiduciary duty to ISSUER.

3. Reliance.

In rendering opinions and performing legal services under this Agreement, BOND COUNSEL shall be entitled to rely on the accuracy and completeness of information provided and certifications made by, the Independent Registered Municipal Advisor, property owners and other parties and consultants, without independent investigation or verification.

4. Limitation of Bond Counsel Duties under this Agreement.

(a) The provisions of Section 4 of this Agreement are applicable to BOND COUNSEL's services under this Agreement. BOND COUNSEL's services are limited to those specifically set forth above. BOND COUNSEL's services do not include representation of ISSUER or any other party to the transaction in any litigation or other legal or administrative proceeding involving bond or note issuances or any other matter, provided however, Bond Counsel may be engaged by mutual agreement to provide other legal services to ISSUER. BOND COUNSEL's services also do not include any responsibility for compliance with state blue sky, environmental, land use, real estate or similar laws or for title to or perfection of security interests in real or personal property. BOND COUNSEL will not be responsible for preparing or opining with respect to the ISSUER's Official Statement and/or any Continuing Disclosure Undertakings for the bonds or notes, including but not limited to the accuracy, completeness or sufficiency of the Official Statement, Continuing Disclosure Undertaking, or other offering material relating to the bonds or notes, except as is customarily provided in the negotiation of a Bond Purchase Agreement. BOND COUNSEL's services do not include any financial advice or analysis. BOND COUNSEL will not be responsible for the services performed or acts or omissions of any other participant. Also, with respect to each bond or note issue, BOND COUNSEL's services will not extend past the date of issuance of said bonds or notes and will not, for example, include services related to rebate compliance or continuing disclosure or otherwise related to said bonds or notes, bond or note proceeds or the financed project after issuance of said bonds or notes.

(b) In performing its services in connection with the issuance of bonds or notes, BOND COUNSEL will act as special counsel to the ISSUER with respect to issuance of the bonds or notes, but only with respect to validity of the bonds or notes and the Financing Documents, and the tax status of interest on the bonds or notes, in a manner not inconsistent with the role of bond counsel described above.

5. Compensation and Reimbursements in Connection with Issuance of Bonds, Notes and Other Obligations.

(a) Compensation for Bond Counsel Services. For services as bond counsel to ISSUER, BOND COUNSEL shall be paid as follows:

- (i) Initial issuance of General Obligation Bonds, but not refundings of same:
One-half of one percent (.50%) of the principal amount of each series of bonds issued.
- (ii) Issuance of other bonds, notes and other obligations:
To be determined by future mutual agreement of the ISSUER and BOND COUNSEL.

(b) Annual Adjustment. The rates as described above may be adjusted annually based on the national Consumer Price Index—Urban (CPI-U), as may be mutually approved in the future by the parties hereto.

(c) Expenses. BOND COUNSEL shall also be reimbursed for reasonable and customary expenses related to each transaction.

(d) Payment. Fees and expenses shall be payable by ISSUER at the time of issuance of the respective bonds, notes or other obligations. Payment of all fees and expenses hereunder may be made at closing from proceeds of the bonds or notes and shall be entirely contingent upon issuance of the bonds, notes or other obligations.

6. Nature of Engagement; Relationships with Other Parties.

(a) The role of bond counsel, generally, is to prepare or review the procedures for issuance of the bonds, notes or other evidence of indebtedness and to provide an expert legal opinion with respect to the validity thereof and other subjects addressed by the opinion. Consistent with the historical origin and unique role of such counsel, and reliance thereon by the public finance market, BOND COUNSEL'S role under this Agreement is to provide an opinion and related legal services that represent an objective judgment on the matters addressed rather than the partisan position of an advocate.

(b) ISSUER acknowledges that BOND COUNSEL regularly performs legal services for many private and public entities in connection with a wide variety of matters. For example, BOND COUNSEL has represented, is representing or may in the future represent other public entities, underwriters, trustees, rating agencies, insurers, credit enhancement providers, lenders, contractors, suppliers, financial and other consultants/advisors, accountants, investment providers/brokers, providers/brokers of derivative products and others who may have a role or interest in a bond or note financing of ISSUER or a project financed by or that may be involved with or adverse to ISSUER in this or some other matter. BOND COUNSEL agrees not to represent any such entity in connection with a bond or note financing of ISSUER, during the term of this Agreement, without full disclosure by BOND COUNSEL and the consent of ISSUER. Given the special, limited role of bond counsel in the issuance of notes, bonds and other obligations as described by this Agreement, the parties acknowledge and agree that it is unlikely that a conflict of interest as described by Rule 1.7 of the Rules of Professional Conduct, (Title 5, Oklahoma Statutes) will arise between the ISSUER and BOND COUNSEL for services pursuant to this Agreement. However, as authorized by Note 22 of such Rule 1.7, should a conflict of interest arise in connection with BOND COUNSEL services pursuant to this Agreement, ISSUER will not use this AGREEMENT to assert a conflict of interest against BOND COUNSEL, or the respective members of same, for interests disclosed to the City Manager or his/her designee, and hereby waives any such disclosed conflicts of interest.

(d) ISSUER reserves the right to engage special legal counsel on any matter deemed appropriate by ISSUER, following consultation with BOND COUNSEL, to advise ISSUER or to assist BOND COUNSEL.

(e) BOND COUNSEL shall maintain working relationships with attorneys specializing in fields of interest to municipalities, including but not limited to special tax matters, and continuing disclosure. BOND COUNSEL may recommend hiring by separate agreement special legal counsel with special knowledge and expertise to represent ISSUER or assist BOND COUNSEL when it deems it reasonable and in the best interest of ISSUER.

7. Scope of Services Agreements.

It is contemplated that this Agreement shall govern all bonds, notes and other obligations undertaken by the ISSUER. Given the unique nature of each financing, the Agreement is meant to establish certain parameters pertaining to the issuance of bonds or notes. Each transaction undertaken pursuant to this Agreement may be accompanied by a “Scope of Services Agreement”, otherwise known as an engagement letter, identifying the parties and which specific tasks are to be performed by BOND COUNSEL. The Scope of Services Agreement shall be prepared by BOND COUNSEL, and shall be executed by representatives of BOND COUNSEL and on behalf of the ISSUER by the Mayor, City Manager, Assistant, Finance Director, General Manager, their designee or other appropriate party as desired by those holding any of the forgoing positions. Fees for services rendered by BOND COUNSEL shall be calculated in accordance with the methods as outlined herein. Scope of Services Agreements and engagement letters will be consistent with this Agreement.

PART B. GENERAL PROVISIONS.

1. Effective Date.

This Agreement shall be effective beginning November 1, 2020 and is subject to annual review and/or renewal as desired by Issuer, and is subject to annual appropriation and encumbrance of necessary funds to the extent required by applicable law.

2. Termination.

This Agreement and all legal services to be rendered under it may be terminated at any time by written notice from either party, with or without cause. In that event, all finished and unfinished documents prepared for adoption or execution by ISSUER, shall, at the option of ISSUER, become its property and shall be delivered to it or to any party it may designate; provided that BOND COUNSEL shall have no liability whatsoever for any subsequent use of such documents. In the event of termination by ISSUER, BOND COUNSEL shall be paid for all satisfactory work, unless the termination is made for cause, in which event compensation, if any, shall be adjusted in the light of the particular facts and circumstances involved in the termination. ISSUER shall, with respect to hourly rate compensation, pay BOND COUNSEL for hourly work performed and expenses incurred to the date of termination. Upon termination, BOND COUNSEL shall have no future duty of any kind to or with respect to any bond or note issuance or ISSUER.

3. Limitation of Rights to Parties; Successor and Assigns.

Nothing in this Agreement or in any of the documents contemplated hereby, expressed or implied, is intended or shall be construed to give any person other than ISSUER and BOND COUNSEL any legal or equitable right or claim under or in respect to this Agreement, and this Agreement shall inure to the sole and exclusive benefit of ISSUER and BOND COUNSEL. BOND COUNSEL may not assign its obligations under this Agreement without written consent of the ISSUER except to a successor entity to which all or substantially all of the assets and operations of BOND COUNSEL are transferred. ISSUER may assign its rights and obligations under this Agreement to (but only to) any other public entity that issues the bonds or notes on behalf of ISSUER. ISSUER shall not otherwise assign its rights and obligations under this Agreement without written consent of BOND COUNSEL. All references to BOND COUNSEL and ISSUER in this Agreement shall be deemed to refer to any such successor of BOND COUNSEL and to any such assignee of ISSUER and shall bind and inure to the benefit of such successor and assignee whether so expressed or not.

4. Counterparts.

This Agreement may be executed in any number of counterparts and each counterpart shall for all purposes be deemed to be an original, and all such counterparts shall together constitute but one and the same Agreement.

5. Notices.

Any and all notices pertaining to this Agreement shall be sent by U.S. Postal Service, first class, postage prepaid to the following, which may be modified by a party hereto by notice in the same manner to the other parties:

BOND COUNSEL:

John Michael Williams
Williams, Box, Forshee & Bullard, PC
522 Colcord Drive
Oklahoma City, OK 73102
Telephone: (405) 232-0080

and

ISSUER:

S. Shane Pate
City Manager
6407 Avondale Drive
Nichols Hills, OK 73116
Telephone: (405) 843-6637

6. Best Efforts.

BOND COUNSEL agrees that the BOND COUNSEL will, at all times, faithfully, industriously and to the best of their ability, experience, talent, and to at least the standard of those in the industry, perform all duties that may be required of them pursuant to the express and implicit terms herein, to the reasonable satisfaction of the ISSUER.

7. Standard of Care.

In providing the work and services herein, the BOND COUNSEL shall maintain during the course of this Agreement the standard of expert care, skill, diligence and professional competency for such work and/or services.

8. Bond Counsel's Affirmation of its Opinions.

BOND COUNSEL states and agrees that it will affirm, acknowledge and endorse its opinions provided to ISSUER, written or oral, and if suit or an enforcement action should be brought against ISSUER by a third party challenging BOND COUNSEL's opinion, BOND COUNSEL shall assist and cooperate with ISSUER in its resistance to such challenge, to include providing courtroom and other sworn testimony.

9. Construction and Enforcement.

This Agreement shall be construed and enforced in accordance with the laws of the State of Oklahoma. In the event of ambiguity in any of the terms of this Agreement, it shall not be construed for or against any party on the basis that such party did not author the same.

10. Venue of Actions.

The parties agree that if any legal action is brought pursuant to this Agreement, such action shall be instituted in the District Court of Oklahoma County.

11. Authorized Representatives.

All instructions, directions, requests, approvals, authorizations and notices from or by ISSUER contemplated or required by this Agreement shall be given or made on behalf of ISSUER by the City Manager, Finance Director, General Manager, their designee or other appropriate party as desired by those holding any of the forgoing positions.

12. Adoption of Agreement by Public Trusts; General Managers.

This Agreement may be utilized and adopted by any public trust of which the City is a beneficiary or co-beneficiary ("Public Trust"); and in such case, ISSUER shall mean the Public Trust so utilizing or adopting this Agreement, and references herein to the City Manager of his/her designee will be read and applied as the General Manager of said public trust or his/her designee, and further, such Public Trust may specify additional persons to receive notices as provided in paragraph 5 hereof.

ISSUER and BOND COUNSEL agree to all contained herein and have executed this Agreement by their duly authorized representatives as of the effective date provided above.

CITY OF NICHOLS HILLS, OKLAHOMA

By: _____
Mayor

ATTEST: (Seal)

City Clerk

WILLIAMS, BOX, FORSHEE & BULLARD, P.C.

By: _____
John Michael Williams, President



Public Finance Division
499 W. Sheridan Avenue, Suite 2500
Oklahoma City, Oklahoma 73102

ENGAGEMENT LETTER

November 10, 2020

City of Nichols Hills
6407 Avondale Drive
Nichols Hills, OK 73116

RE: Financial Advisory Services Provided to the City of Nichols Hills, Oklahoma.

The purpose of this Engagement Letter (the “Letter”) is to set forth the role BOK Financial Securities, Inc. (“BOKFS”) proposes to serve and the responsibilities BOKFS proposes to assume as Financial Advisor to the City of Nichols Hills (the “Issuer”) in the issuance of Bonds from the February 12, 2019, City-approved General Obligation Bond propositions totaling \$28,450,000. Upon the Issuer’s acceptance, this Letter will serve as our mutual agreement with respect to the terms and conditions of our engagement as Issuer’s financial advisor, effective on the date this Letter is executed by Issuer (the “Effective Date”).

1. Scope of Services. BOKFS will provide, on an on-going basis, professional financial advisory services to the Issuer on any financial matters, including but not limited to the issuance and term of new debt (“Issue” or “Issues”), primarily bonds or notes. BOKFS will assist the Issuer with each of the following tasks associated with the planning, structuring, marketing, pricing, and closing of the proposed financing(s).

- (a) The Services shall be limited to the services described in Appendix A (the “Scope of Services”).
- (b) Except as otherwise provided in the Scope of Services, BOKFS shall not be responsible for certifying as to the accuracy or completeness of any preliminary or final official statement, other than with respect to any information about BOKFS provided by BOKFS for inclusion in such documents.
- (c) The Scope of Services does not (i) include tax, legal, accounting or engineering advice with respect to any Issue, Product or opinion or certificate rendered by counsel or other person at closing, or (ii) include review or advice with respect to any feasibility study, except, in either case, as may be prepared by BOKFS as provided for in the Scope of Services.
- (d) Issuer agrees not to represent, publicly or to any specific person, that BOKFS is Issuer’s independent registered municipal advisor (“IRMA”) for purposes of Securities and Exchange Commission (“SEC”) Rule 15Ba1-1(d)(3)(vi) (the “IRMA exemption”) without BOKFS’s prior written consent.
- (e) When BOKFS is designated by Issuer as its IRMA, BOKFS shall be Issuer’s IRMA solely with respect to the Scope of Services. BOKFS shall not be responsible for



verifying that it is independent (within the meaning of the IRMA exemption as interpreted by the SEC) from another party wishing to rely on the exemption from the definition of municipal advisor afforded under the IRMA exemption. Any reference to BOKFS, its personnel and its role as IRMA in Issuer's written representation contemplated under SEC Rule 15Ba1-1(d)(3)(vi)(B), shall be subject to prior approval by BOKFS.

2. BOKFS's Regulatory Obligations When Providing Services to Issuer.

- (a) MSRB Rule G-42 requires that BOKFS (i) make a reasonable inquiry as to the facts that are relevant to Issuer's determination whether to proceed with a course of action or that form the basis for any advice provided by BOKFS to Issuer, (ii) undertake a reasonable investigation to determine that BOKFS is not basing any recommendation on materially inaccurate or incomplete information, and (iii) use reasonable diligence to know the essential facts about Issuer and the authority of each person acting on Issuer's behalf.
- (b) Issuer agrees to cooperate, and to cause Issuer's agents to cooperate, with BOKFS in carrying out the foregoing requirements, including providing to BOKFS accurate and complete information and reasonable access to relevant documents, other information and personnel needed to fulfill such requirements. In addition, Issuer agrees that, to the extent Issuer requests BOKFS provide advice with regard to any recommendation made by a third party, Issuer will provide to BOKFS written direction to do so and all information Issuer has received from such third party relating to its recommendation.

3. Compensation. For the above services, Issuer agrees to pay BOKFS the following:

- (a) A fee of one-half of one percent (.50%) of the principal amount actually issued. In each case, it is understood that BOKFS will be paid no fee unless funding of such debt issue is successfully completed.
- (b) The minimum fee will be \$15,000 per series.
- (c) Expense reimbursement of \$750.00 for each debt issue, with additional expenses above such amount to be approved by the Issuer.
- (d) Any structured financing fees that may ensue during BOKFS's tenure of service will be negotiated in good faith with the Issuer in proportion to the size, complexity and time requirements requisite with such financing transactions.
- (e) Offering document printing, bond printing, DTC and CUSIP registration, mailing and distribution, bond counsel, rating fees, paying agent fees and other normal costs of issuance are the responsibility of the Issuer. To the extent the Issuer desires or it is convenient for BOKFS to front these types of expenditures, BOKFS will be reimbursed on actual costs and such reimbursement will be separate from BOKFS's out-of-pocket expense reimbursement. BOKFS's out-of-pocket expense reimbursement covers such items as copies, mail, fax, overnight delivery, report printing, and other hard costs.

4. Term of this Engagement. This Agreement may be terminated with or without cause by either party upon the giving of at least thirty (30) days' prior written notice to the other party of its intention to terminate, specifying in such notice the effective date of such termination. If Issuer exercises its option to



terminate this Agreement, Issuer agrees to reimburse BOKFS for any of the expenses described in paragraph 3 advanced by BOKFS pursuant to paragraph 3 above and to pay BOKFS for its services rendered prior to such termination in a mutually acceptable amount which shall be negotiated in good faith between the parties.

5. **Limitation on Liability.** In the absence of willful misconduct, bad faith, gross negligence or reckless disregard of the obligations of BOKFS arising under this Letter:

- (a) The liability of BOKFS and its associated persons to Issuer for any act or omission in the course of, or connected with, rendering services hereunder, or for any error of judgment or mistake of law, shall be limited to the fees paid or otherwise due and payable under this Agreement; and,
- (b) BOKFS and its associated persons shall have no liability to Issuer for any other loss arising out of any issuance of municipal securities, any municipal financial product or any other investment, or for any financial or other damages resulting from Issuer's election to act or not to act, as the case may be, contrary to any advice or recommendation provided by BOKFS to Issuer; and,
- (c) No recourse shall be had against BOKFS for loss, damage, liability, cost or expense (whether direct, indirect or consequential) arising out of or in defending, prosecuting, negotiating or responding to any inquiry, questionnaire, audit, suit, action, or other proceeding brought or received from the Internal Revenue Service in connection with any Issue or Product or otherwise relating to the tax treatment of any Issue or Product, or in connection with any opinion or certificate rendered by counsel or any other party.

6. **Required Disclosures.**

- (a) MSRB Rule G-42 requires that BOKFS provide Issuer with disclosures of material conflicts of interest and of information regarding certain legal events and disciplinary history. Such disclosures are provided in BOKFS's Disclosure Statement attached hereto as **Appendix B.**
- (b) MSRB Rule G-10 requires disclosure of the following:
 - 1. BOKFS is registered with the SEC and the MSRB.
 - 2. The MSRB's website address is www.msrb.org.
 - 3. The MSRB's "Information for Municipal Advisory Clients" brochure describes the protections that may be provided by the MSRB and how to file a complaint with the appropriate regulatory authority. That brochure can be found at the following web address:

<http://www.msrb.org/~media/files/resources/msrb-ma-clients-brochure.ashx?>

7. **Information.** While this Agreement is in effect, the Issuer will provide or cause to be provided to BOKFS information concerning the Issuer, including information relating to the Issuer's financial condition and results of operations, the sources of security and payment for any Issuer Obligations, and such other information (excluding confidential information unless such confidential information is reasonably required to provide disclosure to investors) as BOKFS reasonably considers necessary or appropriate to perform its duties under this Agreement. Such information will be taken or derived from the



Issuer's official records or from other sources that the Issuer determines and reasonably believes to be accurate and reliable, based on due inquiry and investigation by the Issuer of such other sources; and, the Issuer expressly authorizes BOKFS to rely on the accuracy and completeness of all information provided to BOKFS by or on behalf of the Issuer.

8. Compliance with Applicable Law. As an inducement to BOKFS to enter into this Agreement and to perform the duties assigned to it hereunder, the Issuer agrees to comply with all applicable requirements and procedures imposed by law relating to, and all covenants and agreements entered into in connection with or supporting, the validity, enforceability and terms (including terms relating to security and tax-exemption) of any Issuer Obligations. As an inducement to Issuer to enter into this Agreement and to perform the duties assigned to it hereunder, BOKFS agrees to comply with all applicable requirements and procedures imposed by law relating to, and all covenants and agreements entered into in connection with or supporting, the validity, enforceability and terms (including terms relating to security and tax-exemption) of any services by BOKFS. Further BOKFS represents and warrants to Issuer that BOKFS is familiar with the applicable law, rules, regulations, and requirements in order for BOKFS to perform BOKFS's professional services as a highly qualified and competent provider of said financial services.

9. Professional Judgment. All actions and recommendations of BOKFS pursuant to this Agreement will be based on BOKFS's professional judgment and information that the Issuer provides to BOKFS. BOKFS's recommendations represent its professional judgment based upon BOKFS's review of information provided by the Issuer, to the extent that BOKFS deems such information relevant to any such recommendation, and BOKFS's own knowledge and experience. BOKFS's recommendations will be offered in reliance upon the representations and covenants contained in the agreements, certificates and other instruments prepared, executed and delivered in connection with Issuer Obligations. The Issuer understands and agrees that this Agreement shall constitute an agreement for professional services, and neither the performance by BOKFS of its duties hereunder nor the implementation of any of BOKFS's recommendations shall be construed by the Issuer as a guarantee of any result or outcome.

10. Waiver of Jury Trial. Each party agrees to waive any right to a trial by jury with respect to any claim, counterclaim or action arising out of or in connection with this agreement or the transactions contemplated hereby or the relationship between the parties. Parties agree to waive consequential and punitive damages.

11. Choice of Law. This Agreement shall be construed and given effect in accordance with the laws (excluding conflict of law provisions) of Oklahoma.

12. Litigation Expenses. In any action brought by a party hereto to enforce the obligations of any other party hereto, the prevailing party shall be entitled to collect from the opposing party to such action such party's reasonable litigation costs and attorney's fees and expenses (including court costs, reasonable fees of accountants and experts, and other expenses incidental to the litigation).

13. Binding Effect; Assignment. This Agreement shall be binding upon and inure to the benefit of Issuer and BOKFS, their respective successors and permitted assigns; provided however, neither party may assign or transfer any of its rights or obligations hereunder without the prior written consent of the other party.

14. Entire Agreement. This instrument, including all appendices hereto, contains the entire agreement between the parties relating to the rights herein granted and obligations herein assumed. This Agreement may not be amended, supplemented or modified except by means of a written instrument executed by both parties. This Agreement and all of the provisions of this Agreement shall be deemed drafted by all of the parties hereto.



15. **Course of Dealing.** No course of prior dealing involving any of the parties hereto and no usage of trade shall be relevant or advisable to interpret, supplement, explain or vary any of the terms of this Agreement, except as expressly provided herein.

16. **Interpretation.** This Agreement shall not be interpreted strictly for or against any party, but solely in accordance with the fair meaning of the provisions hereof to effectuate the purposes and intent of this Agreement.

17. **No Reliance.** Each party hereto has entered into this Agreement based solely upon the agreements, representations and warranties expressly set forth herein and upon its own knowledge and investigation. No party has relied on any representation or warranty of any other party hereto except any such representations and warranties as are expressly set forth herein.

18. **Authority.** Each of the persons signing below on behalf of a party hereto represents and warrants that he or she has full requisite power and authority to execute and deliver this Agreement on behalf of the party for whom he or she is signing and to bind such party to the terms and conditions of this Agreement.

19. **Severability.** If any provision of this Agreement is, or is held or deemed to be, invalid, inoperative or unenforceable as applied in any particular case in any jurisdiction or jurisdictions because it conflicts with any provisions of any constitution, statute, rule or public policy, or for any other reason, such circumstances shall not make the provision in question invalid, inoperative or unenforceable in any other case or circumstance, or make any other provision or provisions of this Agreement invalid, inoperative or unenforceable to any extent whatever.

20. **No Third Party Beneficiary.** This Agreement is made solely for the benefit of the parties and their respective successors and permitted assigns. Nothing in this Agreement, express or implied, is intended to confer on any person, other than the parties and their respective successors and permitted assigns, any rights, remedies, obligations or liabilities under or by reason of this Agreement.

21. **Amendment.** This Agreement may be amended or modified only in a writing that has been signed by the parties hereto and which specifically references this Agreement.

22. **Counterparts.** This Agreement may be executed in counterparts, each of which shall be an original, but which taken together, shall constitute one and the same instrument. This Agreement shall become effective only when all of the parties hereto shall have executed the original or counterpart hereof. This Agreement may be executed and delivered by digitized transmission of a counterpart signature page hereof.

[Signatures on Following Page]



IN WITNESS WHEREOF, the parties have caused this Agreement to be executed and do hereby warrant and represent that their respective signatories whose signatures appear below have been and are on the date of the Agreement duly authorized by all necessary and appropriate corporate action to execute this Agreement.

Dated this 10th day of November, 2020.

BOK FINANCIAL SECURITIES, INC.

CHRIS GANDER
INVESTMENT BANKER

This engagement letter accepted by and on behalf of the City of Nichols Hills, Oklahoma, this ____ day of November, 2020.

MAYOR

CITY CLERK



APPENDIX A SCOPE OF SERVICES

- A. Review financing needs of the Issuer.
- B. Conduct a survey of the financial resources of the Issuer to determine the extent of its capacity to authorize, issue, and service debt. The survey is to include an analysis of the existing debt structure as compared with the existing and projected sources of revenue which may be pledged to secure payment of debt service.
- C. Assist in developing a plan of financing for needs of the Issuer, and/or financing alternatives available to the Issuer.
- D. Submit recommendations to the Issuer on the debt instruments and structure of debt under consideration, including such elements as the date of issue, interest payment dates, schedule of principal maturities, tax levy tables, options for prior payment, security provisions, timing of sale and any other additional provisions designed to make the issue attractive to investors.
- E. Advise the Issuer of current bond market conditions, forthcoming bond issues, and other general information and economic data which might normally be expected to influence interest rates or bidding conditions.
- F. Assist in developing presentations and applications for submission to rating agencies, and preparation of Official Statement and other offering materials.
- G. Assist in developing information for any election to be presented to voters related to the approval of the issuance of debt or levying additional ad valorem and/or sales tax including, but not limited to, providing information to local media and presentations to local civic organizations.
- H. Cooperate with Bond Counsel in preparation of legal documents and in assuring compliance with legal and regulatory requirements.
- I. Handle sale of all debt issues, both competitive and negotiated.
- J. Assist Bond Counsel with the preparation of forms and documents required for filing with the IRS or other governmental agency.
- K. Advise and assist with compliance with continuing disclosure regulations and/or agreements pertaining thereto.
- L. Provide follow-up analysis after issues, and analysis and advice regarding financing needs and questions during term of engagement.
- M. While serving as the Issuer's financial advisor, BOKFS agrees to refrain from acting as the underwriter in the original issue of any Issuer securities.
- N. On an as-needed basis, BOKFS shall meet and consult with the administration and staff of the Issuer concerning the Scope of Services herein. BOKFS shall further be available to confer with the Issuer and legal staff concerning questions regarding the business of the Issuer.
- O. BOKFS agrees to present all notice of sale documents and/or financing agreements, and other documents, to the Issuer's administration for advance review and approval.



APPENDIX B DISCLOSURE STATEMENT

This Disclosure Statement is provided by BOK Financial Securities, Inc. (“BOKFS”) to the City of Nichols Hills (the “Issuer”) in connection with the Engagement Letter (the “Letter”) and is dated as of the same date as the Letter.

Part A – Disclosures of Conflicts of Interest

MSRB Rule G-42 requires that municipal advisors provide to their clients disclosures relating to any actual or potential material conflicts of interests, including certain categories of potential conflicts of interest identified in Rule G-42, if applicable. Accordingly, BOKFS makes the following disclosures with respect to material conflicts of interest in connection with the Scope of Services, together with explanations of how BOKFS addresses or intends to manage or mitigate each conflict. To that end, with respect to all of the conflicts disclosed below, BOKFS mitigates such conflicts through its adherence to its fiduciary duty to Issuer, which includes a duty of loyalty. This duty of loyalty obligates BOKFS to deal honestly and with the utmost good faith with Issuer and to act in Issuer’s best interests without regard to BOKFS’s financial or other interests. Furthermore, because BOKFS is a broker-dealer, its financial advisory supervisory structure provides strong safeguards against individuals at BOKFS potentially departing from their regulatory duties due to personal interests. The disclosures below describe, as applicable, any additional mitigations that may be relevant with respect to any specific conflict disclosed below.

Affiliate Conflict. Any affiliate of BOKFS (the “Affiliate”) may provide certain advice, services and/or products to Issuer that may be directly related to BOKFS’s activities. In particular, BOKE, N.A. is expected to serve as paying agent on the bonds. The Affiliate’s business with Issuer could create an incentive for BOKFS to recommend to Issuer a course of action designed to increase the level of Issuer’s business activities with the Affiliate or to recommend against a course of action that would reduce or eliminate Issuer’s business activities with the Affiliate. This potential conflict is mitigated by the fact that Affiliate is subject to comprehensive regulatory review.

Compensation-Based Conflicts.

If the fees due under the Engagement Letter will be based on the size of the Issue and the payment of such fees shall be contingent upon the delivery of the Issue. While this form of compensation is customary in the municipal securities market, this may present a conflict because it could create an incentive for BOKFS to recommend unnecessary or disadvantageous financings. This conflict of interest is mitigated by the general mitigations described above.

If the fees due under the Engagement Letter are in a fixed amount established at the outset of the Engagement Letter. The amount is usually based upon an analysis by Issuer and BOKFS of, among other things, the expected duration and complexity of the transaction and the Scope of Services. This form of compensation presents a potential conflict of interest because, if the transaction requires more work than originally contemplated, BOKFS may suffer a loss. Thus, BOKFS may recommend less time-consuming alternatives. This conflict of interest is mitigated by the general mitigations described above.

If the fees due under the Engagement Letter are based on hourly fees of BOKFS’s personnel, with the aggregate amount equaling the number of hours worked by such personnel times an agreed-upon hourly billing rate. This form of compensation presents a potential conflict of interest if Issuer and BOKFS do not agree on a reasonable maximum amount at the outset of the engagement, because BOKFS does not have a financial incentive to recommend alternatives that would result in fewer hours worked. This conflict of interest is mitigated by the general mitigations described above.



Other Financial Advisor or Underwriting Relationships. BOKFS serves a wide variety of other clients that may have interests that could have an impact on Issuer's interests. For example, BOKFS serves as financial advisor to other financial advisory clients and, in such cases, owes a regulatory duty to such other clients just as it does to Issuer under this Engagement Letter. These other clients may have competing interests. BOKFS could face a conflict of interest arising from these competing client interests. None of these other engagements or relationships would impair BOKFS's ability to fulfill its regulatory duties to Issuer.

Broker-Dealer and Investment Advisory Business. BOKFS is a broker-dealer and investment advisory firm that engages in a broad range of securities-related activities, in addition to serving as a financial advisor or underwriter. Such securities-related activities may be undertaken on behalf of, or as counterparty to, Issuer, Issuer's personnel, and current or potential investors in Issuer's securities. These other clients may have interests in conflict with Issuer's interests and the interests of such other clients could create the incentive for BOKFS to make recommendations to Issuer that could result in more advantageous pricing for the other clients. Furthermore, any potential conflict arising from BOKFS effecting or otherwise assisting such other clients in connection with such transactions is mitigated by means of such activities being engaged in on customary terms through units of BOKFS that operate independently from BOKFS's financial advisory business, thereby reducing the likelihood that the interests of such other clients would have an impact on the services provided by BOKFS to Issuer.

Secondary Market Transactions in Issuer's Securities. BOKFS may take a principal position in securities, including Issuer's securities, and therefore BOKFS could have interests in conflict with Issuer with respect to the value of Issuer's securities while held in inventory and the levels of mark-up or mark-down that may be available in connection with purchases and sales thereof. In particular, BOKFS or its affiliates may submit orders for and acquire Issuer's securities issued in an Issue under the Engagement Letter from members of the underwriting syndicate, either for its own account or for the accounts of its customers. This activity may result in a conflict of interest with Issuer in that it could create the incentive for BOKFS to make recommendations to Issuer that could result in more advantageous pricing of Issuer's securities in the marketplace. Any such conflict is mitigated by means of such activities being engaged in on customary terms through units of BOKFS that operate independently from BOKFS's financial advisory business, thereby reducing the likelihood that such investment activities would have an impact on the services provided by BOKFS to Issuer.

Part B – Disclosures of Information Regarding Legal Events and Disciplinary History

MSRB Rule G-42 requires that municipal advisors provide to their clients certain disclosures of legal or disciplinary events material to the client's evaluation of the municipal advisor or the integrity of the municipal advisor's management or advisory personnel.

Accordingly, BOKFS sets out below required disclosures and related information in connection with such disclosures.

Material Legal or Disciplinary Event. There are no legal or disciplinary events that are material to Issuer's evaluation of BOKFS or the integrity of BOKFS's management or advisory personnel disclosed, or that should be disclosed, on any Form MA or Form MA-I filed with the SEC.

January 10, 2012 – A regulatory action against BOKFS by FINRA was resolved via an Acceptance, Waiver & Consent. The allegation was related to "fair and reasonable" pricing of principal transactions.

June 18, 2015 – An order was issued against BOKFS by the U.S. Securities and Exchange Commission. The allegation was related to the due diligence conducted by the firm to establish a reasonable basis that certain material representations made by issuers in official



statements connected with the offerings were accurate. The violations were self-reported by BOKFS pursuant to the SEC's Municipalities Continuing Disclosure Cooperation ("MCDC") Initiative.

October 21, 2015 – A regulatory action against BOKFS by FINRA was resolved via an Acceptance, Waiver & Consent. The allegations were related to "fair and reasonable" pricing of corporate bond transactions.

March 11, 2019 – An order was issued against BOKFS by the U.S. Securities and Exchange Commission. The allegations were related to inadequate disclosure language in the firm's ADV brochures regarding the selection of mutual fund share classes that contain 12b-1 fees when share classes that did not contain 12b-1 fees were potentially available. The violations were self-reported by BOKFS pursuant to the SEC's Share Class Selection Disclosure ("SCSD") Initiative.

Details of the events disclosed above can be found in the firm's Form MA available through the SEC's EDGAR Filing System (<https://www.sec.gov/edgar/searchedgar/companysearch.html>). Search for "BOK Financial Securities, Inc." to view the firm's most recent Form MA filing.

Future Supplemental Disclosures. As required by MSRB Rule G-42, this Section may be supplemented or amended, from time to time as needed, to reflect changed circumstances resulting in new conflicts of interest, or to provide updated information with regard to any legal or disciplinary events of BOKFS. BOKFS will provide Issuer with any such supplement or amendment as it becomes available throughout the term of the Engagement Letter.

Registrar, Transfer Agent and Paying Agent Agreement

This Registrar, Transfer Agent and Paying Agent Agreement ("Agreement") is executed and effective this 8th day of December 2020, by and between BOKF, NA, a national banking association with principal offices in Tulsa, Oklahoma ("Bank") and **City of Nichols Hills, Oklahoma**, ("Issuer") for the bonds described as follows:

\$7,800,000 City of Nichols Hills, Oklahoma General Obligation Bonds, Series 2021

- A. The Bank agrees to perform the duties of a Registrar which includes:
1. Authentication, preparation and delivery of bonds;
 2. Maintenance of the bond register;
 3. Maintenance of bond inventory and accounting;
 4. Cancellation and destruction of paid bonds;
 5. Providing Issuer with certificate of destroyed bonds;
 6. Transfer of ownership of bonds;
 7. Issue replacement bonds in lieu of a mutilated, lost, destroyed or stolen bond upon receipt of satisfactory proof and indemnification of the Bank;
 8. Pay by bank draft to bondholders of record the principal of, premium, if any, and interest on the bonds but only to the extent that Issuer has deposited with the Bank sufficient immediately available funds for such purposes; interest on funds received prior to payment dates may be retained by the Bank; float on checks issued by the Bank for payment of principal and interest payments will be retained by the Bank;
 9. Furnish Issuer with semi-annual debt service and paying agent fee invoices.
- B. Upon written notice from the Bank, Issuer agrees to cause to be printed, at its expense, any additional bonds required by the Bank subsequent to initial issuance as long as any bonds remain outstanding.
- C. The Bank shall not be required to transfer or exchange any bond during the period beginning fifteen days prior to any date fixed for the payment of interest or principal on any of the bonds.
- D. In any case where any payments with respect to the bonds shall fall due on a Saturday, a Sunday, a legal holiday, or a day upon which banking institutions in the City of Tulsa, Oklahoma, or in such other locality as paying agent may maintain its principal offices, are authorized by law to close for business, then said payments need not be made on such date, but shall be made on the next succeeding banking day with the same force and effect as if made on the day upon which said payments fall due.
- E. In the event any principal or interest payment cannot be made as a result of paying agent's inability, after due diligence, to locate the bondholder of record to whom such payments are due, and in the event that such bondholder has not claimed such payments, or corresponded in writing with Issuer or the paying agent concerning such payments, then funds deposited with paying agent will be escheated in accordance with current regulations.
- F. The Bank's fees and expenses as compensation for the performance of its duties as Registrar, Transfer Agent, and Paying Agent under the terms of this Agreement shall be as follows:

Acceptance Fee: \$ **300.00**

Annual Fee: \$ **300.00**

- G. The Bank shall not be liable for any error of judgment, or for any act done or step taken or omitted by it in good faith, or for any mistake of fact or law, or for anything which it may do or refrain from doing in connection herewith, except its own gross negligence or willful misconduct.
- H. The Bank may consult with and obtain advice from legal counsel of its selection in the event any question as to any of the provisions hereof or its duties hereunder shall arise, and it shall incur no liability and shall be fully protected in acting in good faith in accordance with the opinion and instructions of such counsel. The cost of such services shall be born by Issuer.
- I. This Agreement shall terminate at the end of the current fiscal year of the Issuer, and thereafter be subject to unilateral and unconditional renewal by the Issuer for each subsequent fiscal year. Should the Issuer elect to terminate this Agreement and appoint a successor registrar, transfer agent and paying agent, the Bank reserves the right to charge and be paid by the Issuer for the costs of transferring records, notifying bondholders and for any other duties that need to be performed. These costs are in addition to the specified fees and expenses in Paragraph F.

Executed on the date first above written.

Issuer **City of Nichols Hills**
 Address: **c/o Town Hall**
6407 Avondale Drive
Nichols Hills, OK 73116

City of Nichols Hills, Oklahoma

Tax ID: **73-6005346**

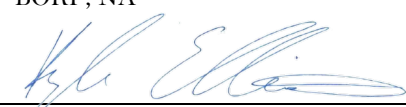
Telephone: **(405) 843-6337**

By
:

Title:

BOKF, NA

By
:


 Kyle Elliott
 Trust Officer



CITY OF NICHOLS HILLS

NOTICE OF PUBLIC HEARINGS CITY OF NICHOLS HILLS, OKLAHOMA CASE NO. PC 2020-02

Notice is hereby given that R. Kraig May, Esq. has filed an application ("the Application") with the City of Nichols Hills, Oklahoma for approval of an affidavit combining two lots generally located at 6820 NW Grand Boulevard in Nichols Hills, Oklahoma. These lots are more specifically described as follows:

Description of Tract 1:

Lot Five (5), Block Forty (40), NICHOLS HILLS, Oklahoma County, Oklahoma, according to the recorded plat thereof.

Description of Tract 2:

Lot Six (6), Block Forty (40), NICHOLS HILLS, Oklahoma County, Oklahoma, according to the recorded plat thereof.

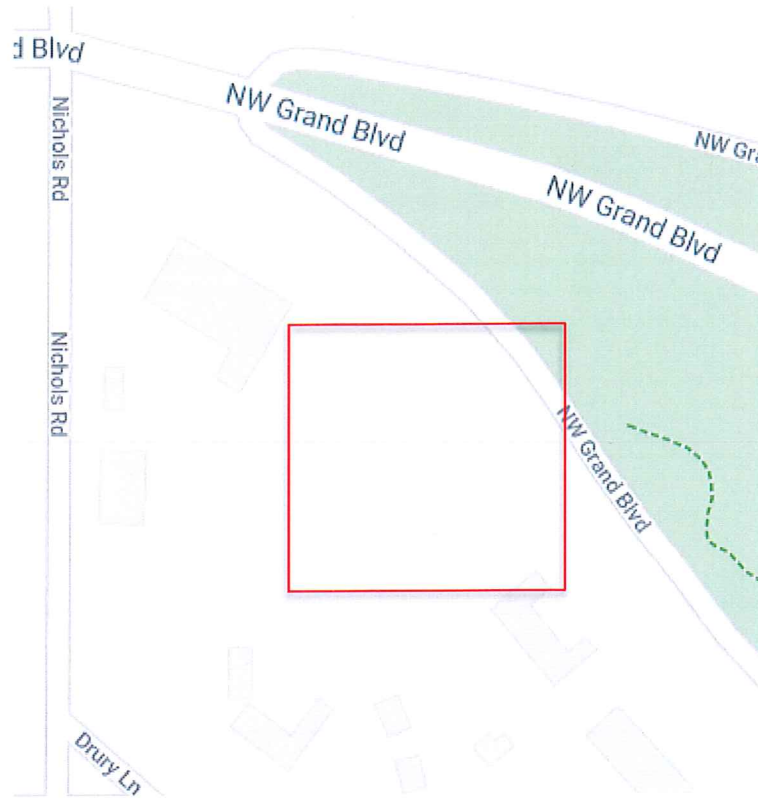
The Application and supporting documentation may be inspected in the office of the City Clerk during regular business hours.

This Application will be considered at public hearings to be held by both the Nichols Hills Planning Commission and the Nichols Hills City Council. These public hearings will be held by the Nichols Hills Planning Commission on **Monday, November 2, 2020 at 5:30 p.m.**, and by the Nichols Hills City Council on **Tuesday, November 10, 2020 at 5:30 p.m.** Both public hearings will be held at the Council Chambers at Nichols Hills City Hall, 6407 Avondale Drive, Nichols Hills, Oklahoma, 73116.

Any person having any objection to or supporting this Application may appear before the Planning Commission and the City Council on the above dates for the public hearings and show cause why the proposed Application should be approved or disapproved. Written support for or protest against the proposed Application may be mailed to the City Clerk at 6407 Avondale Drive, Nichols Hills, Oklahoma, 73116. Written support or protests must be received by the City Clerk not less than three (3) days before the public hearing.

The following map shows the area affected by the Application:

Attachment: PC-2020-02 application (4898 : 9 Public Hearing - 6820 Grand Lot Combination)



Dated this 12th day of October, 2020

Amanda Copeland

Amanda Copeland, City Clerk
City of Nichols Hills, Oklahoma

APPLICATION FOR COMBINED LOT DEED APPROVAL

TO: Planning Commission and City Council
City of Nichols Hills
6407 Avondale Drive
Nichols Hills, OK 73116

Pursuant to Section 4.5.4 of the City of Nichols Hills Subdivision Regulations, the undersigned, states as follows:

1. I am counsel for Grand Hills, L.L.C., an Oklahoma limited liability company (the "**Applicant**"). This Application is being submitted to request combination of Lots Five (5) and Six (6), Block Forty (40), in NICHOLS HILLS ADDITION to Oklahoma County, State of Oklahoma according to the recorded plat thereof (the "**Property**").

2. The Applicant's address and other contact information are as follows:

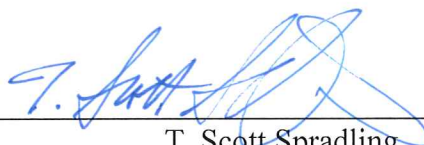
C/o R. Kraig May, Esq.
501 NW Grand Boulevard
Oklahoma City, OK 73118

Tel: 405-767-7309
Fax: 405767-5913
Email: Kraig.May@midfirst.com

3. Submitted herewith are the following:

- 3.1 Executed Combined Lot Affidavit;
- 3.2 Certified copy of Applicant's deed to the Property;
- 3.3 Certified pin survey of the Property;
- 3.4 Certified copies of the deeds to the Lots bordering the Property;
- 3.5 Written consents regarding the combination of the Lots comprising the Property from all owners of the Lots bordering the Property (This Application shall constitute the Applicant's consent to such combination.);
- 3.6 Certificate of American Eagle Title Insurance Company listing the names and addresses of the owners of all property within 300 feet of the Property; and
- 3.7 Our firm's check in the amount of \$500 for the non-refundable filing fee required for this Application.

IN WITNESS WHEREOF, I have signed and submitted this Application on October 7,
2020.



T. Scott Spradling

AFFIDAVIT

STATE OF OKLAHOMA

COUNTY OF OKLAHOMA

Nichole Lipps, being first duly sworn, stated:

1. My name is Nichole Lipps. I am over the age of 18. I am a resident of the State of Oklahoma. I am Vice President of W. R. Johnston & Co., an Oklahoma corporation, which is Manager of Grand Hills, L.L.C., an Oklahoma limited liability company, and I have personal knowledge of the matters stated in this Affidavit.
2. The purpose of this Affidavit is to document of record a Combined Lot, as defined by the Nichols Hills Subdivision Regulations, such being the combination of two lots that are owned by Grand Hills, L.L.C. in the City of Nichols Hills, Oklahoma. The subject Combined Lot is being created pursuant to the Nichols Hills Subdivision Regulations.
3. The legal descriptions of the two lots are:

Lot Five (5) in Block Forty (40) of the City of Nichols Hills, Oklahoma County, Oklahoma according to the recorder plat thereof.

Lot Six (6) in Block Forty (40) of the City of Nichols Hills, Oklahoma County, Oklahoma according to the recorder plat thereof.
4. The legal description of the Combined Lot, as defined by the Nichols Hills Subdivision Regulations, is:

All of Lots Five (5) and Six (6) in Block Forty (40) of the City of Nichols Hills, Oklahoma County, Oklahoma according to the recorder plat thereof.
5. As Owner of the above-described Combined Lot, I acknowledge on behalf of Grand Hills, L.L.C. the following:
 - a. Grand Hills, L.L.C. may not sell, transfer, convey, or mortgage either of the lots comprising the Combined Lot separate or apart from each other, and any attempt to do so will be void.
 - b. Such covenants will run with title to the Combined Lot and will be binding on all parties having or acquiring any right, title, or interest in the Combined Lot.
 - c. Such covenants will be for and inure to the benefit of the City of Nichols Hills, which will have the right and standing to enforce the terms of such covenant.

I declare to the best of my knowledge and belief that the information in this Affidavit is true, correct, and complete.

Executed this 5th day of October, 2020.

Nichole Lipps

Nichole Lipps, Vice President, W. R. Johnston & Co., as
Manager of Grand Hills, L.L.C.

Subscribed and sworn to before me this 5th day of October, 2020.

Dana Goodin

Notary Public

My Commission Expires: 8/01/22

Commission Number: 14006980



This conveyance was approved on the ____ day of October, 2020, by the City Council of the City of Nichols Hills, Oklahoma, pursuant to the Nichols Hills Subdivision Regulations as adopted by the Nichols Hills City Code.

By: _____

Name: _____

Title: _____

Doc # 2005133836
 Bk 9835
 Pg 822-822
 DATE 08/26/05 15:53:48
 Filing Fee \$13.00
 Documentary Tax \$2850.00
 State of Oklahoma
 County of Oklahoma
 Oklahoma County Clerk
 Carolyn Caudill

WARRANTY DEED

(Statutory Form - Individual)

KNOW ALL MEN BY THESE PRESENTS:

That Miller Investments, LLC, an Oklahoma Limited Liability Company, party of the first part, in consideration of the sum of Ten dollars and Zero cents, and other valuable considerations, in hand paid, the receipt which is hereby acknowledged, does hereby, grant, bargain, sell and convey unto Grand Hills, L.L.C., party of the second part, the following described real property and premises situated in Oklahoma County, State of Oklahoma, to wit:

All of Lots Five (5) and Six (6) in Block Forty (40) of the City of Nichols Hills, Oklahoma County, Oklahoma, according to the recorder plat thereof.

After recording return to:
 Grand Hills, L.L.C.
 501 NW Grand Blvd.
 Suite 300
 Oklahoma City, OK 73118

CERTIFIED COPY

SEP 26 2005

Oklahoma City Abstract & Title Co.
 P.O. Box 260
 Oklahoma City, OK 73101

CAROLYNN CAUDILL
 County Clerk, Oklahoma County
 By [Signature] Deputy

SUBJECT TO easements, restrictions, and mineral conveyances of record.
 together with all the improvements thereon and the appurtenances thereunto belonging, and warrant the title to the same.

TO HAVE AND TO HOLD said described premises unto the said party of the second part, his/her heirs and assigns forever, free and discharged of and from all former grants, charges, taxes, judgments, mortgages and other liens and encumbrances of whatsoever nature.

Signed and delivered this 25th day of August, 2005.

Miller Investments, LLC, an Oklahoma Limited
 Liability Company

[Signature]
 By: Charles M. Kuehl, Manager

STATE OF OKLAHOMA)
) SS:
 COUNTY OF Oklahoma)

INDIVIDUAL ACKNOWLEDGMENT
 Oklahoma Form

25th day of August, 2005

Before me, the undersigned, a Notary Public, in and for this State, on this, personally appeared Charles M. Kuehl, Manager for Miller Investments, LLC, an Oklahoma Limited Liability Company to me known to be the identical person who executed the within and foregoing instrument acknowledged to me that he/she executed the same as his/her free and voluntary act and deed for the uses and purposes therein set forth.

Given under my hand and seal the day and year last above written.

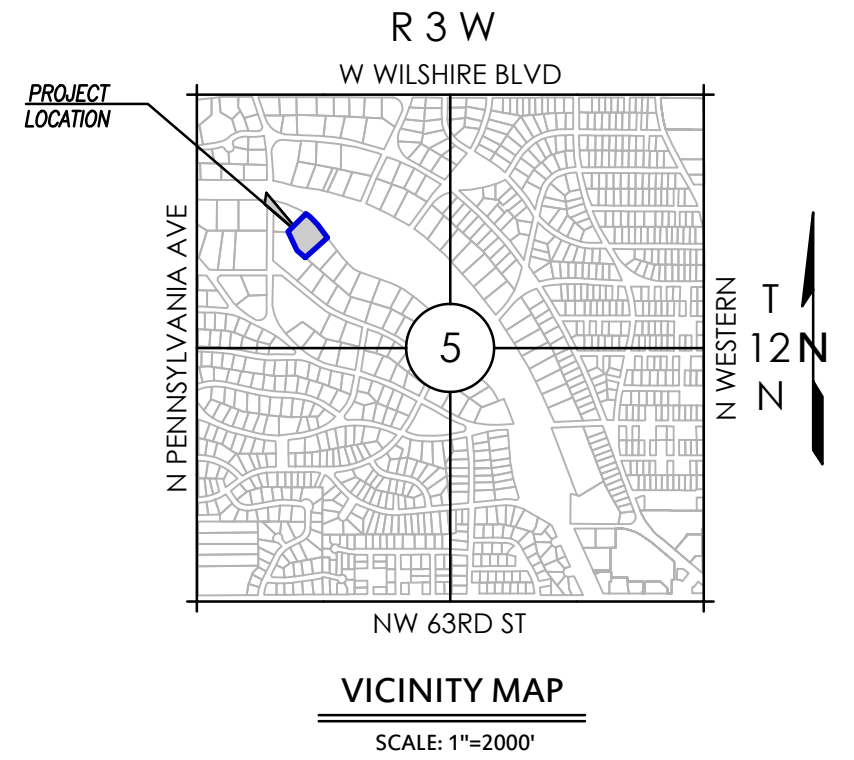
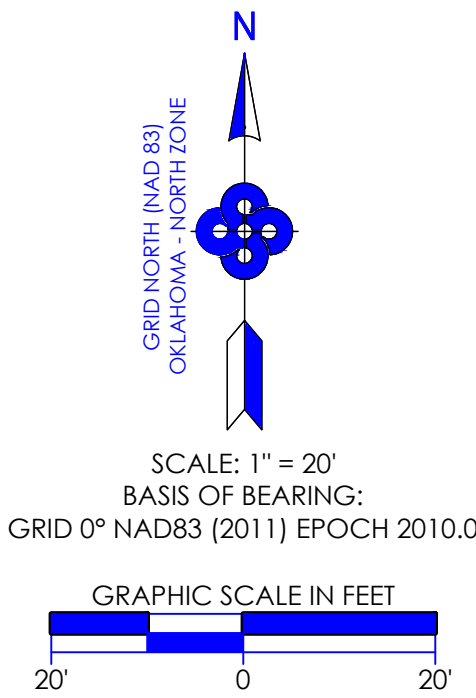
My Commission expires: 7/6/08

File # 25008564



[Signature] Notary Public
 Michele A. Talbert

TOPOGRAPHIC SURVEY
OF
A PORTION OF
THE NW/4 OF SECTION 5, T12N, R3W, I.M.
NICHOLS HILLS, OKLAHOMA COUNTY, OKLAHOMA



GENERAL NOTES

- The Boundary Configuration shown on this survey does not purport to be a Tract Split, Replat or any other Subdivision of land as may be defined by City, County, or State Law, the Land Owner should check with the Local Planning/Development Jurisdiction for the proper Platting Procedures before proceeding with this development.
- Except as specifically stated or shown on this plat, this survey does not purport to reflect any of the following which may be applicable to the subject real estate.
 - Easements, other than possible easements which were visible at the time of the survey.
 - Building Setback Lines.
 - Restrictive Covenants.
 - Subdivision Restrictions.
 - Zoning or other Land-Use Regulations.

The Term "Certify" or "Certification" and "Correct" as noted hereon and as it pertains to Land Surveying Services as shown on this document shall mean, "A Statement Signed by the Professional Land Surveyor based on the facts and knowledge known to the Professional Land Surveyor at the time of the Survey and is not a Guarantee or Warranty, either implied or expressed.

A Title search was not conducted by a certified Title Company. All documents were provided by the client/clients and/or researched by the Surveyor, and may be subject to record/unrecorded: easements, rights of way, covenants, building setback lines, subdivision restrictions, zoning, land regulations which an accurate Title Search may reveal.

No attempt has been made, as a part of this boundary survey, to obtain or show data concerning existence, size depth, condition capacity, or location of any utility or facilities.

THIS PLAT OF SURVEY MEETS THE OKLAHOMA MINIMUM STANDARDS FOR THE PRACTICE OF LAND SURVEYING AS ADOPTED BY THE OKLAHOMA STATE BOARD OF LICENSURE FOR PROFESSIONAL ENGINEERS AND LAND SURVEYORS.

UTILITY DISCLAIMER

The underground utilities shown have been located from record documents or field locations by the operator. The surveyor makes no guarantee that the underground utilities shown comprise all such utilities in the area either in service or abandoned. The surveyor further does not warrant that the underground utilities shown are in the exact location indicated although the surveyor does certify that they are located as accurately as possible from the information available. The surveyor has not physically located the underground utilities.

Utility elevations and sizes may have been measured under adverse field conditions. Upon exposing the utility, elevations and line sizes should be verified by the contractor prior to construction. Contractor should verify critical elevations using the benchmark provided by the surveyor or engineer. Any discrepancies should be immediately brought to the engineer's and surveyor's attention.



Surveyor Certification

I, Denver Winchester, Registered Land Surveyor, in the State of Oklahoma, do hereby certify that a survey was made under my direct supervision of the above described property to the best of my knowledge and belief and further certify that this is a true and correct Plat of Survey.

DENVER WINCHESTER, LPLS 1952



TOPOGRAPHIC SURVEY NW/4, SEC 5, T12N, R3W, I.M.
NICHOLS HILLS,
OKLAHOMA COUNTY, OKLAHOMA



300 Pointe Parkway Boulevard
Yukon, OK 73099
405.787.6270 FAX: 405.787.6276
www.craftontull.com

Engineers & Surveyors
CA 973 (PE/LS) EXPIRES 2018

REVISION DATE	PLAT DATE	CREW CHIEF	FIELD QA/QC	DRAWN	QA/QC	SHEET NO	PROJECT NO
	7/10/18	XX	----	JJ	DW	1	18608800

AS-SURVEYED LEGAL DESCRIPTION

Lots Five (5) and Six (6), Block Forty (40) of NICHOLS HILLS, an addition to the City of Nichols Hills, Oklahoma County, Oklahoma, according to the recorded plat thereof.

LEGEND

BARBED WIRE FENCE	CHAIN LINK FENCE	PIPE FENCE	MASONRY FENCE	WROUGHT IRON FENCE	WOOD PRIVACY FENCE	OVERHEAD ELECTRIC	UNDERGROUND ELECTRIC	UNDERGROUND TELEPHONE	SANITARY SEWER	GAS LINE	FIBER OPTIC	WATER LINE	RAILROAD (RAIL)	EDGE OF TIMBER/D RIP LINE	FLOWLINE DITCH/LOW	STORM SEWER PIPE	GRAVEL DRIVE	EDGE ASPH/CONC	CURB AND GUTTER	BUILDING LIMIT LINE	EASEMENT	PROPERTY LINE	DRIP LINE
PROPERTY CORNER	PROPERTY LINE	FENCE CORNER	SIDEWALK	RIGHT OF WAY	UTILITY EASEMENT	BUILDING LIMIT	HCP	BLDG	B/C	BUILDING CORNER	OBL	OUT-BUILDING LIMIT											

SET MONUMENT	FOUND MONUMENT (AS NOTED)	STORM SEWER MANHOLE	SANITARY SEWER MANHOLE	POWER POLE	TELEPHONE PEDESTAL	TV PEDESTAL	GAS METER	FIRE HYDRANT ASSEMBLY	WATER METER	ELECTRIC PEDESTAL	UTILITY MARKER/SIGN	WATER WELL	SIGNAL PULL BOX	SPRINKLER HEAD	SPRINKLER CONTROL VALVE	TRAFFIC CONTROL BOX
BENCHMARK	CONTROL POINT	BOLLARD/GUARD POST	YARDLIGHT	MAILBOX	TREE	PRESSURE RELIEF VALVE	SHRUB/BUSH	CLEANOUT	ELECTRIC MANHOLE	FIRE DEPT CONNECTION	GAS VENT PIPE	ELECTRIC JUNCTION BOX	TRANSFORMER	LIGHT POLE	TELEPHONE MANHOLE	

NOTE: SET MONUMENTS EITHER 3/8" IRON PINS
W/ CAPS STAMPED "CA973" OR MAG NAILS WITH
ALUMINUM SHINERS STAMPED "CA973"
UNLESS OTHERWISE NOTED

WARRANTY DEED

KNOW ALL MEN BY THESE PRESENTS:

That TOM E. LOVE and JUDITH M. LOVE (the "Grantors"), husband and wife, in consideration of the sum of Ten and no/100 dollars and other valuable consideration, in hand paid, the receipt of which is hereby acknowledged, hereby grant, bargain, sell and convey in equal shares unto the THOMAS E. LOVE REVOCABLE TRUST, created under that certain Revocable Trust Agreement dated March 21, 2000, and the JUDITH M LOVE REVOCABLE TRUST, under that certain Revocable Trust Agreement dated July 17, 2000 (the "Grantees"), the following described real property and premises situate in Oklahoma County, Oklahoma, to-wit:

Lot Seven (7), Block Forty (40) in NICHOLS HILLS ADDITION,
Oklahoma County, Oklahoma, according to the recorded plat
thereof,

together with all the improvements thereon and the appurtenances thereunto belonging, and warrant the title to the same.

TO HAVE AND TO HOLD said described premises unto the Grantees and their successors and assigns forever, free, clear and discharged of and from all former grants, charges, taxes, judgments, mortgages and other liens and encumbrances of whatsoever nature, except easements and restrictions of record.

IN WITNESS WHEREOF, the Grantors have hereunto set their hands this 28th day of Nov, 2001.

Tom E. Love
Tom E. Love

Judith M. Love
Judith M. Love

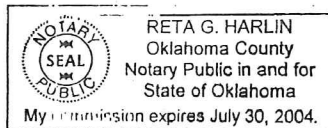
"EXEMPT DOCUMENTARY STAMP TAX-68 O.S. §§3201(A) AND 3202(4)"

STATE OF OKLAHOMA)
) ss:
COUNTY OF OKLAHOMA)

Before me, a Notary Public in and for said County and State, on this 28th day of Nov, 2001, personally appeared Tom E. Love and Judith M. Love, to me known to be the identical persons who executed the within and foregoing instrument, and acknowledged to me that they executed the same as their free and voluntary act and deed for the uses and purposes therein set forth.

Given under my hand and seal the day and year last above written.

(Seal)



Return To:

Mary McAnulty
Loves Travel Stops & Country Stores
P.O. Box 26210
Oklahoma City, OK 73126

Reta G. Harlin
Notary Public

My Commission Expires 7-30-04

Doc # 2001174320
Bk 8288
Pg 816-816
DATE 12/10/01 14:11:33
Filing Fee \$13.00
Documentary Tax \$0.00
State of Oklahoma
County of Oklahoma
Oklahoma County Clerk
Carolynn Caudill

CERTIFIED COPY

OCT 02 2020

DAVID B. HOOTEN
County Clerk, Oklahoma County
By [Signature] Deputy

Attachment: PC-2020-02 application (4898 : 9 Public Hearing - 6820 Grand Lot Combination)

WARRANTY DEED Statutory Form - Individual

Doc # 2006086775
Ok 10139
Pg 1155-1155
DATE 06/09/06 14:25:14
Filing Fee \$13.00
Documentary Tax \$2592.00
State of Oklahoma
County of Oklahoma
Oklahoma County Clerk

Know All Men by These Presents:

THAT, J. Pat Livingston, Trustee of the J. Pat Livingston 2004 Revocable Trust dated the 8th day of May, 2004, party of the first part, in consideration of the sum of TEN and No/100 (\$10.00) in hand paid, the receipt of which is hereby acknowledged, does hereby Grant, Bargain, Sell and Convey unto The Number 8 Trust, party of the second part, the following described real property and premises situate in Oklahoma County, State of Oklahoma, to wit:

Lot Four (4), in Block Forty (40), in NICHOLS HILLS ADDITION, Oklahoma County, Oklahoma, according to the recorded plat thereof.

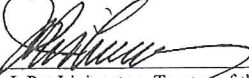
Grantee's Mailing Address:

TAX ID #: 169574880

LESS AND EXCEPT ALL OIL, GAS AND OTHER MINERALS IN AND UNDER THE LAND previously conveyed or reserved of record together with all the improvements thereon and the appurtenances thereunto belonging, and warrant the title to the same.

TO HAVE AND TO HOLD said described premises unto the said party of the second part, his heirs and assigns forever, free, clear and discharged of and from all former grants, charges, taxes, judgments, mortgages and other liens and encumbrances of whatsoever nature. **SUBJECT** to existing zoning, easements, right-of-ways and restrictive covenants of record.

Signed and delivered this 2nd day of June, 2006



J. Pat Livingston, Trustee of the J. Pat Livingston 2004
Revocable Trust dated the 8th day of May, 2004

6050246 FF \$13.00 DS \$2,592.00

INDIVIDUAL ACKNOWLEDGMENT Oklahoma Form

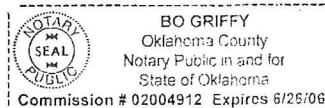
STATE OF OKLAHOMA)
) SS
COUNTY OF OKLAHOMA)

Before me, the undersigned, a Notary Public in and for said County and State on the 2nd day of June, 2006, personally appeared, J. Pat Livingston, Trustee of the J. Pat Livingston 2004 Revocable Trust dated the 8th day of May, 2004, to me known to be the identical person who executed the within and foregoing instrument and acknowledged to me that he executed the same as his free and voluntary act and deed for the uses and purposes therein set forth.

Given under my hand and seal the day and year last above written.

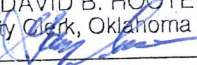

Bo Griffy
Commission Expires: _____

RETURN TO *nt*
Stewart Abstract & Title of Oklahoma
701 N. Broadway
Suite 300
Oklahoma City, Oklahoma 73102



CERTIFIED COPY

OCT 02 2020

DAVID B. HOOTEN
County Clerk, Oklahoma County
By  Deputy

2,592.00

15

JOINT TENANCY
WARRANTY DEED
(INDIVIDUAL)

KNOW ALL MEN BY THESE PRESENTS:

That A. MAX WEITZENHOFFER, JR., SUCCESSOR CO-TRUSTEE and LEROY ROWLAND,
SUCCESSOR CO-TRUSTEE and ROBERT A. REECE, SUCCESSOR CO-TRUSTEE OF THE CLARA R. WEITZENHOFFER 1991 party(IES)
REVOCABLE TRUST
of the first part, in consideration of the sum of TEN AND NO/100 dollars, and other
valuable considerations, in hand paid, the receipt of which is hereby acknowledged,
do (ES) hereby grant, bargain, sell and convey unto STEVEN M. KATIGAN AND CARRIE M.
KATIGAN, HUSBAND AND WIFE as joint tenants
and not as tenants in common, with the right of survivorship, the whole estate to vest
in the survivor in event of the death of either, parties of the second part, the
following described real property and premises situated in OKLAHOMA
County, State of Oklahoma, to-wit:

LOTS EIGHT (8) AND NINE (9), OF BLOCK FORTY (40), IN NICHOLS HILLS, TO OKLAHOMA
COUNTY, OKLAHOMA, ACCORDING TO THE RECORDED PLAT THEREOF.

Doc # 2000149425
Bk 7968
Pg 1932-1932
DATE 11/16/00 14:02:10
Filing Fee \$8.00
Documentary Tax \$3750.00
State of Oklahoma
County of Oklahoma
Oklahoma County Clerk
Carolynn Caudill

RETURN TO: STEVEN M. KATIGAN
6303 Waterford Blvd Ste 255
Oklahoma City, OK 73118

TAXES TO: SAME
State of Oklahoma
County of Oklahoma
Oklahoma County Clerk
Carolynn Caudill

LESS AND EXCEPT any interest in and to all of the oil, gas and mineral
rights which have been previously conveyed or reserved of record and
subject to easements, restrictive covenants and rights of way of record.

together with all the improvements thereon, and the appurtenances thereunto belonging,
and warrant the title to the same.

TO HAVE AND TO HOLD said described premises unto the said parties of the second
part, as such joint tenants, and to the heirs and assigns of the survivor, forever,
free, clear and discharged of and from all former grants, charges, taxes, judgments,
mortgages and other liens and encumbrances of whatsoever nature.

Signed and delivered this 15th day of November, 2000

A. MAX WEITZENHOFFER, JR.,
CO-TRUSTEE

LEROY ROWLAND,
CO-TRUSTEE

ROBERT A. REECE,
CO-TRUSTEE

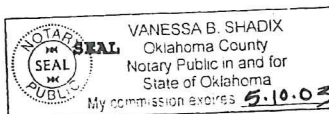
INDIVIDUAL ACKNOWLEDGMENT

AMERICAN GUARANTY TITLE CO.
4040 N. TULSA
OKLAHOMA CITY, OK 73112

STATE OF OKLAHOMA)
COUNTY OF OKLAHOMA)

) SS:
)

Before me, a Notary Public in and for this State, on this November 15th, 2000 (date),
personally appeared A. MAX WEITZENHOFFER, JR., SUCCESSOR CO-TRUSTEE and LEROY
ROWLAND, SUCCESSOR CO-TRUSTEE and ROBERT A. REECE, SUCCESSOR CO-TRUSTEE OF THE CLARA R. WEITZENHOFFER 1991 REVOCABLE
to me known to be the identical person(s) who executed the within and foregoing
instrument, and acknowledged to me that (HE/SHE/THEY) executed the same as
(HIS/HER/THEIR) free and voluntary act and deed, for the uses and purposes therein set
forth.



Vanessa B. Shadix, Notary Public.
VANESSA B. SHADIX
My commission expires: May 10th, 2003

3,750.00

File # 00080005 H

CERTIFIED COPY

OCT 02 2020

DAVID B. HOOTEN
County Clerk, Oklahoma County
Deputy

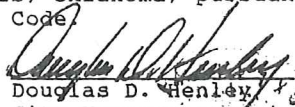
Attachment: PC-2020-02 application (4898 : 9 Public Hearing - 6820 Grand Lot Combination)

1/8

"This conveyance was approved on the 20th day of December, 2001, by the City Manager of the City of Nichols Hills, Oklahoma, pursuant to Chapter 21, Article III, Nichols Hills City Code.

After Recording Return to:

✓ T. Scott Spradling
101 Park Ave, Suite 700
Oklahoma City, OK 73102


Douglas D. Henley
City Manager

QUITCLAIM DEED

KNOW ALL MEN BY THESE PRESENTS:

THAT DAVID K. MERRITT and CHERYL K. MERRITT, husband and wife (the "David Merritts"), and BORDER R. MERRITT ("Border Merritt") (the David Merritts and Border Merritt being hereinafter collectively called the "Grantors"), for valuable consideration, the receipt and sufficiency of which are hereby acknowledged, do hereby quitclaim, grant, bargain, sell and convey unto STEVEN M. KATIGAN and CARRIE M. KATIGAN, husband and wife (the "Grantees"), as joint tenants and not as tenants in common, with full rights of survivorship, the whole estate to vest in the survivor in the event of the death of either, whose mailing address is 1609 Drury Lane, Nichols Hills, OK 73116, all of Grantors' right, title, interest, estate and every claim and demand, both at law and in equity, in and to the real property and premises situated in Oklahoma County, State of Oklahoma, more particularly described on Exhibit A attached as a part hereof (the "Land"), together with all the improvements thereon and easements and appurtenances belonging thereto (the Land and such improvements, easements and appurtenances being herein collectively called the "Real Property").

TO HAVE AND TO HOLD the Real Property unto the Grantees, their heirs, successors and assigns, so that neither Grantors, nor any person in Grantors' name and behalf, shall or will hereafter claim or demand any right or title to the Real Property or any part thereof, but they and every one of them shall by these presents be excluded and forever barred.

There is no monetary consideration given for this deed. This deed given to correct and confirm the common boundary between the Land and certain land owned by Grantors. Therefore, this deed is exempt from Documentary Stamp Tax pursuant to 68 Okla. Stat. §3201 (2001), as amended, and 68 Okla. Stat. §3202, Paragraph 3.

By his execution hereof and to induce the Grantees to accept this Deed, Border Merritt warrants and confirms that no part of the Real Property is or was his homestead. Nevertheless, Crystal Merritt, wife of Border Merritt, joins in this Deed for the purpose of confirming that she claims no right, title, estate, lien, encumbrance, or other interest in the Real Property.

IN WITNESS WHEREOF, Grantors have executed and delivered this instrument as of the 2nd day of October, 2001.

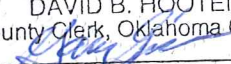
[Executions appear on following page]

Doc # 2001184689
Bk 8307
Pg 1709-1712
DATE 12/28/01 14:58:06
Filing Fee \$19.00
Documentary Tax \$0.00
State of Oklahoma
County of Oklahoma
Oklahoma County Clerk
Carolynn Caudill

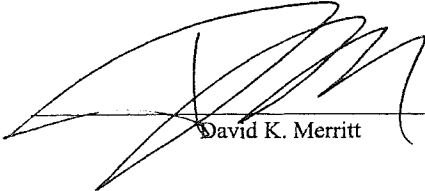
4/19

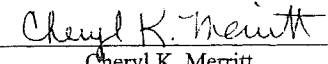
CERTIFIED COPY

OCT 02 2020

DAVID B. HOOTEN
County Clerk, Oklahoma County
By  Deputy

"Grantors":


David K. Merritt


Cheryl K. Merritt

STATE OF OKLAHOMA)
) SS.
COUNTY OF OKLAHOMA)

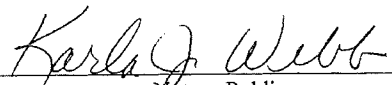
This instrument was acknowledged before me on October 2, 2001, by David K. Merritt and Cheryl K. Merritt, husband and wife.

[Seal]

My Commission expires:

March 28, 2005




Notary Public

KARLA J. WEBB
Canadian County
Notary Public in and for
State of Oklahoma
My commission expires Mar. 28, 2005.

[Border Merritt's execution appears on following page]

"Grantor":

Border R. Merritt
Border R. Merritt

Crystal C. Merritt
Crystal Merritt

STATE OF OKLAHOMA)
) SS.
COUNTY OF OKLAHOMA)

This instrument was acknowledged before me on October 2, 2001, by Border R. Merritt and Crystal Merritt, husband and wife.

[Seal]

My Commission expires:

March 28, 2005

Karla J. Webb
Notary Public

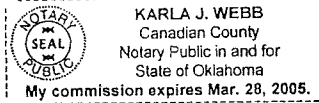


EXHIBIT A**Legal Description of the Land**

All of Lots Eight (8) and Nine (9), in Block Forty (40), of Nichols Hills, to Oklahoma County, Oklahoma, LESS AND EXCPET a part of said Lot 9, said part being more particularly described as follows:

Beginning at the Southeast Corner of said Lot Nine (9); thence North 37°00'26" East a distance of 73.58 feet; thence North 37°32'21" East a distance of 44.02 feet; thence South 54°32'04" East a distance of 2.64 feet; thence North 38°09'09" East a distance of 68.25 feet; thence North 50°00'48" West a distance of 3.78 feet; thence North 39°18'45" East a distance of 58.66 feet to a point on the North line of said Lot Nine (9); thence South 48°58'45" East (Field) (South 49°07'00" East per the Plat) along the North line of said Lot Nine (9) a distance of 13.26 feet to the Northeast corner of said Lot Nine (9); thence South 40°48'52" West (Field) (South 41°04'00" West per the Plat) along the East line of said Lot Nine (9) a distance of 244.32 feet (Field) (244.50 feet per the Plat) to the point of beginning, containing 1,615.6742 square feet or 0.0371 acres more or less.

The foregoing part of Lot 9 excepted from Grantors' conveyance in this Deed is being conveyed by Grantees to Grantors by separate Quitclaim Deed.

The street address of the above described property is 1609 Drury Lane, Nichols Hills, OK 73116.

CONSENT OF ABUTTING OWNER
TO
LOT COMBINATION

The undersigned represent that they reside at 6824 NW Grand Boulevard, Nichols Hills, Oklahoma 73116, which is legally described as:

Lot Seven (7), Block Forty (40), in NICHOLS HILLS ADDITION (the "**Addition**"), to Oklahoma County, Oklahoma, according to the recorded plat thereof (our "**Property**").

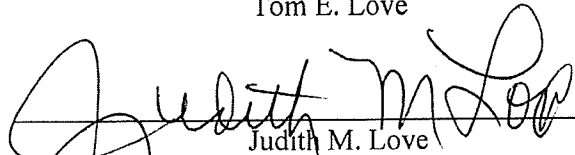
Legal title to our Property is held in the names of the Thomas E Love Revocable Trust and the Judith M Love Revocable Trust (collectively the "**Trusts**"). We, or either of us, directly or indirectly, own or control the Trusts. The easterly boundary of our Property is also the westerly boundary of Lot Six (6), Block Forty (40) in the Addition. We are informed that:

1. Grand Hills, L.L.C. ("**Grand Hills**") owns Lots Five (5) and Six (6), Block Forty (40) in the Addition and desires to combine those lots into a single building site; and
2. The subdivision regulations of the City of Nichols Hills require that the owner of two lots to be combined into a single "Combined Lot" must obtain the written consent to the combination of the two lots from owners of the bordering lots.

We, for ourselves and on behalf of the Trusts, hereby consent to Grand Hills' combination of Lots Five (5) and Six (6), Block Forty (40) in the Addition into a single building site.



 Tom E. Love



 Judith M. Love

Date: Sept 30, 2020

CONSENT OF ABUTTING OWNER
TO
LOT COMBINATION

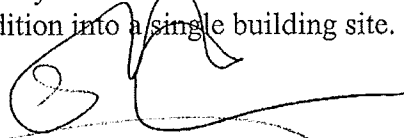
The undersigned represent that they reside at 6806 NW Grand Boulevard, Nichols Hills, Oklahoma 73116, which is legally described as:

Lot Four (4), Block Forty (40), in NICHOLS HILLS ADDITION (the "**Addition**"), to Oklahoma County, Oklahoma, according to the recorded plat thereof (our "**Property**").

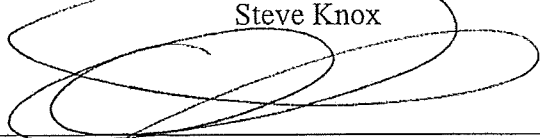
Legal title to our Property is held in the name of The Number 8 Trust (the "**Trust**"). We, or either of us, directly or indirectly, own or control the Trust. The northwesterly boundary of our Property is also the southeasterly boundary of Lot Five (5), Block Forty (40) in the Addition. We are informed that:

1. Grand Hills, L.L.C. ("**Grand Hills**") owns Lots Five (5) and Six (6), Block Forty (40) in the Addition and desires to combine those lots into a single building site; and
2. The subdivision regulations of the City of Nichols Hills require that the owner of two lots to be combined into a single "Combined Lot" must obtain the written consent to the combination of the two lots from owners of the bordering lots.

We, for ourselves and on behalf of the Trust, hereby consent to Grand Hills' combination of Lots Five (5) and Six (6), Block Forty (40) in the Addition into a single building site.



 Steve Knox



 Renee Knox

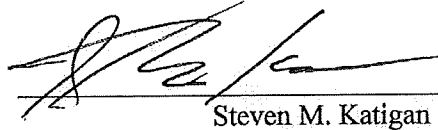
Date: 9/26/, 2020

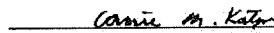
CONSENT OF ABUTTING OWNER
TO
LOT COMBINATION

The undersigned represent that they reside at 1609 Drury Lane, Nichols Hills, Oklahoma 73116, which is legally described on **Exhibit A** attached as a part hereof and which is herein called our "**Property**." A part of the rear (i.e. easterly and northeasterly) boundary of our Property is also the rear (i.e. southwesterly) boundary of Lots Five (5) and Six (6), Block Forty (40) in the Addition (as defined on **Exhibit A**). We are informed that:

1. Grand Hills, L.L.C. ("**Grand Hills**") owns Lots Five (5) and Six (6), Block Forty (40) in the Addition and desires to combine those lots into a single building site; and
2. The subdivision regulations of the City of Nichols Hills require that the owner of two lots to be combined into a single "Combined Lot" must obtain the written consent to the combination of the two lots from owners of the bordering lots.

We hereby consent to Grand Hills' combination of Lots Five (5) and Six (6), Block Forty (40) in the Addition into a single building site.


 Steven M. Katigan


 Carrie M. Katigan

Date: 9-28-2020, 2020

EXHIBIT A**Legal Description of Our Property**

All of Lots Eight (8) and Nine (9), in Block Forty (40), of Nichols Hills (the "**Addition**"), to Oklahoma County, Oklahoma, LESS AND EXCPET a part of said Lot 9, said part being more particularly described as follows:

Beginning at the Southeast Corner of said Lot Nine (9); thence North 37°00'26" East a distance of 73.58 feet; thence North 37°32'21" East a distance of 44.02 feet; thence South 54°32'04" East a distance of 2.64 feet; thence North 38°09'09" East a distance of 68.25 feet; thence North 50°00'48" West a distance of 3.78 feet; thence North 39°18'45" East a distance of 58.66 feet to a point on the North line of said Lot Nine (9); thence South 48°58'45" East (Field) (South 49°07'00" East per the Plat) along the North line of said Lot Nine (9) a distance of 13.26 feet to the Northeast corner of said Lot Nine (9); thence South 40°48'52" West (Field) (South 41°04'00" West per the Plat) along the East line of said Lot Nine (9) a distance of 244.32 feet (Field) (244.50 feet per the Plat) to the point of beginning, containing 1,615.6742 square feet or 0.0371 acres more or less.

CERTIFICATE

The undersigned bonded abstractor in and for Oklahoma County, Oklahoma, does hereby certify that attached as Exhibit "B" hereto is a list of owners and their addresses of property adjoining within 300 feet of the land described on Exhibit "A" attached hereto according to the records maintained in the Office of the County Treasurer of Oklahoma County, Oklahoma.

Executed at Oklahoma City, Oklahoma this 5th Day of October 2020.
With an effective date of through September 24, 2020 at 5:00 p.m.

AMERICAN EAGLE TITLE INSURANCE
COMPANY

Eric Offen
President

State of Oklahoma)
) ss.
County of Oklahoma)

This instrument was acknowledged before me on October 5, 2020, by Eric Offen, President.

NOTARY PUBLIC

My commission expires:
Order No. 2010-0048-20



“Exhibit A”

Lots 5 and 6, Block 40, Nichols Hills, Oklahoma County, Oklahoma, according to the plat recorded in Book 22 of Plats, page 44.

“Exhibit B”

Dated: October 5, 2020

Order No. 2010-0048-20

G. Rainey Williams, Jr. 1987 Exemption Trust G. Rainey Williams, Jr. Vamac Trust V-5 G. Rainey Williams, Jr. 1976 Trust G. Rainey Williams, Jr. 1971 Trust G. Rainey Williams, Jr. Revocable Trust Casey H. Williams Revocable Trust 5400 N Grand Blvd Oklahoma City, OK 73112	Lot 3, Block 40, Nichols Hills, Oklahoma County, Oklahoma, according to the plat recorded in Book 22 of Plats, page 44.
The Number 8 Trust 6806 NW Grand Blvd Oklahoma City, OK 73116-5415	Lot 4, Block 40, Nichols Hills, Oklahoma County, Oklahoma, according to the plat recorded in Book 22 of Plats, page 44.
Grand Hills LLC PO Box 54390 Oklahoma City, OK 73154-1390	Lots 5 and 6, Block 40, Nichols Hills, Oklahoma County, Oklahoma, according to the plat recorded in Book 22 of Plats, page 44.
Thomas E. Love Revocable Trust Judith M Love Revocable Trust C/O Ryan LLC Bank of Amer Ctr 15 W 6 th St Ste 2400 Tulsa, OK 74119	Lot 7, Block 40, Nichols Hills, Oklahoma County, Oklahoma, according to the plat recorded in Book 22 of Plats, page 44.
Steven M. Katigan Carrie M. Katigan 1609 Drury Ln Nichols Hills, OK 73116-5410	Lots 8 and 9, Block 40, Nichols Hills, Oklahoma County, Oklahoma, according to the plat recorded in Book 22 of Plats, page 44.
James P. Robertson Claudia B. Robertson 1607 Drury Ln Nichols Hills, OK 73116-5410	Lot 10, Block 40, Nichols Hills, Oklahoma County, Oklahoma, according to the plat recorded in Book 22 of Plats, page 44.
Steven M. Sullivan Revocable Trust 1605 Drury Ln Nichols Hills, OK 73116-5410	Lot 11, Block 40, Nichols Hills, Oklahoma County, Oklahoma, according to the plat recorded in Book 22 of Plats, page 44.
Rockhawk Properties LLC PO Box 890720 Oklahoma City, OK 73189	Part of Lot 7, Block 38, Nichols Hills, Oklahoma County, Oklahoma, according to the plat recorded in Book 22 of Plats, page 44, more particularly described as follows: Beginning at the southeast corner of said Lot 7; Thence South 89°31' West along the south side of Lot 7 a distance of 319 feet; Thence North 00°14' East and parallel to the east line of said Lot 7 a distance of 398.6 feet to a point on the north line of said Lot 7; Thence South 89°46' East along the north line of said Lot 7 a

	distance of 319 feet to the northeast corner of said Lot 7; Thence South 00°14' West along the east line of said Lot 7, a distance of 400 feet to the point or place of beginning.
William D. Hawley, Trustee of the William D. Hawley Living Trust Allen Barrett Hawley, Trustee of the Allen Barrett Hawley Trust Bradley Dean Hawley, Trustee of the Bradley Dean Hawley Trust 6900 NW Grand Blvd Nichols Hills, OK 73116-5004	Lot 1, Block 38, Nichols Hills, Oklahoma County, Oklahoma, according to the plat recorded in Book 22 of Plats, page 44.
James N. George Rebecca K. Morgan 6829 NW Grand Blvd Nichols Hills, OK 73116-5413	Part of Lots 2 and 3, Block 35, Nichols Hills, Oklahoma County, Oklahoma, according to the plat recorded in Book 22 of Plats, page 44, BEG SE/C LT 3 TH NWLY 105.64FT NELY 238.46FT SE 177.75FT SWLY TO A POINT ON S LINE LT 2 BEING 60FT FROM SW/C LT 2 TH NW ALONG S LINE LT 2 60FT TO BEG.
The Carol Fett Johnson Revocable Living Trust 6825 NW Grand Blvd Nichols Hills, OK 73116-5413	Part of Lot 2, Block 35, Nichols Hills, Oklahoma County, Oklahoma, according to the plat recorded in Book 22 of Plats, page 44, BEG 50 NWLY SE/C SD LOT 2 TH NELY TO A POINT 50FT NWLY NW/C LOT 4 BLK 35 NWLY 177.75FT SWLY TO A POINT 60FT SELY SW/C SD LOT 2 SELY 165FT TO BEG.



REC#: 00134818 10/07/2020 10:14 AM
OPER: CO TERM: 005
REF#: 80569
PAID BY:

TRAN: 775.0000 Lot Split, rezoning,
6824 NW GRAND BLVD
TOM LOVE
Combine Lots 500.00CR

TENDERED: 500.00 CHECK
APPLIED: 500.00-

CHANGE: 0.00

City of Nichols Hills
6407 Avondale Dr
Nichols Hills, OK 73116
(405) 843-6637

RESOLUTION NO. _____

A RESOLUTION OF THE CITY COUNCIL OF THE CITY OF NICHOLS HILLS, OKLAHOMA DECLARING AN EMERGENCY TO EXIST IN NICHOLS HILLS; RATIFYING AND APPROVING THE CITY MANAGER'S OCTOBER 27, 2020 DECLARATION OF AN EMERGENCY; RATIFYING AND APPROVING PURCHASES MADE AND CONTRACTS ENTERED INTO BY THE CITY MANAGER PURSUANT TO THE CITY MANAGER'S DECLARATION; AND AUTHORIZING AND DIRECTING THE CITY MANAGER TO MAKE ADDITIONAL EMERGENCY PURCHASES RELATED TO DISASTER RELIEF AND PREPAREDNESS.

WHEREAS, recent unexpected and unforeseen winter ice storms caused trees and related debris to fall on electrical lines and obstruct vehicular and pedestrian traffic on City streets and public rights-of-way; and

WHEREAS, the dead tree limbs and related debris must be removed from electrical lines, city streets, and public rights-of-way as soon as possible; and

WHEREAS, on October 26, 2020, Governor Kevin Stitt, Governor of the State of Oklahoma, declared a state of emergency for 47 Oklahoma Counties, including Oklahoma County, due to the winter ice storm that continues to impact the State; and

WHEREAS, on October 27, 2020, pursuant to the authority vested in him under Section 18-26(b) of the Nichols Hills City Code and applicable state law, and in light of Governor Stitt's October 26, 2020 declaration of a state of emergency from the recent winter weather for 47 Oklahoma Counties, including Oklahoma County, the City Manager declared an emergency in Nichols Hills resulting from the recent winter ice storm until such time as the City Council could meet and make a determination as to whether a state of emergency exists, and in connection with such declaration, issued orders enumerated in the attached Exhibit A ("City Manager's Declaration"); and

WHEREAS, it is necessary for the preservation of the peace, health, welfare, and safety to the City, and of the inhabitants of Nichols Hills, that the City be allowed to make emergency purchases related to disaster relief and preparedness, including but not limited to purchases associated with the removal of dead tree limbs and debris from electrical lines, City streets, and public rights-of-way.

NOW, THEREFORE, BE IT RESOLVED by the City Council of the City of Nichols Hills, Oklahoma that, in accordance with Title 63 of the Oklahoma Statutes, Section 683.11(F) and Chapter 18, Section 18-26 of the Nichols Hills City Code, an emergency is declared to exist for a term of thirty days from the date of this declaration ("Emergency Term"); and

BE IT FURTHER RESOLVED that the City Manager's Declaration and the purchases made and contracts entered pursuant thereto are hereby ratified and approved; and

BE IT FURTHER RESOLVED that for the duration of the Emergency Term, the City Manager is hereby authorized and directed to make any additional necessary emergency purchases related to disaster relief and preparedness, including but not limited to purchases associated with the removal of dead tree limbs and debris from electrical lines, City streets, and public rights-of-way.

PASSED AND APPROVED by the Council of the City of Nichols Hills, Oklahoma,
this _____ day of November, 2020.

Mayor

(SEAL)
ATTEST:

City Clerk

Approved as to form:

City Attorney

Attachment: NH - Resolution authorizing Declaration of Emergency 11.2.2020-4.1 (4912 : Emergency Declaration Resolution)

Exhibit A to Resolution City Manager's Declaration

From: Shane Pate <spate@nicholshills.net>

Subject: Emergency Declaration in re October 2020 Ice Storm

Date: October 27, 2020 at 11:33:32 AM CDT

To: Randy Lawrence <rlawrence@nicholshills.net>

Cc: Aaron Buckman <abuckman@nicholshills.net>, Amanda Copeland <acopeland@nicholshills.net>, Sherry Dickson <sdickson@nicholshills.net>, John Williams <williams@wbfbllaw.com>, Carla Sharpe <csharpe@wbfbllaw.com>

I, S. Shane Pate II, City Manager for the City of Nichols Hills, Oklahoma, pursuant to the authority vested in me under Section 18-26(b) of the Nichols Hills City Code and applicable state law, and in light of the Governor of the State of Oklahoma on October 26, 2020 declaring a state of emergency from recent winter weather for 47 Oklahoma Counties including Oklahoma County, do hereby declare an emergency in the City resulting from recent winter weather until such time as the City Council may meet and make a determination that a State of emergency exists by resolution.

In order to address this emergency, I hereby order the following: 1) Public Works Director Randel Lawrence is authorized and ordered to receive estimates from Arbor Masters, Quick Clean, and Midwest Wrecking for removal and disposal of dead tree limbs and debris from electrical lines, city streets, and public rights-of-way; and 2) the Office of City Manager shall make emergency purchases related to disaster relief and preparedness, including but not limited to purchases associated with the removal of dead tree limbs and debris from electrical lines, city streets, and public rights-of-way, which purchases may include entering contracts with Arbor Masters, Quick Clean, and/or Midwest Wrecking for the same.

This declaration of emergency shall remain in effect until the City Council meets to make a determination that a state of emergency exists by resolution.

S. Shane Pate II
City Manager
City of Nichols Hills, Oklahoma

Sent from my iPad

Attachment: NH - Resolution authorizing Declaration of Emergency 11.2.2020-4.1 (4912 : Emergency Declaration Resolution)



Arbor Masters
Tree Service

Arbor Masters Tree Service
8405 SW 15th Street
Oklahoma City, OK 73128

9.c.b

November 2, 2020

Randell Lawrence
Nichols Hills Public Works
1009 NW 75th
Nichols Hills, OK 73116

Dear Mr. Lawrence:

Arbor Masters Tree Service is pleased to offer services to City of Nichols Hills for post storm street debris clearance. Shawnee Mission Tree Service, Inc. dba/ Arbor Masters Tree Service has the credentials and expertise to safely and properly clear streets and City owned row's, parking lots, paths etc. of hazardous debris.

We have experience performing and documenting FEMA declared disaster projects and will work with City of Nichols Hills to generate the required documentation. Arbor Masters is confident that our crews can handle this project and will be able to implement a strategic plan to complete all work in compliance with industry standards while minimizing the impact on your facilities. Arbor Masters Tree Service will perform high quality work in a safe and efficient manner to meet the goals of The City of Nichols Hills. We are ready to demonstrate our superior project management and customer service skills.

Pricing and terms are attached for your approval, if there are any questions, please feel free to contact me.

Sincerely,

Heather Dirksen

Heather Dirksen
Arbor Masters Tree Service
HDirksen@arbormasters.com
214-668-6751
www.ArborMasters.com



www.ArborMasters.com

Attachment: 20201102_Arbor Masters Tree Service' (4912 : Emergency Declaration Resolution)



Arbor Masters
Tree Service

Arbor Masters Tree Service
8405 SW 15th Street
Oklahoma City, OK 73128

9.c.b

Pricing for The City of Nichols Hills

11-2-2020

Hauling of Eligible Debris	\$7.95	per CY to landfill
Eligible Hangers	\$95.00	per tree
Eligible Leaning/Hazard Trees	\$295.00	per tree

Estimated quantity for Nichols Hills is 40,000.00 CY's

Signature of City Representative

11/2/20

Date

Signature of Arbor Masters Representative

Date



www.ArborMasters.com

Attachment: 20201102_Arbor Masters Tree Service' (4912 : Emergency Declaration Resolution)

Published in _____ on _____, 2020

ORDINANCE NO. _____

AN ORDINANCE AMENDING CHAPTER 36 OF THE NICHOLS HILLS CITY CODE TO ADDRESS THE COLLECTION AND DISPOSAL OF TREE LIMBS; REPEALING ALL CONFLICTING ORDINANCES OR PARTS OF ORDINANCES; PROVIDING FOR SEVERABILITY; AND DECLARING AN EMERGENCY.

EMERGENCY ORDINANCE

BE IT ORDAINED BY THE COUNCIL OF THE CITY OF NICHOLS HILLS, OKLAHOMA:

Section 1. Chapter 36, Article II of the Nichols Hills City Code regarding waste management, collection, and disposal is amended as follows, with deleted language stricken through and new language underlined, to wit:

Sec. 36-29. Collection and disposal of tree limbs.

- (a) Any owner or occupant of a premises in the City wishing to dispose of tree limbs shall place the limbs within ten (10) feet behind the curb of the residence on the day immediately preceding their designated garbage collection day for collection and disposal by the Public Works Department.
- (b) Tree limbs must be securely tied in bundles not exceeding four feet in length or two feet in diameter.
- (c) Individual branches must be three inches in diameter or less.
- (d) Tree limbs shall not be piled on or within ten (10) feet of water meters, gas meters, electric facilities, or fire hydrants.
- (e) The Public Works Director may decline to accept collection of accumulated piles of tree limbs in excess of 2.5 cubic yards.
- (f) The customer will be charged an additional fee for each cubic yard of tree limbs in excess of 2.5 cubic yards collected in any single scheduled collection.
- (g) The City Manager is authorized to make exceptions to these requirements following winter or other severe storm events.

Secs. 36-2930—36-59. Reserved.

Section 2. All ordinances or parts of ordinances in conflict herewith are, to the extent of such conflict, hereby repealed.

Section 3. The provisions of this ordinance are severable and if any part or provision hereof

shall be adjudged invalid by any court of competent jurisdiction, such adjudication shall not affect or impair any of the remaining parts or provisions hereof.

Section 4. EMERGENCY SECTION. WHEREAS, in the judgment of the Council it is necessary for the preservation of the peace, health, welfare and safety of the City of Nichols Hills, Oklahoma, and of the inhabitants thereof that the provisions of this ordinance be put into full force and effect immediately, and therefore an emergency is hereby declared to exist by reason whereof this ordinance shall take effect and be in full force from and after its passage as provided by law.

PASSED by the Council of the City of Nichols Hills, Oklahoma, on the ____ day of _____, 2020.

APPROVED by the Mayor of the City of Nichols Hills, Oklahoma, on the ____ day of _____, 2020.

ATTEST:

Mayor

City Clerk

Reviewed as to Form and Legality:

City Attorney

RESOLUTION NO. _____

A RESOLUTION OF THE CITY COUNCIL OF THE CITY OF NICHOLS HILLS, OKLAHOMA ADOPTING *THE CITY OF NICHOLS HILLS, OKLAHOMA – CITY COUNCIL HANDBOOK* AS THE CITY COUNCIL’S BEST PRACTICES HANDBOOK

WHEREAS, the City Council of the City of Nichols Hills, Oklahoma (the “City Council”) believes that the best run municipalities have fewer liability claims and that the claims they may have place fewer demands on municipal resources; and

WHEREAS, the City of Nichols Hills, Oklahoma, a municipal corporation, (the “City”) participates in the Municipal Liability Protection Plan provided by the Oklahoma Municipal Assurance Group (OMAG) for insurance and risk management solutions; and

WHEREAS, OMAG has established a program (“OMAG’s Recognition Program”) to recognize member municipalities that have committed themselves to, among other things, operating under OMAG’s “best practices” recommendations; and

WHEREAS, the City Council wishes to adopt the *City of Nichols Hills, Oklahoma – City Council Handbook*, (the “City Council Handbook”), a copy of which is attached as Exhibit A, as its best practices handbook;

WHEREAS, by adopting the City Council Handbook, the City has now met all requirements for participation in OMAG’s Recognition Program.

NOW, THEREFORE, BE IT RESOLVED by the City Council of the City of Nichols Hills, Oklahoma that the City Council Handbook is adopted as the City Council’s best practices handbook; and

BE IT FURTHER RESOLVED that the City Council requests that the City Manager take all actions necessary for the City to fully participate in OMAG’s Recognition Program.

PASSED AND APPROVED by the Council of the City of Nichols Hills, Oklahoma, this ____ day of November, 2020.

Mayor

(SEAL)
ATTEST:

City Clerk

Approved as to form:

City Attorney

Exhibit A to Resolution

[Copy of the *City of Nichols Hills, Oklahoma – City Council Handbook* follows]



City of Nichols Hills, Oklahoma

City Council Handbook

Adopted by Resolution of the City Council of the City of Nichols Hills, Oklahoma, on the 10th day of November, 2020.

TABLE OF CONTENTS

I.	INTRODUCTION.	2
II.	THE CITY COUNCIL’S ROLE.....	2
III.	COMPLYING WITH THE LAW.	3
A.	The Oklahoma Open Meeting Act.....	3
B.	Oklahoma’s “Conflicts of Interest” Laws.....	6
C.	Other Important Oklahoma Laws.	7
IV.	THE CITY COUNCIL’S CODE OF ETHICS.	8
V.	THE CITY COUNCIL’S CODE OF CONDUCT.....	9
VI.	THE CITY COUNCIL’S RULES OF DECORUM FOR CITY COUNCIL MEETINGS.	13
VII.	THE CITY’S FINANCES.	14
VIII.	CITY COUNCIL DISCIPLINE POLICY.	15

Introduction.

This handbook was adopted by Resolution of the Nichols Hills City Council to set the policies and best practices for Council members on various topics, including the City Council's Code of Ethics, Code of Conduct, and Rules of Decorum. Any questions about any topic addressed in this Handbook should be addressed to the City Manager or the City Attorney.

The City Council's Role.

Council-Manager government. The "City of Nichols Hills, Oklahoma" is an incorporated city with "all powers, functions, rights, privileges, franchises and immunities granted to cities by the State constitution, and all the implied powers necessary to carry into execution all the powers granted."¹ Nichols Hills adopted the "Council-Manager" form of municipal government as provided for in the Oklahoma Municipal Code.² The governmental roles of the City Council and the City Manager are set by Oklahoma statute and the Nichols Hills City Code. In short, the City Council serves as the City's legislative (and in some circumstances judicial) arm, while the City Manager is the executive arm, responsible for day-to-day operations. The City Council is responsible for appointment of the City Manager, but the City Manager—and not the City Council—is responsible for all other City employees.

The Oath of Office. The Oklahoma Constitution requires that before undertaking the duties of office, all public officers, including City Council members, must take the following oath:

I,, do solemnly swear (or affirm) that I will support, obey, and defend the Constitution of the United States, and the Constitution of the State of Oklahoma, and that I will not, knowingly, receive, directly or indirectly, any money or other valuable thing, for the performance or nonperformance of any act or duty pertaining to my office, other than the compensation allowed by law; I further swear (or affirm) that I will faithfully discharge my duties as to the best of my ability.³

The Nichols Hills City Charter reiterates this requirement and requires that the oaths or affirmations be filed and kept in the City Clerk's office.⁴ Persons who refuse to take the oath when statutorily required will forfeit their office. Persons who falsely swear or who violate the oath will be guilty of perjury and disqualified from holding their office.⁵

The Council's importance. In addition to fulfilling the duties undertaken by oath, the Oklahoma Constitution requires municipal officers to "give personal attention to the duties of the office to which he is elected or appointed."⁶ Many of those duties are discussed in this Handbook. In addition, Council members should be familiar with the roles of Council in general and of the Mayor and Vice Mayor as described by Oklahoma statute and the City Charter.⁷ The City Council is critical to the City of Nichols Hills because, by the City Charter, "all powers of the city, including the determination of all matters of policy, shall be vested in the Council."⁸

¹ Nichols Hills City Charter, Article I, *Incorporation, Form of Government, Powers*, Section 1, *Incorporation, Annexation, Consolidation, Merger*; and Section 3, *Powers of the City*.

² See Article X, *Council-Manager Form of City Government*, Chapter 1, *Municipal Code*, Title 11, *Cities and Towns*, of the Oklahoma Statutes.

³ OK Const. Art. 15, § 1, *Officers required to take oath or affirmation—Form*.

⁴ Nichols Hills City Charter, Article VIII, *Miscellaneous Provisions*, Section 49; and see Section 50 as to who may administer oaths in Nichols Hills.

⁵ OK Const. Art. 15, § 2, *Administration and filing of oath—Refusal to take—False swearing*.

⁶ OK Const. Art. 2, § 11, *Officers—Personal attention to duties—Intoxication*.

⁷ Nichols Hills City Charter, Article II, *The Council*; Article X, *Council-Manager Form of City Government*, Chapter 1, *Oklahoma Municipal Code*, Title 11, *Cities and Towns*, of the Oklahoma Statutes.

⁸ Nichols Hills City Charter, Article I, *Incorporation, Form of Government, Powers*, Section 7, *Council: Powers*.

The Nichols Hills Municipal Authority. The Municipal Authority is a public trust, the beneficiary of which is the City of Nichols Hills. The Municipal Authority was created by the City to finance, develop, and operate the City's water and wastewater system. The City Council members are the Municipal Authority's trustees. Oklahoma law allows municipalities to create public trusts for limited purposes.⁹ This allows municipalities advantages in the ability to finance projects, including the ability to sell revenue bonds to finance construction of public utilities without a public vote. As a public trust, the Municipal Authority is a separate, legal entity and must have its own meetings (conducted pursuant to the Open Meeting Act), budget, financial structure, and it must not mingle its finances with those of the City.

Complying with the law.

The members of City Council, of course, must comply with all laws applicable to them as individuals. In addition, the members of City Council are "public officers," to whom many laws apply. Most of us know intuitively that certain acts by a public officer are unlawful. An obvious example is that it is unlawful by Oklahoma statute for a public officer to embezzle from a public account.¹⁰ There are, however, certain laws that are less obvious and more complex and that often create compliance issues for public officers.

It is particularly important for City Council members to know and understand the Oklahoma Open Meeting Act, Oklahoma's "conflict of interest" laws, and certain other laws that can have serious consequences if not followed. Below is a brief discussion of these important laws. Any questions about them or particular situations should be addressed to the City Manager or the City Attorney.

1. The Oklahoma Open Meeting Act.

The Act's requirements. The City's public bodies, including City Council, must comply with the Oklahoma Open Meeting Act.¹¹ The Open Meeting Act requires that "all meetings of public bodies ... must be held at specified times and places which are convenient to the public and must be open to the public, except as [allowed by the Act] and that all such meetings be "preceded by advance public notice specifying the time and place of each such meeting to be convened as well as the subject matter or matters to be considered at such meeting..."¹²

Compliance is critical. Compliance with the Act when applicable is critical because willful violations (which include conscious actions with no bad faith and actions that the public body should know are violations) can result in misdemeanor convictions punishable by fine and up to one year in county jail, regardless of criminal intent against individual members of the public body. Further, actions taken by a public body in willful violation of the Act are deemed invalid, and persons aggrieved can file declaratory or injunctive relief actions and if successful, recover attorneys' fees.

What is a "meeting?" "Meeting" is defined as "the conduct of business of a public body by a majority of its members being personally together or [as authorized by statute] [by] videoconference."¹³ The Act states that meetings may not be held unless an agenda has been posted and the public has been advised that the meeting is going to take place. While the Open Meeting Act does not define "business," case law throughout the United States has long held that conducting "business" in this context includes the entire decision-making process, including discussion, deliberation, decision, and formal action by the public body.

Informal gatherings of the members of a public body warrant caution. The Act goes on to state that "meetings" do not include "informal gatherings of a majority of the members of the public body when no business of the public body is discussed." However, informal gatherings of a

⁹ 11 Okla. Stat. § 37-128, *Governance of Public Water Trusts*; and 60 Okla. Stat. § 176, *Trusts for Benefit of ... Municipality*...

¹⁰ 21 Okla. Stat. § 341, *Embezzlement of State Property – Penalty*.

¹¹ 25 Okla. Stat. §§ 301-314.

¹² 25 Okla. Stat. § 303, *Times and Places—Advance Notice*.

¹³ *Id.*

majority of members of the public body will fall within the definition of “meeting” if business of the public body is discussed, even if a vote is not taken. Any discussion of public business in any setting is enough to trigger the Act.

“New business.” The City’s agendas typically include a time to discuss any “new business” items, whether those items are raised by Council members, staff or the public. The Open Meeting Act defines “new business” as “any matter not known about or which could not have been reasonably foreseen prior to the time of the posting.”¹⁴ There is a mistaken assumption that “new business” is a general catch-all that can be used to discuss any item not on the agenda. That is not the case—only items that could not have been placed on the applicable agenda because of the time that the item arose may be heard under the “new business” section of an agenda.

Executive sessions. Executive sessions, addressed in Section 307 of the Open Meeting Act, are meetings of a public body held out of the public eye that are attended only by members of that body and invited non-members.¹⁵ The Nichols Hills City Code addresses executive sessions as follows:

Executive sessions of the Council shall be permitted only for the purpose of discussing the employment, hiring, appointment, promotion, demotion, disciplining or resignation of any individual salaried officer or employee of the City, or for the purpose of confidential communications between the Council and its attorney concerning a pending investigation, claim, or action if the Council, with the advice of its attorney, determines that disclosure will seriously impair the ability of the Council to process the claim or to conduct a pending investigation, litigation, or proceeding in the public interest.¹⁶

The Nichols Hills City Code prohibits executive sessions unless a majority of the Council members present approve.¹⁷

Not only are executive sessions limited to specific discussion topics, but effectuating an executive session requires that statutory procedures be followed. The applicable agenda must state that an executive session will be held and the type of matter(s) to be discussed in that session. General City business may not be discussed during an executive session. Any action taken as a result of an executive session must be voted on in public. The Nichols Hills City Code requires that “any vote or action on a matter discussed in an executive session must be taken in a meeting open to the public with the vote of each member of the Council publicly cast and recorded.”¹⁸

Best practices to avoid violations of the Open Meeting Act.

- City Council members should avoid all discussion (whether in-person or by telephone, computer, or otherwise) of any City business—even matters indirectly related to City business—at any time that a majority of the City Council members are present.
- City Council members should use caution when emailing or texting other Council members.
- Extreme care should be taken in regard to discussions held either immediately before or immediately after City Council meetings.
- With the exception of “new business,” items that are not posted on the agenda for a particular meeting may not be discussed or acted upon at that meeting.

¹⁴ 25 Okla. Stat. § 311(10), *Public Bodies – Notice*.

¹⁵ 25 Okla. Stat. § 307, *Executive Sessions*.

¹⁶ Article II, Section 10, *Council: Induction into Office; Meetings*, of the City Charter.

¹⁷ *Id.*

¹⁸ *Id.*

- If an item is raised as “new business,” the Council should first ask whether the matter could have been placed on the agenda: Was the matter known about at the time the agenda was created? Was the matter something that could have been reasonably foreseen at the time the agenda was created?
- City Council members should seek the advice of the City Manager and the City Attorney when considering the need for an executive session.
- When in doubt on any issue related to conducting open meetings, look to the City Manager and the City Attorney for help.

Oklahoma's "Conflicts of Interest" Laws.

Conflicts of interest laws in general. One of the most sensitive subjects involving City Council members is conflicts of interest. The law defines a "conflict of interest" in this context as "a real or seemingly incompatibility between one's private interests and one's public or fiduciary duties."¹⁹ Avoiding even the appearance of a conflict of interest is critical. Oklahoma law includes many "conflicts of laws" statutes, some of which are criminal statutes, that apply to City Council members. The penalties for violating these statutes are typically severe, and can include fines and even imprisonment. Below is a discussion of some of these statutes, followed by some "best practices" to help ensure that potential conflicts of interest are avoided.

Receiving "interest, profits or perquisites" from the use of City funds. Oklahoma's Constitution makes it a felony for any municipal officer to receive, directly or indirectly, any "interest, profit or perquisite" "from the use or loan of public funds in his hands, or moneys to be raised through" the City. Doing so disqualifies the officer from office.²⁰ Further, recusal from voting on the matter does not cure the conflict of interest.

Doing business with the City. With limited exception, an unlawful conflict of interest typically exists when a municipal officer does business with the City. By statute, municipal officers, including City Council members, and their businesses (and their spouse's businesses) are prohibited from: (1) selling, buying or leasing real or personal property to or from the City; (2) contracting with the City; and (3) acquiring any bonds, warrants, or other indebtedness of the City.²¹ Violations are subject to misdemeanor convictions and personal liability for the amount of the transaction. Further, such transactions are deemed void.

Contracting with officers. With limited exception, the City Council is prohibited from contracting "with any of its members" or with any entity "in which any of its members must be directly or indirectly interested." Such contracts are void. The statute specifies that the City Council member can be found to be "directly or indirectly interested" in a contract with an entity if the member or the member's spouse has an interest of 5% or more in that entity.²²

Awarding public construction contracts to related entities. With limited exception, an unlawful conflict of interest may exist when a City Council member has any interest in an entity to which a public construction contract is awarded.²³ Specifically, City Council members are prohibited from awarding public construction contracts to any entity as to which they or any of their relatives "within the third degree of consanguinity or affinity" are "interested directly or indirectly through stock ownership, partnership interest or otherwise."²⁴ Any such contract will be deemed void, and persons found to have willfully violated this statute will face felony convictions and removal from office.

Municipal Authority trustees and awarding contracts to related entities. The Nichols Hills Municipal Authority is a public trust, and the City Council members are its trustees. An unlawful conflict of interest may exist if a trustee of the Municipal Authority (or a business related to the trustee or his/her immediate family) contracts with the Municipal Authority. Trustees who do so contract are subject to removal and the applicable contract will be deemed unenforceable against the public trust unless the public records reflect that the trustee fully disclosed his or her interest as to the contract and the contract was secured by the City's competitive bidding processes.²⁵

¹⁹ Black's Law Dictionary (11th ed. 2019).

²⁰ OK Const. Art. 10, § 11, *Officer receiving interest, profit or perquisites*.

²¹ 11 Okla. Stat. § 8-113, *Prohibited business activities with municipality*...

²² 62 Okla. Stat. § 371, *Contracts with officers void—Exceptions*.

²³ 61 Okla. Stat. § 102, *Definitions*, defines a "public construction contract" as "any contract, exceeding Fifty Thousand Dollars (\$50,000.00) in amount, awarded by any public agency for the purpose of making any public improvements or constructing any public building or making repairs to or performing maintenance on the same ..."

²⁴ 61 Okla. Stat. § 114, *Conflict of Interest*.

²⁵ 60 Okla. Stat. § 178.8, *Conflict of Interest—Transactions Exempt*.

Furnishing materials or supplies to the City. It is unlawful for any member of the City Council to “furnish, for a consideration any material or supplies for the use of the ... city”²⁶ Contracts made in violation of this statute will be void. Appropriation of public funds may not be made to make payments under the subject contract—but rather, the City Council members who voted in favor of the contract will be held personally liable for amounts owed under it.²⁷ A City Council member who violates this statute will be guilty of a felony, punishable by fine and imprisonment in the county jail for not less than 30 days or in the State Penitentiary for not more than five years.²⁸

Becoming voluntarily interested individually in the sale or lease of property or any contract made in an official capacity. It is unlawful and deemed fraudulent for any public officer who is “authorized to sell or lease any property, or make any contract in his or her official capacity” to voluntarily become interested in the sale, lease or contract, directly or indirectly. Doing so is a misdemeanor.²⁹

Best practices to avoid potential unlawful conflicts of interest.

- Ask yourself: is there any situation in which a reasonable person might think that I could benefit personally from a decision I make as a Councilperson? If so, that situation could present a conflict of interest of which you should always remain aware.
- Remember that conflicts of interest can arise as a result of your employment, your business dealings, your service on boards, and the employment, business dealings, and board service of members of your immediate family. If you perceive any possible conflict of interest, bring it to the attention of the City Manager or the City Attorney immediately.
- The City Council should carefully investigate any disclosed potential conflict of interest and make a formal written decision whether the potential conflict of interest constitutes a real conflict.
- When in doubt on any issue related to a potential conflict of interest, look to the City Manager and the City Attorney for help.

Other Important Oklahoma Laws.

Willfully disobeying the laws regulating official conduct. All public officers who willfully disobey “any provision of the law regulating his official conduct,” with few exceptions, will be “guilty of a misdemeanor.”³⁰

Meeting attendance. A City Council member’s failure to attend meetings can result in that member forfeiting his or her office. Oklahoma law provides that any member of a municipal governing body who is absent from more than one-half of the public body’s meetings (including both regular and special meetings) for four consecutive months forfeits their office and shall “cease to hold office.”³¹ The City cannot waive this requirement, and no action for the removal from office is required. The forfeiture of the office occurs as a matter of law without any action by the other members of the public body.

Getting involved in the City’s administrative matters. “Except for purposes of inquiry,” City Council members are required by statute to “deal with the administrative service of the City solely

²⁶ 21 Okla. Stat. § 355, *Furnishing Public Supplies for Consideration—Exceptions*

²⁷ 21 Okla. Stat. § 356, *Violation of § 355 Renders Contract Void – Personal Liability*.

²⁸ 21 Okla. Stat. § 357, *Punishment for Violation*.

²⁹ 21 Okla. Stat. § 344, *Personal Interest of Official in Transaction – Penalty*.

³⁰ 21 Okla. Stat. § 343, *Willful Violation of Law Regulating Official Conduct – Penalty*.

³¹ 11 Okla. Stat. § 8-108, *Absence from Governing Body Meetings*.

through the City Manager.” The Council specifically may not: (1) direct or request the City Manager to appoint or remove officers or employees; (2) participate in the appointment or removal of officers or employees; or (3) give orders on ordinary administrative matters to any staff members, whether publicly or privately.³² If a City Council members wishes for a member of the City staff to take (or refrain from taking) a particular action, that request must be directed to the City Manager who may then communicate to the staff member. City Council members should never expect to have any input into the handling of any situation other than as any other citizen would have, whether at City Hall, an emergency scene, a public work site or otherwise.

The Oklahoma Open Records Act. Council members must remember that all correspondence, including emails and text messages, generated by them in their official capacity is likely subject to the Oklahoma Open Records Act and thus a “public record” subject to inspection by the public.³³ Council members should allow City staff, through the City Manager, to assist in preparing any official correspondence needed.

The City Council’s Code of Ethics.

The City Council adopts this Code of Ethics to apply to each City Council member.

2. Act in the Public Interest. Recognizing that stewardship of the public interest must be their primary concern, City Council members must work for the common good of the people of Nichols Hills and not for any private or personal interest, and they must seek to assure fair and equal treatment of all persons, claims, and transactions coming before the City Council.

3. Comply with the Law. Members must comply with the laws of the nation, the State of Oklahoma, and the City of Nichols Hills in the performance of their public duties. These laws include the United States and Oklahoma constitutions; the Nichols Hills City Charter and City Code; and laws pertaining to conflicts of interest, election campaigns, financial disclosures, employer responsibilities, and open processes of government.

4. Conduct of Members. The professional and personal conduct of members must be above reproach and avoid even the appearance of impropriety. Members must refrain from abusive conduct, personal charges or verbal attacks upon the character or motives of other members of the Council, the City’s boards and commissions, City staff, and the public.

5. Respect for Process. Members must perform their duties in accordance with the processes and rules of order established by the City Council governing the deliberation of public policy issues, meaningful involvement of the public, and implementation of the City Council’s policy decisions by City staff.

6. Decisions Based on Merit. Members must base their decisions on the merits and substance of the matter at hand, rather than on unrelated considerations.

7. Conflict of Interest. In order to assure their independence and impartiality on behalf of the common good, members must not use their official positions to influence government decisions in which they have a material financial interest or where they have an organizational responsibility or personal relationship which may give the appearance of a conflict of interest. In accordance with the law, members must disclose investments, interests in real property, sources of income, and gifts, and they must abstain from participating in

³² 11 Okla. Stat. § 10-107, *Limitation on Council Authority to Act Through City Manager*.

³³ 51 Okla. Stat. § 24A.1, *et seq.*; and see the Nichols Hills City Code, Chapter 2, *Administration*, Article VI, *Open Records*.

deliberations and decision-making where conflicts may exist, because these areas WILL exist. When these issues arise, it is simply imperative that members mitigate those issues properly.

8. *Gifts and Favors.* Members must not take any special advantage of services or opportunities for personal gain, by virtue of their public office that are not available to the public in general. They must refrain from accepting any gifts, favors or promises of future benefits that might compromise their independence of judgment or action or give the appearance of being compromised.

9. *Confidential Information.* Members must respect the confidentiality of information concerning the property, personnel and affairs of the City. They must neither disclose confidential information without proper legal authorization nor use such information to advance their personal, financial or other private interests.

10. *Use of Public Resources.* Members must not use public resources not available to the public in general, such as City staff time, equipment, supplies or facilities, for private gain or personal purposes.

11. *Representation of Private Interests.* In keeping with their role as stewards of the public interest, members of Council must not appear on behalf of the private interests of third parties before the Council or any board, commission or proceeding of the City.

12. *Advocacy.* Members must represent the City Council's official policies or positions to the best of their ability when designated as delegates for this purpose. When presenting their individual opinions and positions, members must explicitly state they do not represent the Council or the City of Nichols Hills, nor will they allow the inference that they do.

13. *Policy Role of Members.* Members must respect and adhere to the council-manager structure of Nichols Hills city government as outlined by the Nichols Hills City Charter. In this structure, the City Council determines the policies of the City with the advice, information, and analysis provided by the public, boards and commissions, and City staff. Therefore, except as provided by the City Charter, members must not interfere with the administrative functions of the City or the professional duties of City staff, and they must not impair the ability of staff to implement Council policy decisions.

The City Council's Code of Conduct.

The City Council adopts this Code of Conduct to govern the interactions of each City Council member with others and with other City Council members.

1. *Interacting with the Public in Public Meetings.* Making the public feel welcome is an important part of the democratic process. No signs of partiality, prejudice or disrespect should be evident on the part of City Council members toward an individual participating in a public forum. Every effort should be made to be fair and impartial in listening to public testimony. Council members should be welcoming to speakers at public hearings and actively and respectfully listen to them. If speakers become flustered or defensive by the Council's questions, it is the Mayor's responsibility to calm and focus the speaker and to maintain the order and decorum of the meeting. Questions by Council members to persons speaking should seek to clarify or expand information. It is never appropriate to belligerently challenge or belittle a speaker. Council members' personal opinions or inclinations about upcoming votes should not be revealed until after the public hearing is closed. In accordance

with the Rules of Decorum below, members of the public who do not follow proper conduct after a warning in a public hearing may be barred from further testimony at that meeting or removed from the City Council chambers.

2. *Interacting with the Public in Unofficial Settings.*

- ***Do not make promises on the Council's behalf.*** Council members will frequently be asked to explain a City Council action or to give their opinion about an issue as they meet and talk with constituents in the community. It is appropriate to give a brief overview of City policy and to refer to City staff for further information. It is inappropriate to overtly or implicitly promise City Council action, or to promise City staff will do (or not do) something.

- ***Do not make personal comments about other Council members.*** It is acceptable to publicly disagree about an issue, but it is unacceptable to make derogatory comments about other City Council members, their opinions, and actions.

- ***Remember, people see Council members as public officers.*** Council members are constantly being observed by the community every day they serve in office. Their behaviors and comments serve as models for proper deportment in Nichols Hills. Honesty and respect for the dignity of each individual should be reflected in every word and action taken by City Council members, 24 hours a day, seven days a week. It is a serious and continuous responsibility.

- ***Be careful when posting anything on social media.*** All of the cautions about conducting oneself in this Handbook apply equally to posts made on social media. Statements made by posting on the internet should be just as carefully made as are all other statements.

3. *Interacting with the City's Boards and Commissions.* When attending the meetings of the City's boards and commissions or otherwise interacting, Council members should follow these guidelines:

- ***Be careful to only express personal opinions.*** Council members may attend any board or commission meeting, which are always open to the public. However, they should be sensitive to the way their participation—especially if it is on behalf of an individual, business or developer—which could be viewed as unfairly affecting the process. Any public comments by a Council member at such a meeting should be clearly made as individual opinion and not a representation of the feelings of the entire City Council.

- ***Limit contact with board and commission members to questions of clarification.*** It is inappropriate for a City Council member to contact a board or commission member to lobby on behalf of an individual, business, developer or the like. It is acceptable for City Council members to contact board or commission members in order to clarify a position taken by the board or commission.

- ***Remember that the Nichols Hills boards and commissions serve the City.*** The City Council appoints individuals to serve on boards and commissions, and it is the responsibility of boards and commissions to follow policy established by the City Council. But board and commission members do not report to individual City Council members, nor should City Council members feel that they have the power or right to threaten board and commission members with removal if they disagree about an issue. Appointment and reappointment to a board or commission should be based on such criteria as expertise, ability to work with staff and the public, and commitment to fulfilling official duties. A board or commission

appointment should never be used as a political “reward.”

- ***Be respectful of diverse opinions.*** A primary role of boards and commissions is to represent many points of view in the community and to provide the City Council with advice based on a full spectrum of concerns and perspectives. Council members may have a closer working relationship with some individuals serving on boards and commissions, but must be fair and respectful of all citizens serving on boards and commissions.

- ***Keep political support away from public forums.*** Board and commission members may offer political support to a City Council member, but not in a public forum while conducting official duties. Conversely, City Council members may support board and commission members who are running for office, but not in an official forum in their capacity as a City Council member.

- ***Inappropriate behavior can lead to removal.*** Inappropriate behavior by a board or commission member should be noted to the Mayor, and the Mayor should counsel the offending member. If inappropriate behavior continues, the Mayor should bring the situation to the City Council’s attention, and the individual made subject to removal from the board or commission.

4. *Interacting with Other Council Members.* The Council is composed of individuals often with a variety of backgrounds, personalities, values, opinions, and goals. Despite this diversity, all have chosen to serve in public office in order to preserve and protect the present and the future of Nichols Hills. With all contentious situations, the common goal should be to “agree to disagree.”

- ***Interacting with other Council members in public meetings.***

- ***Practice civility and decorum in discussions and debate.*** Difficult questions, tough challenges to a particular point of view, and criticism of ideas and information are legitimate elements of a free democracy in action. However, City Council members should not make belligerent, personal, impertinent, slanderous, threatening, abusive or disparaging comments to one another. Shouting or other physical actions will not be tolerated.

- ***Honor the Mayor’s role in maintaining order.*** It is the Mayor’s responsibility to keep the comments of City Council members on track during public meetings. Board members should honor the Mayor’s efforts to focus discussion on current agenda items. If there is disagreement about the agenda or the Mayor’s actions, those objections should be voiced politely and with reason, following procedures outlined in parliamentary procedure.

- ***Avoid personal comments that could offend other Council members.*** If a Council member is personally offended by the remarks of another Council member, the offended member should make notes of the actual words used and call for a “point of personal privilege” that challenges the other member to justify or apologize for the language used. The Mayor will maintain control of this discussion.

- ***Demonstrate effective problem-solving approaches:*** Council members have a public stage to show how individuals with disparate points of view can find common ground and seek a compromise that benefits the community as a whole.

- ***Interacting with other Council members in private.***

○ ***Continue respectful behavior in private.*** The same level of respect and consideration of differing points of view that is deemed appropriate for public discussions should be maintained in private conversations.

○ ***Be aware of the insecurity of written notes, voicemail messages, email, texting, and the like.*** Technology allows words written or said without much forethought to be distributed wide and far. Written notes, voice mail messages, and email and text should be treated as potentially “public” communication.

○ ***Remember that even private conversations can have a public presence.*** Elected officials are always on display—their actions, mannerisms, and language are monitored by people around them whom they may not know. Lunch table conversations will likely be eavesdropped upon, parking lot debates will likely be watched, and casual comments between individuals before and after public meetings noted.

5. *Interacting with the City Staff.* The City’s governance relies on the cooperative efforts of public officials who set policy and the City staff, including the City Manager, who implement and administer the City’s policies. Council members should make every effort to be cooperative and show mutual respect for the contributions made by each individual of the City’s staff for the good of the community, by following these guidelines:

- ***Treat all staff as professionals.*** Clear, honest communication that respects the abilities, experience, and dignity of each individual is expected. Poor behavior toward staff is not acceptable.

- ***Limit contact to specific City staff.*** As discussed above, Oklahoma statute prohibits public officers from interacting with City staff except for “purposes of inquiry,” requiring all interactions to be through the City Manager. All directions to staff must be made through the City Manager. Further, it is best practices for all questions, requests for background information, and requests for follow-up to staff to be directed through the City Manager rather than to another staff member.

- ***Never publicly criticize an individual employee.*** Council members should never express concerns about the performance of a City employee in public, to the employee directly, or to the employee’s department head. Comments about staff performance should only be made to the City Manager privately. If discipline of a staff member is warranted, it is the responsibility of the City Manager—not the City Council.

- ***Avoid involvement in administrative matters.*** Council members should not be involved in daily administrative functions, except those involving committees established by the Council and those involving City Council agenda items in which management is presenting the item to the Council for consideration.

- ***Avoid trying to influence staff.*** Council members must not attempt to influence City staff on the making of appointments, awarding of contracts, selecting of consultants, processing of development applications, or granting of licenses and permits, except to the extent those items involve Council agenda items.

- ***Check with City staff on correspondence before taking action.*** Before sending correspondence, Council members should check with City staff to see if an official City response has already been sent or is in progress.

- **Limit requests for staff support.** Routine secretarial support will be provided to all City Council members. All mail for Council members will be opened by management, unless other arrangements are requested by a Council member. Any requests for additional staff support, even in high priority or emergency situations, should be made through the City Manager.

6. Interacting with Other Public Agencies. If a Council member appears before another governmental agency or organization to give a statement on an issue, he or she must clearly state whether his or her statement reflects a personal opinion or is the City's official stance. If applicable, he or she must state whether the statement is the majority or minority opinion of the Council. If the Council member is representing Nichols Hills, the Council member must support and advocate the official City position on the issue, not a personal viewpoint. If the Council member is representing another organization whose position is different from that of the City, the member should withdraw from voting on the issue if it significantly impacts or is detrimental to the City's interest. Council members should be clear about which organizations they represent and inform the Mayor and City Council of their involvement. City letterhead may only be used when the Council member is representing the City and the City's official position. A copy of all official correspondence should be given to the City Manager for filing as part of the permanent public record.

7. Interacting with the Media. When interacting with the media, such as when asked to provide background and quotes, City Council members should follow these guidelines:

- **The best advice for dealing with the media is to never go "off the record."** Most members of the media represent the highest levels of journalistic integrity and ethics and can be trusted to keep their word. But one bad experience can be catastrophic. Words that are not said cannot be quoted.

- **The Mayor is the official spokesperson for the City's position.** The Mayor is the City Council's designated representative to present and speak on official City positions and matters. If an individual City Council member is contacted by the media, the City Council member should be clear about whether his or her comments represent the official City position or a personal viewpoint.

- **Choose words carefully and cautiously.** Comments taken out of context can cause problems. Be especially cautious about humor, sardonic asides, sarcasm and word play. It is never appropriate to use personal slurs or swear words when talking with the media.

8. Interacting with Unions. Certain groups within the City are represented by state-sanctioned unions. Those unions may attempt to place pressure on City Council members to side with the union in their pending negotiations. City Council members must limit their participation in negotiations with unions to "formal" participation and never in private meetings or in informal circumstances which could circumvent the goals set by the City Council as a group and by the City staff in its attempt to reach a conclusion.

The City Council's Rules of Decorum for City Council Meetings.

The City Council adopts these Rules of Decorum to encourage citizen participation during City Council meetings in an environment of mutual respect and civility that allows the thoughtful consideration of varied points of view by the City Council members.

9. Right to be Heard. A person must be provided a reasonable opportunity to address the City Council on any agenda item or any public matter in which the City has jurisdiction or authority, to occur only during the part of an agenda entitled “Public Hearing.” All persons in attendance must respect the right of any person to address the City Council by maintaining decorum at all times. All persons desiring to speak must be at the podium, unless physical or other limitations prevent the person from speaking from the podium. Remarks and presentation must be to the City Council and not to others in attendance. Cross-talk between persons making presentations will not be permitted.

10. Time Limits. The Mayor, with the approval of a majority of the City Council members present, may impose a time limit during which each person may speak, giving equal time to all.

11. Speakers on Behalf of an Applicant or Each Group. When one or more groups of persons wish to address the City Council on the same subject matter, it is proper for the Mayor to suggest that a spokesperson be chosen by each group to address the City Council and to limit the number of persons addressing the City Council on the same matter to avoid unnecessary repetition. The applicant and a spokesperson for ten or more persons in a group may be given up to 20 minutes to speak on behalf of each group.

12. Behavior. Any person making disruptive, threatening, or obscene remarks or who shows approval by applause or other audible means, or who becomes boisterous or who makes threats against any person or against public order and security must be removed from further attendance at the meeting, unless permission to remain in attendance is granted by the majority vote of the City Council members present.

13. Enforcement of Decorum. The Mayor may request that the City Manager or his designee direct that an officer(s) of the Nichols Hills Police Department serve as *ex officio* Sergeant at Arms of the City Council, who will carry out all orders and instructions given by the City Manager or his/her designee for the purpose of maintaining order and decorum and compliance with these Rules of Decorum, to include ejection of persons from the room.

14. Conflict with Open Meeting Laws. To the extent that these Rules of Decorum are inconsistent or in conflict with the Open Meeting Laws of the State of Oklahoma, these Rules of Decorum are unenforceable to the extent of such conflict and deemed amended to conform, to the extent necessary, with the Open Meeting Laws of the State of Oklahoma.

The City’s Finances.

The City’s Finance Department manages the City’s and the Municipal Authority’s finances, including all accounting functions, debt services, investments, asset management, budgeting, rate analysis, and purchasing. Oklahoma law requires all municipalities to budget and account for their revenues and expenditures and to have an audit conducted each year by a licensed independent auditing firm.³⁴ The Finance Department works closely with the City Treasury for treasury responsibilities. The City Council and the Municipal Authority Trustees will, among other things, be involved in the budgeting process, including review of a proposed budget each year, approving an annual budget, and monitoring the budget throughout each year.

³⁴ See Article XVII, *Municipal Finances*, of the Oklahoma Municipal Code. And see Article V, *Finance*, Chapter 2, *Administration*, of the Nichols Hills City Code.

Best practices related to finances:

- The Council should set realistic financial goals and continually monitor achievement of those goals.
- The Council should give clear direction to the City Manager and staff regarding financial matters.
- The Council should ensure that the City and the Municipal Authority always have sufficient money to operate properly, with reasonable reserves for protection in the event of unforeseen situations.
- The Council should consult with the City Manager and the City Attorney when considering policies related to the expenditure of public funds.

City Council Discipline Policy.

The City Council adopts this Discipline Policy to govern the interactions of each City Council member with others and with other City Council members. Depending on the circumstances of an alleged violation, the City Council may initiate an investigation of the allegations prior to the filing of a request for any of the actions described below. Further, at any point during any of the processes, the City Council may refer the matter, as appropriate, to the Oklahoma County District Attorney for investigation.

Admonition. This is the least severe action. An admonition is typically directed by the City Manager, the City Attorney, or one of the Council members to all City Council members, reminding them that a particular type of behavior violates the law or City policy, and that, if it occurs or is found to have occurred, could make a Council member subject to censure. An admonition may be issued in response to a particular alleged action or actions, although it need not be. An admonition may be issued by the City Council prior to any findings of fact regarding allegations, and because it is a warning or reminder, would not necessarily require an investigation or separate hearing to determine whether the allegation is true.

Following an admonition, if the offense continues, the matter should be referred to the Mayor in private. If the Mayor is the individual whose actions are challenged, then the matter should be referred to the Vice-Mayor. It is the responsibility of the Mayor (or Vice-Mayor) to initiate action if a Council member's behavior may warrant sanction. If no action is taken by the Mayor, the alleged violation may be brought up with the full City Council in a public meeting.

Sanction. This is the next more severe action. Sanction is not a punishment. Sanctions may be directed to a particular Council member based on a particular action(s) that is determined to violate the law or City policy, but is not considered by the Council sufficiently serious to warrant censure. A sanction may be issued based on the City Council's review and consideration of a written allegation of a Council member's violation of the law or City policy. The accused member must be given an opportunity to provide a written response to the allegation. A sanction may be issued by the City Council based on its review of the written allegation and any response to it. Because a sanction is not punishment or discipline, it would not necessarily require an investigation.

Censure. Censure is the most severe action. Censure is a formal statement by the City Council of a Council member's violation of the law or City policy, adopted by resolution, officially reprimanding that Council member. It is a punitive action, which serves as a penalty imposed for wrongdoing, but does not carry a fine or suspension of the rights of the Council member as an elected official. Censure proceedings may require an investigation. Censure must protect the due process rights of the Council member.

Censure proceedings require that a formal censure action be placed on a Council agenda. The City Clerk must then provide notice of the possible censure to the subject Council member. The notice must contain the specific charges on which the proposed censure is based and the date and time

that the matter will be heard. Upon hearing the testimony, the City Council may take action by resolution setting forth its findings and stating the terms of the censure.



November 6, 2020

Honorable Mayor and City Council
City of Nichols Hills
6407 Avondale Drive
Nichols Hills, Oklahoma 73116

RE: RECOMMENDATION OF AWARD
Project Nos. WC-1902, WC-1903,
WC-2001 – Bedford Dr. 12" W/L,
Wilshire Blvd. 12" Tower Line,
Greystone Ave. Water Tower 8" Blow
Off

Dear Mayor and City Council:

Enclosed is the bid tabulation and all of the original bid forms for the bid received on **November 5, 2020** for the above referenced project. Following are the apparent bid results.

Engineer's Estimate	\$637,853.00
CONTRACTOR	TOTAL BID
Southwest Water Works, LLC	\$993,547.00
Urban Contractors	\$993,954.50

Upon review of the bid documents all bids came in higher than the Project Budget and are therefore rejected. This project is to be re-bid.

Please place this item on the next available council agenda for consideration. Should you have any questions or comments, please contact our office.

Sincerely,

Smith Roberts Baldischwiler, LLC

Grady J. Wade, P.E.
Nichols Hills City Engineer

cc: File #114592J

BIDS RECEIVED Thursday,November 5th, 2020, 10:00 A.M.
BID TABULATION FOR WC-1902/WC-1903/WC-2001

					ENGINEER'S ESTIMATE		Southwest Water Works, LLC		Urban Contractors	
ITEM NO.	SPEC. NO.	ITEM	ESTIMATED QUANTITY	UNIT	UNIT BID	AMOUNT	UNIT BID	AMOUNT	UNIT BID	AMOUNT
1	252-006	BORING (12")	171.00	LF	\$150.00	25,650.00	\$10.00	1,710.00	\$120.00	20,520.00
2	252-008	BORING (20")	95.00	LF	\$250.00	23,750.00	\$145.00	13,775.00	\$200.00	19,000.00
3	505-034	12" X 45° BEND (DIP) COMPACT (MJ)	11.00	EA	\$545.00	5,995.00	\$1,500.00	16,500.00	\$350.00	3,850.00
4	505-037	12" x 6" TEE	4.00	EA	\$1,500.00	6,000.00	\$750.00	3,000.00	\$375.00	1,500.00
5	505-046	12"X 22 1/2° BEND (DIP)	1.00	EA	\$600.00	600.00	\$1,500.00	1,500.00	\$300.00	300.00
6	505-048	(6") (PVC) WATERLINE PIPE (PUSH-ON JOINT) (DR-14) W/12 GAUGE TRACER WIRE	47.00	LF	\$45.00	2,115.00	\$25.00	1,175.00	\$130.00	6,110.00
7	505-054	6" SOLID SLEEVE (DIP) COMPACT M.J.	3.00	EA	\$200.00	600.00	\$500.00	1,500.00	\$125.00	375.00
8	505-068	FITTINGS (MEGA-LUG SERIES 2012 PV)	41.00	EA	\$150.00	6,150.00	\$300.00	12,300.00	\$200.00	8,200.00
9	505-069	FITTINGS (MEGA-LUG SERIES 2006 PV)	22.00	EA	\$80.00	1,760.00	\$100.00	2,200.00	\$100.00	2,200.00
10	505-076	(12") (PVC) WATERLINE PIPE (PUSH-ON JOINT) (DR-14) W/12 GAUGE TRACER WIRE	2,077.00	LF	\$58.00	120,466.00	\$125.00	259,625.00	\$160.00	332,320.00
11	505-081	12" SOLID SLEEVE (DIP) COMPACT LONG (MJ)	2.00	EA	\$400.00	800.00	\$750.00	1,500.00	\$160.00	320.00
12	505-082	12" CAP (DIP) COMPACT (MJ)	1.00	EA	\$250.00	250.00	\$500.00	500.00	\$215.00	215.00
13	505-035	6"X 45° BEND (DIP) COMPACT (MJ)	2.00	EA	\$200.00	400.00	\$350.00	700.00	\$100.00	200.00
14	505-116	6"X 22 1/2° BEND (DIP) COMPACT (MJ)	2.00	EA	\$200.00	400.00	\$350.00	700.00	\$275.00	550.00
15	505-119	12"X 11 1/4° BEND (DIP) COMPACT (MJ)	1.00	EA	\$600.00	600.00	\$1,500.00	1,500.00	\$275.00	275.00
16	511-011	WATER SERVICE LINE SHORT (2")	12.00	EA	\$1,300.00	15,600.00	\$1,750.00	21,000.00	\$1,100.00	13,200.00
17	511-012	WATER SERVICE LINE LONG (2")	17.00	EA	\$1,300.00	22,100.00	\$1,750.00	29,750.00	\$1,250.00	21,250.00
18	513-001	WET CONNECTION (6")	1.00	EA	\$1,300.00	1,300.00	\$5,500.00	5,500.00	\$5,000.00	5,000.00
19	513-003	WET CONNECTION (12")	1.00	EA	\$2,000.00	2,000.00	\$8,000.00	8,000.00	\$5,000.00	5,000.00
20	516-000	FIRE HYDRANT	1.00	EA	\$3,500.00	3,500.00	\$6,500.00	6,500.00	\$3,000.00	3,000.00
21	520-005	6" GATE VALVE & VALVE BOX	2.00	EA	\$2,500.00	5,000.00	\$1,750.00	3,500.00	\$1,000.00	2,000.00
22	520-014	(12") (TAPPING) VALVE AND VALVE BOX	1.00	EA	\$3,750.00	3,750.00	\$4,500.00	4,500.00	\$6,000.00	6,000.00
23	522-000	HYDROSTATIC PRESSURE TESTING & DISINFECTION	1.00	LSUM	\$2,500.00	2,500.00	\$30,000.00	30,000.00	\$11,000.00	11,000.00
24	528-002	STEEL CASING PIPE (20")	95.00	LF	\$75.00	7,125.00	\$100.00	9,500.00	\$100.00	9,500.00
25	532-004	(12" X 12") TAPPING SLEEVE (MJ)	1.00	EA	\$3,500.00	3,500.00	\$7,500.00	7,500.00	\$2,500.00	2,500.00
26	615-002	PIPE BURSTING (12")	2,276.00	LF	\$110.00	250,360.00	\$155.00	352,780.00	\$160.00	364,160.00
27	652-000	POLYVINYL CHLORIDE (PVC) (8")	460.00	LF	\$65.00	29,900.00	\$35.00	16,100.00	\$90.00	41,400.00
28	652-001	POLYVINYL CHLORIDE (PVC) (8" X 90 DEGREE BEND)	2.00	EA	\$100.00	200.00	\$250.00	500.00	\$400.00	800.00
29	652-001	POLYVINYL CHLORIDE (PVC) (8" X 45 DEGREE BEND)	4.00	EA	\$100.00	400.00	\$250.00	1,000.00	\$400.00	1,600.00
30	652-001	POLYVINYL CHLORIDE (PVC) (8" X 22.50 DEGREE BEND)	1.00	EA	\$100.00	100.00	\$250.00	250.00	\$400.00	400.00
31	652-001	POLYVINYL CHLORIDE (PVC) (8" SOLID SLEEVE)	1.00	EA	\$100.00	100.00	\$500.00	500.00	\$400.00	400.00
32	801-000	CONSTRUCTION STAKING (CONSTRUCTION SURVEY)	1.00	LSUM	\$5,000.00	5,000.00	\$30,000.00	30,000.00	\$6,000.00	6,000.00
33	801-003	COLOR AUDIO/VIDEO RECORDING, PRE AND POST CONSTRUCTION (RECORDED)	1.00	LSUM	\$2,000.00	2,000.00	\$30,000.00	30,000.00	\$2,000.00	2,000.00
34	809-000	MOBILIZATION	1.00	LSUM	\$30,000.00	30,000.00	\$33,000.00	33,000.00	\$40,000.00	40,000.00
35	810-000	CLEARING AND GRUBBING	1.00	LSUM	\$1,500.00	1,500.00	\$5,000.00	5,000.00	\$26,000.00	26,000.00
36	811-000	REMOVE FIRE HYDRANT	1.00	EA	\$500.00	500.00	\$2,500.00	2,500.00	\$500.00	500.00
37	813-001	REMOVE AND REPLACE DRIVEWAY	17.00	SY	\$75.00	1,275.00	\$95.00	1,615.00	\$80.00	1,360.00
38	814-000	PAVEMENT CUT AND REPAIR	302.00	SY	\$125.00	37,750.00	\$115.00	34,730.00	\$90.00	27,180.00
39	840-000	SOLID SLAB SODDING	1.00	LSUM	\$10,000.00	10,000.00	\$35,000.00	35,000.00	\$6,000.00	6,000.00
40	846-002	REMOVE AND REPLACE LAWN IRRIGATION PIPE (1/2" TO 2" DIA. PVC)	40.00	LF	\$14.00	560.00	\$10.00	400.00	\$15.00	600.00
41	846-003	REMOVE AND REPLACE LAWN IRRIGATION HEAD	4.00	EA	\$60.00	240.00	\$45.00	180.00	\$40.00	160.00
42	900-003	FILTER FABRIC SILT FENCE-COMPLETE IN PLACE	2,019.00	LF	\$3.00	6,057.00	\$3.00	6,057.00	\$0.50	1,009.50
TOTAL BASE BID					\$637,853.00		\$993,547.00		\$993,954.50	



Grady J. Wade - Smith Roberts Baldischwiler, LLC

11/5/2020

Date



RESOLUTION _____

RESOLUTION AWARDING CONTRACT TO RUDY CONSTRUCTION, AS THE LOWEST RESPONSIBLE BIDDER FOR PC-2002 2020 GO BOND ISSUE PAVING IMPROVEMENTS, AND AUTHORIZING EXECUTION OF THE CONTRACT, BONDS AND RELATED INSTRUMENTS.

WHEREAS, the City Engineer recommends that RUDY CONSTRUCTION (the “Contractor”) be determined to be the lowest and best bidder for PC-2002 2020 GO BOND ISSUE PAVING IMPROVEMENTS (the “Project”) based on bids for the Project opened on November 5, 2020; and

WHEREAS, contract and bonds for the Project (the “Contract”) with the Contractor have been prepared in the amount of \$1,598,277.20 (the “Contractor’s Bid”); and

WHEREAS, it is the desire of the City Council to award the Contract to the lowest and best responsible bidder.

NOW, THEREFORE, BE IT RESOLVED, by the Council of the City of Nichols, Oklahoma that the Contractor’s Bid be accepted as and is determined to be the lowest and best responsible bid for the Project and that the Contract is hereby awarded to the Contractor.

BE IT FURTHER RESOLVED, that the Mayor and City Clerk are authorized to execute the Contract in the amount of the Contractor’s Bid, related bonds and other instruments for the Project, all for and on behalf of the City.

ADOPTED by the Council of the City of Nichols Hills, Oklahoma this 10th day of November, 2020.

Mayor

(SEAL)
ATTEST:

City Clerk

Approved as to form:

City Attorney



ENGINEERING | SURVEYING | PLANNING

SMITH ROBERTS BALDISCHWILER, LLC

November 6, 2020

Honorable Mayor and City Council
City of Nichols Hills
6407 Avondale Drive
Nichols Hills, Oklahoma 73116

RE: AWARD RECOMMENDATION
Project No. PC-2002 – 2020 G.O.
Bond Issue Paving Improvements to
N. Pennsylvania Ave. from Wilshire
Blvd. to Westminster Pl. & 1600
Block of Drury Lane.

Dear Mayor and City Council:

Enclosed is the bid tabulation and all of the original bid forms for the bids received on **November 5, 2020** for the above referenced project. Following are the apparent bid results.

Engineer's Estimate	\$1,815,402.88
CONTRACTOR	BASE BID
Rudy Construction	\$1,598,277.20
SAC Services	\$1,725,321.75
Crosslands Heavy Contractors	\$1,989,000.00

Upon review of the bid documents, no discrepancies were found. Therefore, based on these bid results, award of a contract is recommended for the above referenced project in the following amount to the lowest responsible bidder, **Rudy Construction**.

TOTAL AWARD AMOUNT\$1,598,277.20

Please place this item on the next available council agenda for consideration. Should you have any questions or comments, please contact our office.

Sincerely,

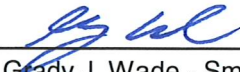
Smith Roberts Baldischwiler, LLC


Grady J. Wade, P.E.
Nichols Hills City Engineer

Cc: File #114594K

BIDS RECEIVED THURSDAY, NOVEMBER 5, 2020 AT 10:00AM
BID TABULATION FOR PC-2002 2020 G.O. BOND ISSUE PAVING IMPROVEMENTS TO N. PENN AVE. FROM WILSHIRE
BLVD. TO WESTMINSTER PL. & 1600 BLOCK OF DRURY LANE

					ENGINEER'S ESTIMATE		Rudy Construction Co.		SAC Services, Inc.		Crossland Heavy	
ITEM NO.	SPEC. NO.	ITEM	ESTIMATED QUANTITY	UNIT	UNIT BID	AMOUNT	UNIT BID	AMOUNT	UNIT BID	AMOUNT	UNIT BID	AMOUNT
1	222-000	CEMENTITIOUS STABILIZED SUBGRADE (8")	16208	SY	\$ 10.00	162,080.00	\$ 6.75	109,404.00	\$ 10.75	174,236.00	\$ 14.00	226,912.00
2	304-000	AGGREGATE BASE	500	TON	\$ 92.00	46,000.00	\$ 45.00	22,500.00	\$ 35.00	17,500.00	\$ 55.00	27,500.00
3	313-000	PORTLAND CEMENT CONCRETE PAVEMENT (6")	3643	SY	\$ 55.00	200,365.00	\$ 50.00	182,150.00	\$ 52.75	192,168.25	\$ 62.00	225,866.00
4	313-000	PORTLAND CEMENT CONCRETE PAVEMENT (8")	11719	SY	\$ 65.00	761,735.00	\$ 70.00	820,330.00	\$ 59.50	697,280.50	\$ 70.00	820,330.00
5	404-007	PORTLAND CEMENT CONCRETE PAVEMENT (6") (PANEL	200	SY	\$ 65.00	13,000.00	\$ 65.00	13,000.00	\$ 75.00	15,000.00	\$ 170.00	34,000.00
6	404-007	INTEGRAL CURB (6" BARRIER)	7853	LF	\$ 11.50	90,309.50	\$ 7.00	54,971.00	\$ 11.00	86,383.00	\$ 8.00	62,824.00
7	450-000	INTEGRAL CURB (6" MOUNTABLE)	100	LF	\$ 11.50	1,150.00	\$ 7.00	700.00	\$ 10.00	1,000.00	\$ 8.00	800.00
8	511-000	CAST IRON CURB HOOD (R-3262)	26	EA	\$ 300.00	7,800.00	\$ 275.00	7,150.00	\$ 250.00	6,500.00	\$ 187.00	4,862.00
9	505-000	WATER LINE LOWERING (COMPLETE)(6")(COMPLETE IN PLACE)	3	EA.	\$ 15,000.00	45,000.00	\$ 8,250.00	24,750.00	\$ 14,550.00	43,650.00	\$ 5,500.00	16,500.00
10	505-000	WATER LINE LOWERING (COMPLETE)(12")(COMPLETE IN PLACE)	1	EA.	\$ 20,000.00	20,000.00	\$ 14,650.00	14,650.00	\$ 22,000.00	22,000.00	\$ 8,500.00	8,500.00
11	729-000	WATER SERVICE LINE LONG (2")	16	EA	\$ 4,200.00	67,200.00	\$ 2,800.00	44,800.00	\$ 2,100.00	33,600.00	\$ 2,500.00	40,000.00
12	735-000	REMOVE AND RESET SIGN	14	EA	\$ 200.00	2,800.00	\$ 200.00	2,800.00	\$ 250.00	3,500.00	\$ 185.00	2,590.00
13	800-00	REMOVE & RESET LAWN IRRIGATION PIPE (1/2" TO 2" DIA. PVC)	1520	LF	\$ 14.00	21,280.00	\$ 12.00	18,240.00	\$ 25.00	38,000.00	\$ 1.05	1,596.00
14	800-00	REMOVE & RESET LAWN IRRIGATION HEAD	76	EA	\$ 60.00	4,560.00	\$ 60.00	4,560.00	\$ 70.00	5,320.00	\$ 10.00	760.00
15	811-000	COLOR AUDIO/VIDEO RECORDING (PRE/POST CONSTR.)	1	L.F.	\$ 2,000.00	2,000.00	\$ 1,000.00	1,000.00	\$ 2,000.00	2,000.00	\$ 8,500.00	8,500.00
16	811-000	CONSTRUCTION STAKING	1	LS	\$ 15,000.00	15,000.00	\$ 12,500.00	12,500.00	\$ 17,950.00	17,950.00	\$ 33,117.40	33,117.40
17	811-001	CONSTRUCTION SIGNING AND TRAFFIC CONTROL	1	LS	\$ 20,000.00	20,000.00	\$ 28,750.00	28,750.00	\$ 23,700.00	23,700.00	\$ 38,000.00	38,000.00
18	811-001	MOBILIZATION	1	LS	\$ 80,000.00	80,000.00	\$ 50,000.00	50,000.00	\$ 60,000.00	60,000.00	\$ 79,000.00	79,000.00
19	811-000	RELOCATE WATER METER	4	EA	\$ 1,000.00	4,000.00	\$ 710.00	2,840.00	\$ 1,300.00	5,200.00	\$ 960.00	3,840.00
20	819-000	REMOVE SIDEWALK	32	SY	\$ 12.50	400.00	\$ 10.00	320.00	\$ 15.00	480.00	\$ 14.25	456.00
21	819-001	STREET PAVEMENT REMOVAL	15017	SY	\$ 10.00	150,170.00	\$ 7.00	105,119.00	\$ 12.00	180,204.00	\$ 12.25	183,958.25
22	822-000	REMOVE DRIVEWAY	389	SY	\$ 11.00	4,279.00	\$ 10.00	3,890.00	\$ 11.00	4,279.00	\$ 12.75	4,959.75
23	823-001	ADJUST EXISTING STRUCTURE (MANHOLE)	1	EA	\$ 900.00	900.00	\$ 1,000.00	1,000.00	\$ 1,200.00	1,200.00	\$ 1,400.00	1,400.00
24	824-000	SEPARATOR FABRIC FOR BASES	16208	SY	\$ 2.50	40,520.00	\$ 1.65	26,743.20	\$ 2.00	32,416.00	\$ 1.20	19,449.60
25	824-000	GEOGRID FOR BASES	300	SY	\$ 7.50	2,250.00	\$ 4.00	1,200.00	\$ 7.50	2,250.00	\$ 2.50	750.00
26	824-000	SIDEWALK (4')	2	SY	\$ 75.00	150.00	\$ 65.00	130.00	\$ 60.00	120.00	\$ 100.00	200.00
27	826-000	BRICK SIDEWALK	25	SY	\$ 75.00	1,875.00	\$ 150.00	3,750.00	\$ 65.00	1,625.00	\$ 100.00	2,500.00
28	826-001	6" P.C. CONC. DRIVEWAY (HES)	363	SY	\$ 65.00	23,595.00	\$ 60.00	21,780.00	\$ 70.00	25,410.00	\$ 83.00	30,129.00
29	846-001	SOLID SLAB SODDING	1	LS	\$ 25,000.00	25,000.00	\$ 18,000.00	18,000.00	\$ 30,850.00	30,850.00	\$ 108,750.00	108,750.00
30	843-000	TREE REMOVAL	1	EA	\$ 2,000.00	2,000.00	\$ 1,250.00	1,250.00	\$ 1,500.00	1,500.00	\$ 950.00	950.00
TOTAL BASE BID						\$1,815,418.50		\$1,598,277.20		\$1,725,321.75		\$1,989,000.00



Grady J. Wade - Smith Roberts Baldischwiler, LLC
Nichols Hills City Engineer

Date 5-Nov-20



Published in _____ on _____, 2020

ORDINANCE NO. _____

AN ORDINANCE AMENDING THE NICHOLS HILLS CITY CODE TO ADD AN ADDITIONAL SPECIAL SPEED LIMIT ON BEDFORD DRIVE BETWEEN TRENTON ROAD AND WESTERN AVENUE; REPEALING ALL CONFLICTING ORDINANCES OR PARTS OF ORDINANCES; PROVIDING FOR SEVERABILITY; AND DECLARING AN EMERGENCY.

EMERGENCY ORDINANCE

BE IT ORDAINED BY THE COUNCIL OF THE CITY OF NICHOLS HILLS, OKLAHOMA:

Section 1. Subsection (1) of Section 44-244 of the Nichols Hills City Code is amended to add an additional special speed limit, with new language underlined, to wit:

Sec. 44-244. Special speed limits.

The following special speed limits shall be observed:

- (1) *Twenty miles per hour.*
 - a. Grand Boulevard, between Pennsylvania Avenue and N.W. 63rd Street.
 - b. Larchmont Lane, between Glenwood Avenue and Avondale Drive.
 - c. Glenwood Avenue, between Larchmont Lane and Brentwood Avenue.
 - d. Bedford Avenue, between Lenox Avenue and Huntington Avenue.
 - e. Camden Way, between Lenox Avenue and Huntington Avenue.
 - f. Bedford Drive, between Trenton Road and Western Avenue.

Section 2. All ordinances or parts of ordinances in conflict herewith are, to the extent of such conflict, hereby repealed.

Section 3. The provisions of this ordinance are severable and if any part or provision hereof shall be adjudged invalid by any court of competent jurisdiction, such adjudication shall not affect or impair any of the remaining parts or provisions hereof.

Section 4. EMERGENCY SECTION. WHEREAS, in the judgment of the Council it is necessary for the preservation of the peace, health, welfare and safety of the City of Nichols Hills, Oklahoma, and of the inhabitants thereof that the provisions of this ordinance be put into full force and effect immediately, and therefore an emergency is hereby declared to exist by reason whereof this ordinance shall take effect and be in full force from and after its passage as provided by law.

PASSED by the Council of the City of Nichols Hills, Oklahoma, on the ____ day of _____, 2020.

APPROVED by the Mayor of the City of Nichols Hills, Oklahoma, on the ____day of _____, 2020.

ATTEST:

Mayor

City Clerk

Reviewed as to Form and Legality:

City Attorney